



Rockland

FY17

ANNUAL BUDGET

James D. Chaousis, II, City Manager

ROCKLAND CITY HALL • 270 PLEASANT STREET
ROCKLAND, ME 04841 • (207) 593-0636

Passed by the Rockland City Council
July 6, 2016

City of Rockland, Maine Table of Contents

General Information	Page Number
City Manager's Budget Message	7-8
City Directory, Staff Members	9
Staffing Levels and Changes	10-11
Organizational Chart	12
Budget Review Schedule	13
Reading the Budget	14-16
Financial Policies	17
Executive Summary	19-52
Gender, Household Income	53
Age Analysis	54
Demographics	55
Statistical Information, Census Data	56
Municipal Government Statistics	57-59
CPI, Inflation	60
Tax Increment Financing Districts	61-63
Valuations, Mil Rates Ratio	64
Knox County Municipalities Values V. Mil Rate Chart	65
Cities Values V. Mil Rate Chart	66
Valuations, Appropriations, Mil Rates-Comparisons	67
Unassigned Fund Balance (UFB)	68
UFB Trend Analysis	69
Tax Cap	70
Tax Cap History	71
Revenue Sharing	72
Budget Summaries	
Budget Comparisons & Summary	75
Summary of Budgets	77-78
City Council's Budget Proposal/Comparison	79-80
City Manager's Budget Proposal/Comparison	81-82
Department Heads' Budget Proposal/Comparison	83-84
Fund 10, General Government 011 - 020	
011 City Council Budget Summary	87
City Council Budget	88
012 City Manager Budget Summary	89
City Manager Budget	90
013 Assessing Budget Summary	91
Assessing Budget	92
014 Finance Budget Summary	93
Finance Budget	94-95
015 Legal Budget Summary	97
Legal Budget	98
016 City Clerk Budget Summary	99
City Clerk Budget	100
018 Technology & Professional Services Budget Summary	101
Technology & Professional Services Budget	102
019 City Hall Building Budget Summary	103
City Hall Building Budget	104
020 Insurance, Benefits, and Professional Services Budget Summary	105
Insurance, Benefits, and Professional Services Budget	106

City of Rockland, Maine Table of Contents

Economic Development 028	
028 Community Development Budget Summary	109
Community Development Budget	110
Public Safety 031 - 036	
031 Police Department Budget Summary	113
Police Department Budget	114-115
032 Fire Department Budget Summary	117
Fire Department Budget	118-119
033 Emergency Medical Services Budget Summary	121
Emergency Medical Services Budget	122
034 Fish Pier Budget Summary	123
Fish Pier Budget	124
035 Public Utilities Budget Summary	125
Public Utilities Budget	126
036 Code Enforcement Budget Summary	127
Code Enforcement Budget	128
Public Services 041 - 042	
041 Public Works Budget Summary	131
Public Works Budget	132-134
042 Waste Management Budget Summary	135
Waste Management Budget	136-137
Public Assistance 051	
052 Public Assistance	141
General Assistance Budget	142
Culture & Recreation 061 - 063	
061 Harbor Budget Summary	145
Harbor Budget	146-147
062 Library Budget Summary	149
Library Budget	150-151
063 Recreation Center Budget Summary	153
Recreation Center Budget	154
Debt Services 081	
081 Debt Budget Summary	157
Debt Budget	158
Current Debt, CIP FY 17, Debt 2017-2042	159
Capital Improvement Plan FY 17	160
Chart-FY 17 Budget Current and Proposed	161
Intergovernmental 091 - 092	
091 Knox County Budget Summary	165
County Budget (Rockland's share)	166
092 Regional School Unit #13 Budget Summary	167
RSU #13 Budget (Rockland's share)	168

City of Rockland, Maine Table of Contents

Capital Improvement Plan (CIP)	
Capital Improvement Statement	169
Capital Improvement FY 2017 (spreadsheet)	171
CIP - Finance 1, 2	173-174
CIP - Technology 1	175
CIP - Police 1, 2	176-177
CIP - Fire 1	178
CIP - EMS 1	179
CIP - Code Enforcement 1	180
CIP - Public Services 1 - 5	181-185
CIP - Harbor Pier 1	186
Considerations for Future Capital Improvement Planning	
Capital Improvement Planning List	189
Debt Levels and Estimations for Infrastructure Debt	191-192
City Infrastructure and Capital Debt Chart	193
Wastewater Infrastructure and Capital Debt Chart	195
Fund 20 - Enterprise Fund	
Water Pollution Control Facilities	
Wastewater Pollution Control Budget Summary	199
010, Wastewater Budget Summary	201
Wastewater Budget	202-203
015, Water Pollution Control Debt Summary	205
Wastewater Debt	206
Chart-Wastewater Debt	207
020, Storm Water Maintenance Budget Summary	209
Storm Water Maintenance Budget	210
GLOSSARY	
Terms, Accounting Terminology, Definitions	213-218



City of Rockland, Maine

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Manager/Community Development Director**
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Date: April 20, 2016

TO: Honorable Mayor MacLellan-Ruf
City Council Members
Citizens of Rockland

FROM: James D. Chaousis, II., City Manager

RE: FY 2017 Municipal Budget Submission

Staff is honored to present the proposed Fiscal Year 2016-17 Operating Budget and Capital Improvement Program. Our budget working group worked diligently over the past few months to deliver a fiscally responsible budget that prioritizes long term investment in Rockland. We strived to retain levels of service for our residents while also putting a financial framework in place to address major improvements required for our roads/sidewalks and other infrastructure and community facilities, such as the library and public open spaces.

We have been strategic, deliberate and farsighted in our efforts to prepare this budget. This budget is not exclusively a collection of costs that only consider our needs for this year. It is both the beginning of a long term strategy for improving facilities and infrastructure over time without unduly burdening taxpayers and an opportunity to present changes in policy and programs. Information needed to debate and consider changes is clearly provided. The budget will no longer just be a number but will be a statement of policy direction.

We want the budget deliberation process to focus on discussing the costs of Rockland government services and their public value as well as how we make key investments in our community. In previous years, the budget deliberation process drifted from the cost, and value, of Rockland services and importance of investing in our community to creating short-term tax relief. While tax relief is important, the methods we used to provide short-term tax relief have long term consequences that impact the sustainability of our government services, our infrastructure and community's ability to thrive.

This budget plan, as presented, illustrates a path to a 2.54% net increase from last year; but that doesn't tell the complete story. We have reduced expenses by over 7% while revenues have continued to decrease by over 16% and taxable value is projected to increase by 0%. These realities are challenging but not unpredictable or outside any of the city's trends. The city has continually reduced expenses annually but other variables influence the net impact on the tax rate, including loss of state revenue sharing, increased education costs and economic factors impacting taxable value growth.

The expenses in the FY 2017 budget plan are lower than three years ago. Yet the slow growth of taxable value over the past years has made it impossible to retain current levels of service delivery and make key improvements to our infrastructure and facilities without impacting the tax rate. With a growth rate lagging behind the cost of maintaining existing levels of service it's understandable that taxpayers in Rockland are feeling burdened.

This is an uncomfortable situation for Rockland. During the period from 1995 to 2005 we enjoyed an annual growth rate of 14%. Much of this was due to the large scale private sector investment in Rockland. As a community we benefited from this growth and were able to invest in infrastructure and facilities while maintaining our levels of service. However, growth in Rockland has been slow over the past decade.

In the face of this slow growth we had to make difficult choices. We chose to not budget for expenses, like the creation of a Stormwater Maintenance & Inspection department and positions such as the Fire Chief and Police Officers. However, not budgeting for these expenses does not mean we have not had to pay for them.

We also made the choice to defer upkeep and maintenance of many roads, below ground infrastructure and community facilities. We also deferred investment in infrastructure that is important for the future growth and sustainability of Rockland.

We can begin a long term process of solving the issues with unfunded expenses and our infrastructure and facilities while investing in Rockland's future. This budget is inundated with strategy, vision, and planning. The change in budget presentation should make the true costs of capital improvements and providing Rockland government services more transparent. Presenting the costs of local service in a more transparent way will allow the City Council to engage the public in practical discussions about service levels and capital investment. The budget is also constructed in a way that the city can build on in future years. This budget document is crafted to be developed further. The budget framework allows forecasting, tracking, and project budgeting. This budget takes the first steps in aligning the vision of city services and investment in infrastructure with the overarching goals of Rockland's citizens.

We are not proposing to solve all local government budget dilemmas. We will not fix every road, sewer line or community facility this year. However, we are coming to you with a framework for how we can begin to address the major challenges we have in front of us. These challenges include budgeting for all our expenses, repairing and rebuilding miles of roads and below ground infrastructure, making major repairs to beloved community facilities, such as the library and investing in new facilities and infrastructure to facilitate sustainable growth and development.

We all want to change Rockland for the better, but making change is difficult. It takes a lot of hard work and tough decision making. Through this budget we have the opportunity to begin demonstrating our commitment to fiscal responsibility while also investing in services, programs, infrastructure and facilities that will make Rockland a sustainable and vibrant community now and in the future.

James D. Chaousis, II.

Rockland City Council

Louise MacLellan Ruf, Mayor 51 Pacific Street 596-6618 Term Expires: 2016	Larry Pritchett, Councilor 39 Brewster Street 594-8806 Term Expires: 2016	Vacant Position Term Expires: 2018	Will Clayton, Councilor 95 Acadia Drive 591-2707 Term Expires: 2017	Valli Geiger, Councilor 186 Broadway 956-1565 Term Expires: 2017
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City Manager and Management Team

James D. Chaousis, II. City Manager 594-0300	Audra Caler-Bell Community Development Assistant City Manager 594-0306	Wanda Harvey Finance Director 593-0638	Stuart H. Sylvester City Clerk 594-0304	John Root Code Enforcement Officer 594-0308
Doreen French Assessor 594-0303	Kevin J. Beal, Esq. City Attorney 594-0305	Bruce Boucher Police Chief 594-0316	Adam Miceli Acting Fire Chief 594-0318	Matthew Ripley Harbormaster 594-0312
Amy Levine Library Director 594-0310	David St. Laurent Public Services Director 594-0320	Terrance Pinto Wastewater Treatment Director 594-0324	Sandy Billington GA Administrator 594-0302	Al Gourde Fish Pier Manager 594-0349



City of Rockland, Maine

		FY 09	FY 10	FY 11	FY 12	FY 13	FY 14	FY 15	FY 16	<i>Proposed</i> FY 17
General Government		Number of Positions								
Legislative/Council	Elected	5	5	5	5	5	5	5	5	5
City Manager	Full-time	1	1	1	1	1	1	1	1	1
	Full-time shared	1	1	1	1	1	1	1	1	1
Assessing	Full-time	2	2	2	2	2	2	2	2	2
Finance	Full-time	4	4	4	4	4	4	4	4	4
	Full-time shared	0.50	0.50	0.5	0.5	0.5	0.5	0.5	0.5	0.5
Legal	Full-time	1	1	1	1	1	1	1	1	
Outsource Legal FY 17										
City Clerk	Full-time	2	2	2	2	2	2	2	2	2
	Full-time shared	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5
Community/Economic Development										
Economic Development	Full-time	1	1	1	1	1	1	1	1	1
Public Safety										
Police Department	Full-time	21	21	21	20	20	20	20	19	21
	Part-time	1	1	1	1	1	1	1	1	1
Fire Department	Full-time	16	16	16	17	16	16	15	15	18
	Call Division	14	14	14	14	14	14	18	18	20
EMS	Full-time	2	1	2	3	3	2	2	2	
Transfer to Fire Dept FY 17										
Fish Pier	Full-time	1	1	1	1	1	1	1	1	
Transfer to Harbor FY 17										
Code Enforcement	Full-time	3	3	3	2	3	3	3	2	3
	Full-time shared	0	0	0	1	0	0	0	0	0
Public Services										
Public Works	Full-time	17	17	17	16	15	15	14	18	21
	Part-time	0	0	0	0	0	0	0	0	0
Transfer Station	Full-time	10	10	10	7	7	7	7	4	
Transfer to Public Services FY 17										
Public Assistance										
General Assistance	Full-time shared	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.25	0.25
Culture and Recreation										
Harbor	Full-time	1	1	1	1	1	1	1	1	2
	Part-time	1	1	1	1	1	1	1		2
Library	Full-time	7	7	7	7	7	5	6	6	6
	Part-time	8	6	6	7	7	7	7	7	7
FY 14 Full-time position eliminated; Part-time staff work combined 24.5-27.5 hrs wkly										
Recreation	Full-time	3	3	3	3	3	2	2	2	0
	Part-time	7	13	13	13	12	20	15	5	0
Recreation managed by YMCA FY 16										
Wastewater										
Wastewater Treatment	Full-time	12	12	12	12	10	11	11	11	11
	Part-time	0	0	0	0	0	0	0	0	0
Storm Water	Full-time	0	0	0	0	0	0	0	0	0
	Part-time	0	0	0	0	0	2	2	2	2

City of Rockland, Maine

		FY 09	FY 10	FY 11	FY 12	FY 13	FY 14	FY 15	FY 16	<i>Proposed</i> FY 17
		Number of Positions								
TOTAL STAFFING	Elected	5	5	5	5	5	5	5	5	5
	Total Full-time	105	104	105	101	98	95	94	92	92
	Full-time shared	3	3	3	4	3	3	3	2	2
	Total Part-time	17	21	21	22	21	31	26	15	12
	FD Call Division	14	14	14	14	14	14	18	18	20
	TOTALS	143	146	147	145	140	147	145	133	132

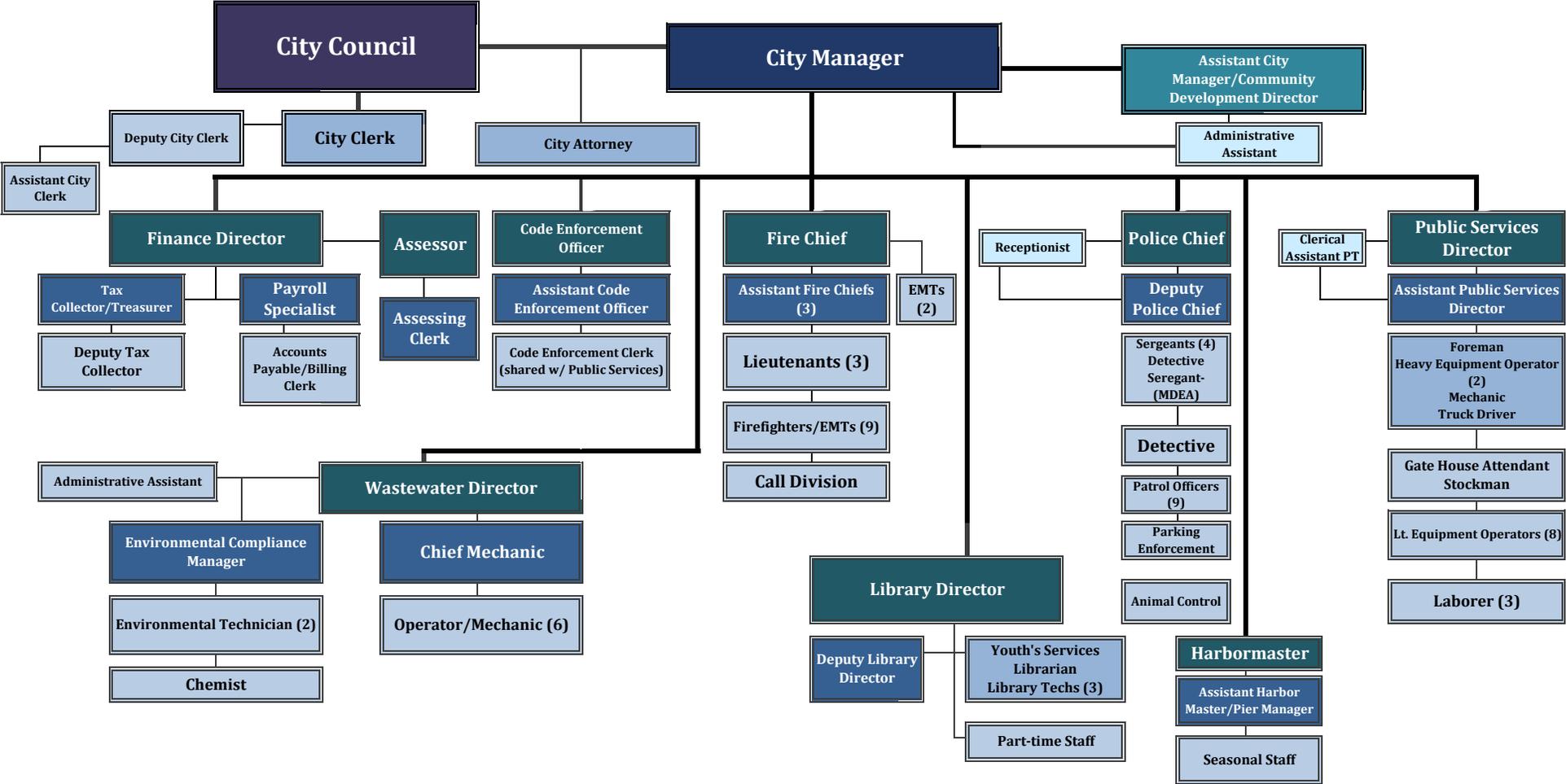
Personnel Changes

Explain any changes in personnel by department...

- Legal** FY 2017 Position not funded; Legal requirements outsourced.
- Library** FY 2016 Reduction in part-time help; Library reduced hours and no longer open on Sundays.
- Recreation** FY 2016 YMCA took over recreational activities; no longer staffed by city personnel.
- Police**
 - FY 2017 Reinstate police officer position not funded in FY 15.
 - FY 2015 Police Officer not funded
 - FY 2012 Secretarial position eliminated after retirement
 - FY 2011 Secretarial position eliminated.
- Fire**
 - FY 2017 Fire Chief Position funded (proposed)
 - FY 2016 Fire Chief Position not funded
 - FY 2015 Fire Chief position not funded after retirement
- Public Services**
 - FY 2017 Secretarial services shared with Code Enforcement
 - FY 2016 Addition of three (3) Laborers
 - FY 2013 Elimination of Secretarial Position
- Wastewater** FY 2013 Employee terminated, one retired
- Storm Water** FY 2014 Separate department created

Personnel Wage Adjustments <i>by percentage</i>	<i>Proposed</i>										
	FY 07 Jul-06	FY 08 Jul-07	FY 09 Jul-08	FY 10 Jul-09	FY 11 Jul-10	FY 12 Jul-11	FY 13 Jul-12	FY 14 Jul-13	FY 15 Jul-14	FY 16 Jul-15	FY 17 Jul-16
Full-time Salaried	3.0%	3.0%	3.0%	0.0%	3.0%	3.00%	2.50%	3.00%	3.00%	2.00%	2.00%
Full-time Hourly (Police union)	3.0%	3.0%	3.0%	0.0%	3.0%	3.00%	2.75%	3.00%	3.00%	1.00%	3.00%
Full-time Hourly (Fire union)	3.0%	3.0%	3.0%	0.0%	3.0%	3.00%	2.50%	3.00%	3.00%	2.00%	2.00%
Full-time Hourly (Clerical union)	3.0%	3.0%	3.0%	0.0%	3.0%	3.00%	2.75%	3.00%	3.00%	1.00%	2.00%
Full-time Hourly (Pub. Svc. Union)	3.0%	3.0%	3.0%	0.0%	3.0%	3.00%	2.75%	3.00%	3.00%	1.00%	2.00%
Part-time	0.0%	0.0%	0.0%	0.0%	0.0%	0.00%	0.00%	3.00%	0.00%	0.00%	0.00%
FD Call Division	0.0%	0.0%	0.0%	0.0%	0.0%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

City of Rockland - Organizational Chart



City of Rockland, Maine

FY 17 Budget Review Schedule

Budget Proposals Development

Thursday	7-Jan-16	Budget forms sent to Department Heads			
Tuesday	26-Jan-16	Budget requests returned to Finance Director		1 p.m.	

Budget Group Review of Budget Proposals

Tuesday	9-Feb-16	Budget Group Meeting	Review Entire Budget Proposal	2 p.m.	4 p.m.
Thursday	11-Feb-16	Budget Group Meeting	Review Police & Fire Budgets	2 p.m.	4 p.m.
Tuesday	16-Feb-16	Budget Group Meeting	Review Public Services & Library Budget	2 p.m.	4 p.m.
Thursday	18-Feb-16	Budget Group Meeting	Review City Hall Budgets	2 p.m.	4 p.m.
Friday	19-Feb-16	Capital Improvement Plan Submission to City Council (by Charter)			
Tuesday	23-Feb-16	Budget Group Meeting	Follow up Meeting for Questions	2 p.m.	4 p.m.
Friday	25-Mar-16	City Manager	Wastewater Budget Review (Enterprise Fund)	10 a.m.	12 p.m.

City Manager and Budget Group Review of Departmental Budgets

Tuesday	8-Mar-16	Budget Group Meeting	Budget Adjustments	2 p.m.	4 p.m.
Tuesday	15-Mar-16	Budget Group Meeting	Budget Adjustments	2 p.m.	4 p.m.
Thursday	17-Mar-16	Budget Group Meeting	Budget Adjustments	2 p.m.	4 p.m.
Thursday	14-Apr-16	Budget Group Meeting	Budget Adjustments	2 p.m.	3:30 p.m.
Tuesday	22-Mar-16	Proofing Process prior to submission to City Council			

Submission of Proposed Budget to City Council-Review-Deliberation

Wednesday	20-Apr-16	Rockland City Council	Manager Delivers Proposed FY 17 Budget to Council	5:30 p.m.	7:30 p.m.
Wednesday	27-Apr-16	City Council Budget Review	General Budget Deliberation	5:30 p.m.	7:30 p.m.
Wednesday	4-May-16	City Council Budget Review	General Budget Deliberation	5:30 p.m.	7:30 p.m.
Wednesday	11-May-16	City Council Budget Review	General Budget Deliberation	5:30 p.m.	7:30 p.m.
Wednesday	18-May-16	City Council Budget Review	General Budget Deliberation	5:30 p.m.	7:30 p.m.
Wednesday	25-May-16	City Council Budget Review	General Budget Deliberation	5:30 p.m.	7:30 p.m.
Wednesday	1-Jun-16	City Council Budget Review	General Budget Deliberation	5:30 p.m.	7:30 p.m.
Wednesday	8-Jun-16	City Council Budget Review	General Budget Deliberation	5:30 p.m.	7:30 p.m.

Budget Approval - City Council

Monday	13-Jun-17	Newspaper Notice	Notice of Public Hearing Posted in Bangor Daily News two (2) weeks in advance; Post on City website		
Monday	27-Jun-17	Final Adoption	Public Hearing and Final Adoption of Budget by City Council	6:00 p.m.	8:00 p.m.

An Overview of How to Read the Budget Document

It is the goal of the finance department to provide professional budget data in a way recognized by the Government Finance Officers Association (GFOA). This will be a "work in progress" and may take a number of years to achieve the goal. The budget format will continually be updated to continue to provide the public with much needed information to keep the public informed about their city government.

The budget is broken out into a number of different sections. The first sections leading up to the actual "numbers" is informational about the community, including demographics and statistical information about the City. The budget review schedule is also provided.

Organizational Chart

The organization chart depicts the City Council form of government, and designates the departments over which the city manager oversees. Each department has a separate budget and purpose. The organization chart also indicates the positions within each department.

Staffing Levels

The number of employees for each department indicate the staffing levels over the last several years. Information is also provided about the changes in personnel, as well as annual wage adjustments.

City Manager's Letter

The City Manager's letter reports on the proposed budget and his recommendations.

Financial Policies, UFB, Reserves, and LD1 Tax Cap

The City has a number of **Financial polices for specific items, such as the UFB and Reserves.** **Other financial policies** for the City will be considered in the near future. To date most financial policies are "understood" or are a matter of practice. Putting the policies in writing and getting them approved continue to be a goal of the finance director.

The City should create an **Unassigned Fund Balance (UFB)** policy; one that maintains a reasonable UFB, which helps to improve the cash flow and lessens the need to borrow TAN for operating needs during the year. Changes in accounting standards require the City to adopt a "New Fund Balance" policy. Financial policies will be adopted to reflect the new accounting requirements.

During this past year, the town updated the **Purchasing Policy to include purchase orders for items costing \$1,000 or more; city manager approval is required at the \$2,500 level.** The purpose of this policy is to standardize the purchasing procedure of the City of Rockland, thereby securing for the City the advantages of a uniform purchasing policy in an effort to both save money and increase public confidence in the procedures for municipal purchasing, and to set guidelines for the limited use of credit cards by department heads. Additionally it is to promote the fair and equitable treatment of all suppliers of goods and services and to clearly set forth the duties and responsibilities of the department heads and the Purchasing Agent.

The City has a number of **Reserve Accounts** established, with limits and uses specified by vote of the City Council. Reserve accounts are categorized as a capital improvement reserve, capital equipment reserve, or sinking fund account.

The Tax Cap was legislated a number of years ago to help contain government spending. Rockland continues to operate within the limits set by statute.

City of Rockland, Maine

An Overview of How to Read the Budget Document - continued

Budget Process

The Budget process begins with department heads. Department heads prepare their budget requests and provide the finance director with information needed to put together a budget document for the city manager's review.

The city manager and his budget review "team" review the budget proposal from department heads and collaborate on recommendation to give the city manager prior to the manager's decisions on the proposed budgets.

City Manager's Proposed Budget

The City Manager's budget is reviewed by council during a number of meetings with the City Manager and his department heads. A final review and vote on the budget is held prior to the end of the fiscal year; and the public is given an opportunity to weigh in on the budget at a public hearing. The City Council's vote is the final act on the budget.

Budget Comparisons (Budget v. Actual)

Budget requests of the Department Heads, City Manager, and City Council are compared to previous year's budgets.

Expenditures Summary

The **Expenditures Summary** includes totals from all departments, comparing proposed expenses to previous year's budgets.

Expenditures Chart

A chart of proposed expenses.

Revenues Summary

The **Revenues Summary** includes totals from all departments, comparing proposed revenues to previous year's estimated revenues.

Revenues Chart

A chart of proposed revenues.

Department Head Responsibilities

Each department has a mission and purpose. Each department head is responsible for their operations, including budget and personnel.

Departmental Budgets

Each budget includes both appropriations (also known as expenses) and revenues applicable to a particular department.

Each department is assigned a department name and number. The department number represents the first set of numbers used in accounting reports and is called the "Org Code". The second set of numbers represents the number used for the purchase of goods and services. The second number is called the "Object Code". The two numbers combined represent the entire "line item number" by which the accounting data based records and stores all transactions.

Expenditures are named next to their corresponding line item number. Across the page are columns representing the budget requests for the coming year (Department Head, Manager, and Council), as well as budget v. actual for the current budget year; and the actual expenses and revenues for the fiscal year of two years previous.

On the following page is an example of the header on a budget page:

City of Rockland, Maine

An Overview of How to Read the Budget Document - continued

General Government Fund 10, Department 014 - Finance

Department 0014	FINANCE DEPARTMENT	Actual FY 14	Actual FY 15	Budget FY 16	YTD	Department Head Proposed FY 17	City Manager Proposed FY 17	City Council APPROVED FY 17
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At the beginning of each departmental budget there is a summary page. The summary page summarizes the requests for Personnel Services, Employee Benefits, Purchased and Contractual Services, Supplies, and Capital Outlay, as applicable. The summary page also includes graphs depicting the expenses and revenues pertaining to each department over time.

In the detailed pages of the departmental budget that follow the summary page the appropriation number column indicates both the line number ("ORG") and the description of the account. As an example: 10014-04000 Finance Director's Salary. This appropriation number would indicate the "Org" (014), followed by the account number (04000) which represents the department head salary line.

An Overview of How to Read the Budget Document - continued

Appropriation Number

Also known as the line item number or account number, the appropriation number represents the account within which funds are designated. In practice, the various accounts are accounting tools used throughout the year to monitor departmental spending within and between accounts. The appropriation number is based on a numbered chart of accounts.

Account Name

Each account is assigned a name. Account descriptions are universal so comparisons between departments are easily made. For example, computer supplies would be the same account name and number in each department.

FY 14 Actual

Actual Expenses and Revenues during the fiscal year July 2013 through June 2014.

FY 15 Actual

Actual Expenses and Revenues during the fiscal year July 2014 through June 2015.

FY 16 Budget Y-T-D

Budget v Year-To-Date Expenses and Revenues for the fiscal year July 2015 through June 2016. YTD is through December 31, 2015.

FY 15-16 Dept. Hd. Manager Board

Department Heads Request is the first column. The Manager's budget proposal is in the middle column. And the amount approved in the last column by the City Council is the budget to be recommended at a public hearing in June, prior of the close to the current fiscal year.

After review and consideration of the city manager's recommendations and department head's requests, the City Council adopts a final budget. The last column will form the basis for the next fiscal year budget.

Glossary

A glossary of budgetary and financial terms is included in the budget document, providing definitions and a better understanding.

Putting it all Together The budget is a series of spreadsheets whereby budget requests are presented by department. Recommendations are considered at various stages and carefully reviewed. The design of the budget is methodical in nature, in an order and format that helps the user to easily access information.

Financial Plan

The City's financial plan is based on minimal increases in spending and maintaining compliance with the State of Maine's tax reform, sometimes referred to as "LD 1", which annually requires a tax cap for each municipality. See LD 1 Tax Cap Calculation represented later on in this budget document. Keeping the tax rate down has been somewhat more difficult over the last several years, in part due to the economy, which has resulted in decreased revenue in a number of areas, including excise tax and revenue sharing.

Overall Goals

The overall goals for the City include the following:

- **Fiscal Conservatism** to ensure that the City is financially sound. This will include balancing the budget, paying bills in a timely manner, while being ever mindful of future financial needs of the City.
- **Flexibility** to ensure that the City is in a position to respond to changes in the economy or new service challenges without an undue amount of financial stress.
- **Adherence to Accounting and Management Practices** as established by the Government Finance Officers' Association (GFOA) standards for financial reporting and budgeting, the GFOA Standards Board, and other professional standards including GASB compliance, i.e. internal control policies, fixed assets update, etc.

Operating Budget Policies

The operating budget establishes the allocation of resources that enables the City to deliver services on an annual basis. Services are delivered to the residents at a level that meets the real needs of the public as efficiently and effectively as possible.

Balanced Budget

Appropriations are limited to the total estimated revenues, including the amount to be raised by taxes and any use of the unencumbered fund balance. Department heads are encouraged to avoid any overspending in the operation of their departments. Financial reports are frequently issued and reviewed to give department heads tools to track their budgets. Through the warrant process, all expenditures are reviewed to ensure appropriate use of City funds. The result is a financially well-managed organization that operates within budget authority.

Capital Improvement Projects

The City Manager and Department Heads have started the process of developing a formal Capital Improvement Projects Plan (CIP). They have reviewed a list of potential capital improvements for the City, and have developed a list of items to put forth in the FY 17 municipal budget. Plans are underway to develop a formal CIP plan that will help identify needed capital improvements over a period of three to five years, the costs associated with those improvements, a life-time expectancy of the improvement, and how to fund the projects, which could mean raising funding through appropriation/taxation, grant funding, gifts, loans or bonds, or other means.

Encumbered Funds

- Encumbrances may set aside or reserve all, or a portion, of an appropriation for payment of future expenses, but are only available for specified purposes. In general terms, encumbrances are obligations of the City in the form of purchase orders, contracts, or other obligations of the City, carried from one year into the next.

Unassigned Fund Balance

- It is important that a positive unassessed fund balance (formerly called the Undesignated Fund Balance or UFB) be realized at the end of each fiscal year. The maintenance of an adequate UFB lessens the need for borrowing, protects the City's Credit Rating and provides the funds necessary to meet the City's financial operating obligations. Because the City's UFB is lower than recommended it is a goal of City officials to bring the UFB up to a more beneficial amount over the next few years.

- The City's current policy is that the UFB be at least eight percent (8%); however, the GFOA best recommended practice is that the City's UFB be an amount equal to at least the total of two months of expenditures, consisting of the operating budget voted on by the City Council, which includes municipal expenditures, the Knox County assessment, and the RSU #13 assessment. Municipal auditors recommend a UFB of at least 12% of all expenditures.

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Introduction

The July 1, 2016 through June 30, 2017 budget for the City of Rockland, Maine will go for final approval at a public hearing scheduled for June 27, 2016. The budget establishes the operational goals of the City for the upcoming year. The budget is developed based on recommendations of the department heads, is evaluated by the budget review team, and amended by the City Manager before presentation to the City Council for review. After Council review over a series of budget review sessions the budget receives final approval of the City Council at a public meeting scheduled in June.

City Departments - Functions and Key Budget Issues

The **City of Rockland** departmental budgets are created in categories. These categories help to organize city functions that share similar activities. The categories and the departments that fall into these categories and their assigned budget numbers are as follows:

General Government

- 011 Legislative (City Council)
- 012 Executive (City Manager)
- 013 Assessing
- 014 Finance
- 015 Legal
- 016 Records/City Clerk
- 018 Technology & Professional Services
- 019 City Hall
- 020 Insurance and Benefits

Economic Development

- 028 Community Development

Public Safety

- 031 Police Department
- 032 Fire Department and EMS
- 035 Publicly Funded Utilities
- 036 Code Enforcement

Public Services

- 041 Public Services (including Waste Management)

Public Assistance

- 052 General Assistance

Culture and Recreation

- 061 Harbor Waterfront and Fish Pier
- 062 Library
- 063 Recreation (outsourced)

Debt Services

- 081 Debt

Other Governmental

- 091 County Dispatch/E-911

The Emergency Medical Services department has been integrated into the Fire Department; the Fish Pier has been combined with the Harbor; and there is no longer a category of "Unclassified".

The City is also responsible for paying its share of both the Regional School Unit #13 appropriations and the Knox County appropriations. These expenditures can be found in the following budgets:

Other Governmental

- 091 County Appropriation
- 092 RSU #13

General Government Departmental Budgets

011 Legislative (City Council)

Department Overview

By Charter, the City mayor is the head of City government for ceremonial purposes. The mayor is chairperson of the City Council with voting rights on all matters brought forth for action by the Council. The Council serves as the municipal officers of the City. The Council has legal custody over all city owned land and buildings; sets the salaries of elected City officials; enacts resolves and/or orders to be carried out at the Council's behest; has the authority to establish, combine, or abolish City departments; and appoints a City Manager to carry out the administrative tasks of managing the City's government.

Notable Changes

All donations to outside organizations, which includes Rockland District Nurses Association and Rockland Main Street Inc., is included in the City Council budget.

Key Budget Issue: *Continuing to manage the budget with dwindling revenues along with a great need to improve public infrastructure.*

012 Executive (City Manager)

Department Overview

By Charter, the City Manager is appointed by the City Council to manage the affairs of the City on behalf of the Council. The City Manager is the chief administrative officer of the City. The City Manager appoints department heads and hires employees; the City Manager supervises the management of all City departments; manages and supervises the care of all city-owned buildings; prepares an annual budget for review by the Council; and prepares a multitude of reports to keep the Council informed about all City-wide departmental activities. In addition, the City Manager serves as the Personnel Director; and the City Manager is responsible for the administration and management of all City departments.

Public Value

The professional management of local government is important for ensuring the highest possible levels of efficiency and productivity. An essential component of city management is working to support effective citizenship. This includes a focus not only on stewardship, service and values but also on place, community and civics. City management works with elected officials to reconcile perspectives and reach real agreement. While this can be difficult it is essential for a city to be well run. In the years to come the most successful communities are going to be those that are really effective at reaching dynamic agreement.

Notable Changes

- The City Manager's Department has reduced overall spending by cutting funding for human resources. All human resource management activities will be undertaken by staff in the City Manager's Department.
- Funding for outside legal resources has been transferred to the Technology and Professional Services Budget.
- A small portion of TIF revenue will be applied to this department. The amount was calculated by determining the amount of time per month the City Manager's Department spends on economic and community development related issues. Using TIF revenue to support city wide economic and community development is an allowable expense and part of both the Downtown and Fisher TIF development programs. This also ensures that TIF revenue is applied to an area that has a city wide impact.

Key Budget Issue: *Maintaining current service levels and quality, while experiencing diminishing revenues from State sources and lack of increased taxable value within the city.*

013 Assessing

Department Overview

By Charter and city code, the Assessor is appointed by the City Manager. The Assessor must be certified by the State of Maine, Bureau of Revenue Service as being a fully certified assessor. The Tax Assessor is responsible for discovering, describing, and determining property value of all real and personal property subject to property taxation, and must comply with Maine State Statutes, primarily to MRSA § 36. The Assessor's records contain prior ownership information, building characteristics, selling prices, copies of deeds, tax maps, as well as aerial photographs. The city maintains these records to enable appropriate assessment of properties for tax purposes. By law the assessor is required to conduct ratio studies annually, to maintain an accurate assessment of city values.

In addition the assessor is required to provide the State Tax Assessor with a list of land values, buildings values, and other improvements, along with a statement as to the percentage of current just value that the assessments are based on, no later than November 1st of each year or within 30 days after the commitment of taxes. The tax assessment ratio certified by the Assessor must be accurate within 20% of the last state valuation.

Public Value

All of the actions of the Assessing Department set the value of the city for both real and personal property in a fair and equitable manner to distribute the tax burden. Nearly everyone feels the burden of paying taxes, either directly or indirectly. Therefore, it is important to demonstrate both an equitable and understandable system for determining property value and the true costs of government, which are the basis for property tax action. Local property taxes generate the income used to help finance and support all aspects of the city including:

- Schools/Education
- Public Safety – Police, Fire & Ambulance
- Library
- Roads & other infrastructure repairs
- Harbor/waterfront, parks & recreation
- Solid waste management
- City Hall services
- County services

For property owners the one or two large property tax payments each year feel more painful than sales tax, which is paid in small amounts every time you make a purchase, or income tax, which is paid weekly or biweekly in payroll deductions. Therefore, it's important for property owners to understand how their tax rate (or mil rate) is determined in order to better articulate the value of services their tax dollars buy.

Property tax is applied to the value of properties by April 1st each year. Maine law requires the tax assessor to determine property value as accurately and equitably as possible based on its "just value" or fair market value. This is determined through the following:

1. Cost approach - what the building replacement or reproduction new minus the depreciation appropriate to the property.

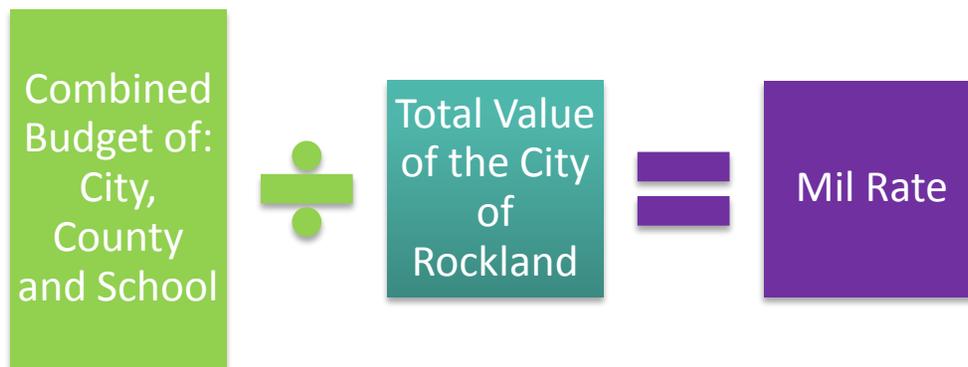
City of Rockland, Maine

2. Market data/sales approach - estimating the market value of a given property by comparison with other similar properties in the same vicinity, which have sold recently on the open market. Differences in the properties must be taken into account.
3. Income approach - used in the valuation of investment properties and other similar properties and utilizes the net income generated by the property to develop a value.



Figure 1 Factors used to determine property value

Property taxes are levied by the tax rate, or mil rate. This is determined by dividing the amount needed to be raised by property taxation, which includes the approved, City, County and School budgets, by the total value of the community:



Each mil on the property tax rate represents \$1.00 in taxes for every \$1,000 in property value. Therefore, if your home is valued at \$150,000 and the mil rate is \$20, your tax bill will be \$3,000. If the mil rate were \$15 you would pay \$2,250.

While determining the value of property and the tax rate may seem straight forward there are a number of factors that must be taken into consideration including:

City of Rockland, Maine

- Personal property – machinery, equipment, computers, furniture, fixtures, etc. owned by businesses that are subject to tax but may be exempted or reimbursed through the State Government BETE & BETR programs.
- Tax exemptions – including the homestead exemption, veteran exemption, widow, widower, minor child or widowed parent of a veteran exemption, blind person’s exemption.
- All of the functions deed transfers, updating of owner information, exemptions, sales ratio analysis all provide the data to be able to complete an equitable and just valuation of real and personal property in the city.

When it comes to generating income for the City of Rockland, the Assessing Department is the backbone. If the valuations are too low or too high, it could lead to many significant issues in the city. This would impact tax collection, liens, tax payer contact info, state valuation, reimbursement of BETE, homestead, veterans and all other exemptions. In the event that the valuations were deemed indefensible, it would create litigation that is costly and time consuming. As an example in this past year there was an abatement request for over \$2.5 million. A month of research and requesting supporting documentation, answering emails, meetings etc. was put into the refutation of the request, which the City successfully defended.

Fair market value of property is the only method that the city has to apportion the costs of local government, schools and county government fairly.

Accomplishments for FY 16

- Successfully defended a tax abatement appeal by Ocean State Job Lots.
- New online data base that works in conjunction with the Code Enforcement office data to give very detailed property information online for anyone to use.
- Successfully defended our assessment against appeals from Wal-Mart and Ocean State Job Lot.
- Updated 316 real estate transfers including tax maps.
- Successfully transitioned assessing staff through changeover

Objectives for FY 17

- Conduct numerous sales ratio studies to see how the city was assessed in previous years. This will allow decisions to be made on valuations and/or changes for the year.

Key Budget Issue: *Making certain that the property values methodology remain consistent throughout the city, the ratio studies are completed, and the commitment is completed in August 2016.*

014 Finance

Department Overview

The Finance Department has two primary functions: finance/accounting and tax collection.

By Charter and Code, the Finance Director is appointed by the City Manager. The Finance Director's duties include managing the City's finances; working with the City Manager in developing an annual budget for the Council's consideration; staying well informed of all laws and regulations governing municipal finance; and overseeing the management of the City's finances.

The Finance Department also includes the Tax Collector position. The Tax Collector is responsible for all aspects associated with the collection of the City's property taxes, to include land and buildings, as well as personal property taxes - each year.

City of Rockland, Maine

Public Value

The duties and tasks provided by the city's Finance Department are key in helping the city manage its funds, maintain a good understanding of the city's debt, provide budget support to the city manager and department heads, and overall sound fiscal management of the city's assets. The Finance Department is responsible for tax collection, registration of vehicles, and record retention. In addition, the staff processes invoices for payment from all departments, maintains lists of capital assets, provides payroll services, manages investments as well as cash assets, and is responsible for the overall financial well-being of the city.

A well run Finance Department is key to the success of the municipality's multi-million-dollar business. Each year the Finance Department is responsible for the management of the city budget of more than \$12 million, as well as collecting and paying the schools and county (an additional \$9 million), and for managing a multitude of grants, with more than \$31.5 million processed in FY 15.

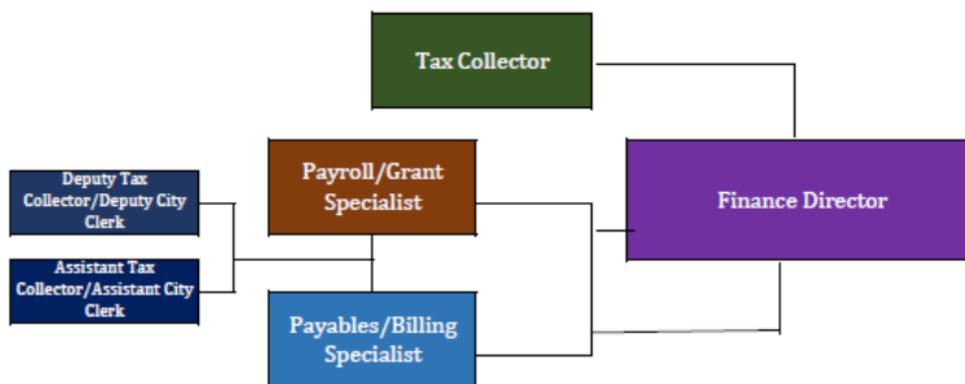


Figure 2 Structure of Finance Department

Accomplishments for FY 16

- Updated Chart of Accounts - The Chart of Accounts was disorganized and needed some improvements. The Chart of Accounts that will be used in the FY 17 budget year will include the categories of Personnel Services, Employees Benefits, Contractual Items, Supplies, Debt, and Capital Outlay.
- Updated Budget Format for FY 17 - The format used for the FY 17 budget has been updated. The format used is in compliance with the Government Finance Officers Association (GFOA) recommendations for what should be contained in an annual budget. The budget will be further updated to include items of interest to the city manager, which might not be a requirement as established by the GFOA, but it will contain information valuable to the public. The budget format will also include information pertaining to long-term capital goals, in place of a more formal Capital Improvement Plan.
- Updated MUNIS to include Motor Vehicle and Animal Licenses - An effective accounting system must include all aspects of the accounting operations in its computerized accounting system. This includes the clerk's office in the computerization of the motor vehicle and animal licensing areas.

City of Rockland, Maine

- Audit, Reserves & Investments - The FY 15 audit has been completed. This audit was difficult in that the majority of management was not present during any portion of the year that was audited. One of the biggest issues facing the city is the updating of the Bar Harbor Trust Investment (BHITS) account. The BHITS account contains more than \$3.5 million in invested funds; but many of these funds are in small denominations and should not be held in long-term investments. A plan is underway to take the “reserve” funds and put them into a savings account that will yield a better than average interest rate while maintaining “restricted” funds in the BHITS investment account. The ebbs and flows of the stock market are not beneficial to the short term reserve funds; but, over time the restricted investments should do well.
- Closing of Key Bank Accounts - Each month in the finance office two bank statements are received from Key Bank. One account contained more than \$333,000 and the other account contained just over \$37,000. On the advice of the auditors these two accounts were closed. The repayment of these funds will improve the city’s cash flow throughout the year.
- Bond Application - The Finance Department prepared and submitted to the Maine Municipal Bond Bank (MMBB) a bond application for \$1.6 million for the Old County Road project. These are complicated applications and take a great deal of administration effort.
- Debt - Prior to applying for the MMBB bond the city updated all bond indebtedness; previously there was nothing in the computer files indicating the exact nature of outstanding debt, including amortization tables. Amortization tables and a debt workbook containing all outstanding debt has been created. Any new debt will get added to the workbook; and conversely any retired debt will be removed from the workbook.
- Banking Relationship -Went out to bid for banking services and was able to arrange a better than average interest on funds held in the bank and was also able to get all bank fees waived. The result of which shall include increased earnings on all funds held by the bank as well as savings for not having to pay bank fees.
- Verizon/Cellular Plan - The Finance Department met with representatives of Verizon and reviewed the cell phone plan available to local governments. It made sense to switch from U. S. Cellular to Verizon, saving the city money each month.

Objectives for FY 17

- Get the front office trained in MUNIS motor vehicle and animal licensing;
- Update Investment Accounts;
- Propose New Investment Policy; Long-term and Short-term Investments;
- Finalize bond application and Wellness Grant;
- Work with city manager on infrastructure improvement plan.

Key Budget Issue: *Continue on making improvements in financial operations to consistently make sure the city's assets are protected, that the city is getting the best interests from investment monies as well as operating funds, and continue to present financial information in a manner that is easily understandable for better transparency.*

016 Records/City Clerk Department Overview

City of Rockland, Maine

By Charter the City Council elects the City Clerk to serve at its pleasure. The City Clerk gives notice of all Council meetings; prepares agenda for City Council meetings, attends all Council meetings; and keeps records of all meetings and actions of the Council.

By Statute, the City Clerk serves as the supervisor of all elections held in the City; serves as the deputy registrar of voters, and keeps all records required by Statute. The City Clerk also accepts payments on behalf of the City and State for various City and State licenses, permits and certificates, i.e. hunting and fishing licenses, dog licenses, birth, death and marriage certificates, and marriage licenses.

Public Value

The City Clerk's office accurately maintains city records, conducts elections with professionalism in accordance with State Law, prepares City Council meeting agendas and minutes, issues City Licenses, provides effective and efficient customer service and provides information to both City officials and the general public in a friendly and confidential manner.

Accomplishments for FY 16

- Continued scanning and transcribing historical records.
- Provided high level of customer service.
- Conducted election as noticed and conducted annual election clerk training.
- Provided information to Council, city staff and public.

Objectives for FY 17

- Conduct Annual Elections, integrating the new ballot scanner/vote tabulator equipment being provided to the City by the State.
- Attend required training sessions for the new voting equipment.
- Attend conferences/seminars to continue education.
- Provide superior customer service.
- Respond to requests in a timely and accurate manner.
- Continue with digitizing historical archives.

018 Technology & Professional Services

Department Overview

The Technology & Professional Services budget is designed to take care of the city's technology needs (computer purchases, repairs, web design, web hosting, internet fees) and to provide funding for professionals to handle the in-house technology needs as well as requirements that arise for legal services for such things as personnel matters, contract negotiations, and the like. This budget will also be the source of funding for engineering and planning services to support the development and implementation of planning and infrastructure projects.

An immediate goal of the City Manager and his staff is to upgrade the technology in all city departments in an effort to provide citizens excellent service, and to improve internal financial controls. To support this cause purchases will be required for capital expenses (computers, high-speed fiber optics, etc.) and expert assistance may be needed.

Public Value

The city is more and more reliant on digital records regarding all governmental services. The city has enormous amounts of information in various databases for assessing, finance, etc. that all contribute to the operations of a complicated multimillion dollar business. Losing any component of the city's digital information would be critically detrimental. The City's information network is aged and insecure. Bolstering the network's ability, performance and retention has never been more valuable. Creating an operating budget allows the city to control and predict technology costs.

Notable Changes for FY 17

This is a new department that consolidates all of the City's technology and professional services budget lines in one department. Historically IT related expenses were the responsibility of individual departments which has proven very inefficient and has not allowed the city's IT infrastructure to keep pace with changing technologies. By consolidating our IT funding we can begin to address some of the technological upgrades the City needs to make for staff to work in a more productive cost effective manner and provide services to residents that are more efficient, high quality and convenient.

Combining all expenses related to professional services, which includes legal, engineering and planning, allows for these resources to be utilized in a more strategic manner. Instead of utilizing professional services on a department by department basis, projects can be prioritized across the entire organization.

The most significant change the creation of this department entails will be outsourcing legal services. Instead of having a legal department with full time in-house counsel the City will outsource legal services. This will result in cost savings of approximately \$80,000.

Accomplishments FY 16

The city has performed several investigative efforts to analyze the most cost effective use of public funds in retaining a modern office environment. Large improvements in technology are prepared based on collecting this information.

Objectives FY 17

- Replace servers with modern maintained cloud based servers.
- Modernize office applications for city offices.
- Improve communication through technology internally and externally to the organization.

Key Budget Issue: *The need to upgrade the city's computer server and communications is great. Attempting to take care of this urgently needed situation is critical; yet, budget constraints provided certain limitations.*

019 City Hall

Department Overview

The City Hall office building comprises the offices of City Manager, Assistant City Manager/Community Development Director, Assessing, Code Enforcement, City Attorney, City Clerk, Tax Collector, and Finance.

The City Council holds all of its meeting in the council chambers located in the basement of the City Hall building, located at the back of the building - 270 Pleasant Street Rockland.

The normal office hours of City Hall are Monday through Friday, 8 a.m. until 4:30 p.m., excluding holidays.

Key Budget Issue: Being able to provide funding for necessary repairs and general maintenance of facility.

020 Insurance and Benefits

Department Overview

The Insurance and Benefits budget takes into account various insurance obligations of the City, as well as obligations to former employees of the municipality. Many of the "employees' benefits" are either for former employees or are group benefits that are only used when necessary. Accrued benefits are paid an employee upon their departure; unemployment benefits are only paid when someone becomes unemployed through no fault of their own (the City is a direct reimbursement employer). These items were once categorized as "unclassified".

Key Budget Issue: Anticipated annual increase in premiums.

Economic Development Budget

028 Community Development

By Code the Economic & Community Development Department is charged with "fostering economic development" and "facilitating the construction or rehabilitation of public and private buildings, facilities, and infrastructure". The Community Development Director has the responsibility of "preserving and fostering the economic vitality" of the City.

The City's Code also establishes the Economic Development Advisory Committee, comprised of seven (7) members. The Committee is charged with advising the Director and the Council on "all matters pertaining to economic development in the City".

Public Value

Rockland's Community & Economic Development Department is responsible for many of the long term strategic and proactive initiatives that achieve the City's objectives regarding place-making, neighborhood revitalization, job creation and economic enhancement. The activities of the Community & Economic Development Department facilitate the City's strategic investment in our community that ensure long term social and economic sustainability.

One of the strongest arguments in support of community based economic development is that it increases the tax base and generates additional revenue which supports, maintains, and improves local services and infrastructure, such as roads, parks, libraries, and emergency medical services. However, in Rockland there are many additional aspects to community based economic development that build healthy and sustainable communities, such as the following:

Building the Local Economy

- **Job Development, Retention, and Attraction** – community based economic development creates the job market improvements for better wages, benefits, and opportunities for advancement.
- **Business Retention** - businesses feel appreciated by the community and, in turn, are more likely to stay in town, contributing to the economy.
- **Economic Diversification** - a diversified economic base reduces a community's vulnerability to economic downturns.
- **Fostering Entrepreneurship and Local Business** - successful community based economic development often occurs when community members are provided with skills and tools necessary to produce goods and services which are consumed in the local market to a greater degree.

Neighborhood & Downtown Revitalization

- **Productive reuse of Property** - property used for its "highest and best use" maximizes the value of that property.
- **Encouraging a wide range of housing opportunities** - which serve all segments and income levels within our population.
- **Increasing Reinvestment Opportunities** – particularly in established neighborhoods, our downtown and commercial corridors.

Community Planning

- **Planning community infrastructure and facility upgrades** - are key to investing in our community to retain and attract residents and businesses.
- **Community based master planning and land use planning** - ensures that strategic areas in the City are developed or redeveloped in a manner that is aligned with the community's vision and achievable given economic and financial realities of the community.

City of Rockland, Maine

Notable Changes for FY 17

The addition of the Volunteer Coordinator position is most significant change to the Community & Economic Development Department for FY17. City Council and City Administration have consistently been told by members of the public that Rockland is full of talented people who want to volunteer their time to make Rockland a better place. We fully agree with this sentiment - Rockland is fortunate to have so many talented community members and we would like to work with them to try and harness this energy and talent for the benefit of Rockland. Therefore, the focus of the volunteer coordinator will be to develop programs and attract volunteers to utilize their skills and talents. Some examples of these potential programs include:

- Library volunteers
- Park and streetscaping beautification
- Community based events development and management
- Good neighbor initiatives

A Volunteer Coordinator provides the opportunity to deliver a lot of value to the City with very little investment.

In addition the Community and Economic Development Department will be funded using TIF revenue. Not only is this part of Rockland's TIF development programs, it also ensures that the benefit of the City's two TIF districts is used for purposes that have a city-wide impact and contribute toward reducing the tax burden for residents.

Accomplishments for FY 16

- Rockland was one of 25 communities in the entire country to win a \$50,000 Startup in a Day prize. These funds will be used to develop Smart Start Rockland, an online system that will allow new businesses to complete all the permits and licenses they need to start their business in one day.
- Received a \$100,000 CDBG Microenterprise Assistance Grant to assist two small businesses, Bixby Inc. and Midcoast Music Academy with expansions.
- Developed new Housing Redevelopment Program Guidelines and worked with the Economic Development Advisory Committee and area not for profit housing developers on a program to address housing affordability in a comprehensive manner.
- Provided businesses expansion, retention and attraction assistance to over 25 businesses. This equates to upwards of \$610,000,000 of investment interest in Rockland in the past year alone.
- \$400,000 Brownfields Assessment Grant from the EPA. This will allow the City to continue our Brownfields Program and work with property owners and developers to assess and eventually clean up and redevelop sites contaminated by hazardous substances and petroleum.
- Worked with the towns of Rockport and Owls Head to develop the Broadband Plan and Network Designs report by Tilson Technology.
- Secured \$15,000 in funding from the Maine Coastal Program to redesign and engineer upgrades to the Public Pier. The project has commenced.

City of Rockland, Maine

- Worked with the Public Services Department and the Harbor Trail Committee to develop plans and cost estimates for the Atlantic Street segment and the bridge over Lindsey Brook at Lermond Cove.

Objectives for FY 17

- Work with the Volunteer Coordinator to develop plans and programs to utilize volunteer resources.
- Complete Smart Start Rockland and market this tool to businesses and prospective businesses in the City.
- Secure grants from MDOT and the Economic Development Administration to redevelop the Municipal Fish Pier.
- Implementation of the 2011 Waterfront Area Redevelopment Plan, which includes engineering design plans and boundary surveys to realign streets, discussing land swaps with landowners and engineering for converting Commercial Street into a one way street.
- Commence the Housing Rehabilitation Program.
- Investigate opportunities for public private partnerships to increase access to affordable gigabit fiber to the premise broadband service in Rockland.
- Fundraise for the Lindsey Brook bridge and Lermond Cove portion of Harbor Trail and build this segment of the trail.
- Work with the Code Enforcement Department to rewrite Chapter 19 so that our land use ordinance is more user-friendly, logical and in line with the goals and strategies in our Comprehensive Plan.
- Develop a plan to address the shortage of available industrial land, in comparison to the demand, in Rockland.
- Work with RSU 13 and/or other educational institutions on entrepreneurship education and development.
- Work with the Harbor Management Commission and Harbor Park Redesign Committee to begin implementing the redevelopment of Harbor Park and the Public Pier.
- Work with eligible local businesses to apply for CDBG Microenterprise Assistance and Economic Development Program funding and other types of business resources & assistance.

Key Budget Issue: *Development in the City is limited; business growth is essential to ease the tax burden of City residents.*

Public Safety

031 Police Department

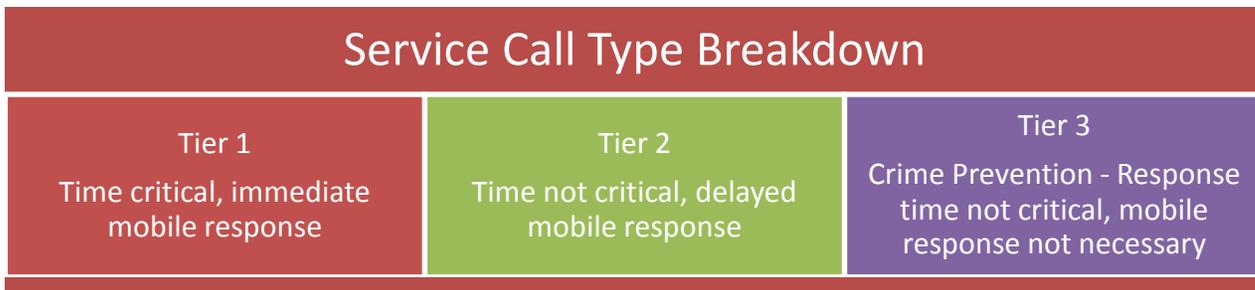
Department Overview

The Rockland Police Department strives to provide efficient, effective, and professional law enforcement services to the community. Article XIII of the City Code establishes the City's police department, the head of which is the Police Chief - appointed by the City Manager. The Police Chief is the commanding officer of the police force and is responsible for the "enforcement of law and order".

The Police Chief directs the police work of the City; maintains and cares for all property used by the Police Department; assures that all officers are properly trained and keeps abreast of "current law enforcement techniques as well as the law and legal procedures". The members of the Rockland Police Department are committed to providing the highest level of police service. Members strive to improve the quality of life in the community by building partnerships that reduce crime, maintain order, enhance police/citizen relationships and create a safe environment while upholding the U S Constitution, Maine State laws, and City ordinances.

Public Value

The Rockland Police Department strives to provide consistent and continuous protection to our citizens and their property in the most efficient and fiscally responsible way possible. A work week for the Police Department is 168 hours, as opposed to the typical 40 hour work week. This is necessary for ensuring the City has police coverage 24 hours a day, 7 days a week. Police coverage includes providing residents with a variety of proactive and reactive services that can be broken down into three tiers of service.



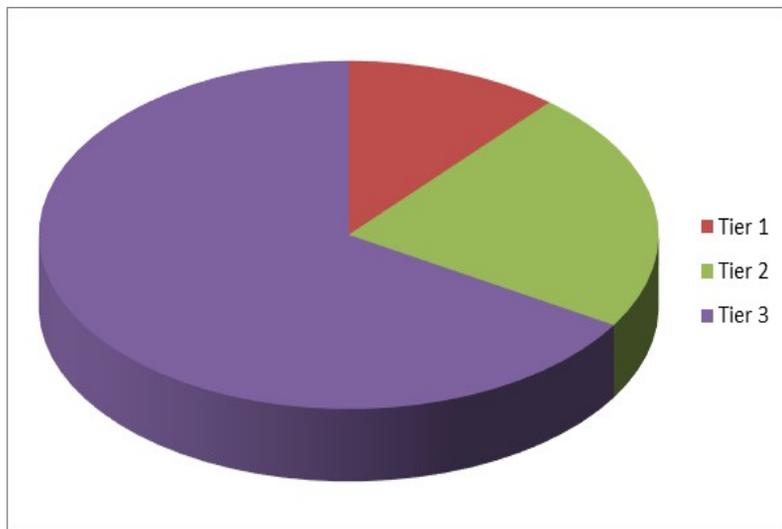
Tier 1, emergency response, represents the most basic level of service provided by the Department. These calls involve in-progress crimes where the presence of police officers is needed to repress criminal activity and protect citizens. This includes responding to calls that involve violence, property destruction as well as medical emergencies. These are time critical calls where officers can take some kind of prevention, deterrence or apprehension action or provide other emergency services.

Tier 2 is non-emergency response; where officers respond to calls after the fact, primarily to collect the information and statements necessary to produce reports. These calls, while an important service, do not require rapid response— i.e. the business has already been vandalized, the bike already stolen.

Tier 3 deals with quality of life issues, such as crime prevention efforts or traffic management duties. They help make our communities better places to live and are proactive and ongoing activities. A focus on tier three is what reduces crime (or the need for more "Tier One" services) in the medium to long term.

City of Rockland, Maine

The second and third tiers of police service delivery have always competed for staffing and financial resources, but as local budgets constrict, that competition becomes fiercer. The public expects that all tiers are addressed, and agencies with budget constraints are faced with finding new ways to continue delivering each tier of service. Due to constricting budgets the Police Department has less capacity to deliver tier two and three services.



Tier #1 CFS	2015	Tier #2 CFS	2015	Tier #3 CFS	2015
Crimes Against Person	409	Quality Of Life Calls	690	Proactive	2,186
Crimes Against Property	379	Animal Calls	299	Other Calls	5,264
Alcohol/Drug Related	75	Juvenile Problems	27		
Traffic Crashes	406	Parking Tickets	1,657		
Totals:	1,269	Totals:	2,673	Totals:	7,450
% Of total Calls	10.50%	% Of total Calls	22.00%	% Of total Calls	61.40%

Arrest and Summons	
Adult	693
Juvenile	49
Totals:	742
% Of total Calls	6.10%

The Rockland Police Department makes maximum use of its proposed funding by carefully forecasting needs and allocating funding for current requirements necessary for the department's functioning. A key approach to budgeting has been the aggressive utilization of alternative means of funding ancillary to the city's tax base. The Police Department has increased revenues when possible and has taken full advantage of grants, asset forfeiture funds and good old "Yankee horse trading" when applied to operational expenses of the department.

As a result over the past eight budget cycles the police department budget has increased by approximately \$179,694, which translates to an annual increase of .60% on average per year. This is a less than 1% per year. Over this same time period the Cost of Living Average or COLA as determined by Social Security has averaged 2.07%. This means that the Department has created 1.4% or \$52,630 in savings by generating alternative revenue or making cuts in levels of service annually.

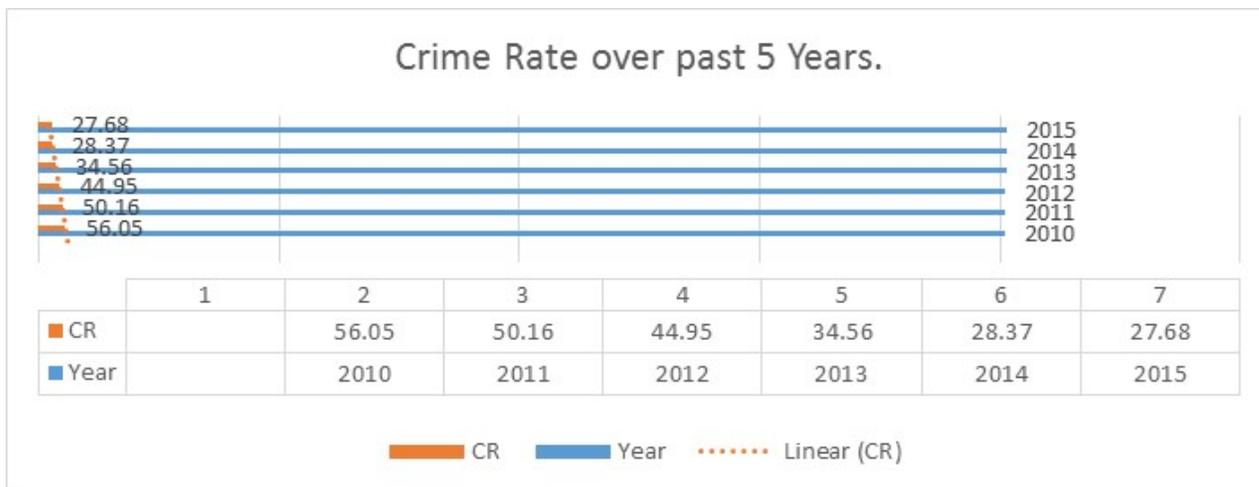
City of Rockland, Maine

Notable Changes FY 17

The Police Department remains at less than full staffing. There were negligible savings in FY16 from not filling a vacant position in the Police Department. There were negligible savings from not filling this position due to cost of overtime, which was required to maintain levels of service. Given the strain caused to Police Officers from an understaffed Department it is more cost effective to fill this position; however, budget constraints remain such that this position has remained vacant in the proposed budget.

Accomplishments for FY 16

- The police department was once again successful in reducing the overall related crime in the city in 2015. The number of crimes in the city during 2015 is at the lowest level since the state required departments to file annual uniform crime reports nearly 40 years ago. Rockland's 2015 crime rate is a -1.50% decline from 2014. Crimes against person offenses hit an all-time low and the city's property crimes are still on a steady decline.



- Conducted an independent review of the Police Department operations for a comprehensive set of recommendations to improve the effectiveness and efficiency of the Rockland Police Department
- Use these recommendations to seize the opportunity to bolster the professional status and effectiveness of the Rockland Police Department.

Objectives for FY 17

- Expand on enforcement, prevention and outreach plans to reduce or eliminate those criminal behaviors before they develop.
- Work with other community stakeholders to address the opiate addiction problem within the city.
- Deliver police services based on community needs.
- Promote highway safety through fair and consistent traffic enforcement and prevention education.
- Take equal and timely enforcement action on violations of criminal law.
- Recruit and hire quality employees.
- Continue to train employees in leadership skills.
- Evaluate the pros and cons to add a school resource officer to the Police Department as recommended by 2016 Police Department Study.

Key Budget Issue: *Continuing to provide law enforcement demanded by the public that has reduced crime overall, with staffing not fully funded.*

032 Fire Department and EMS

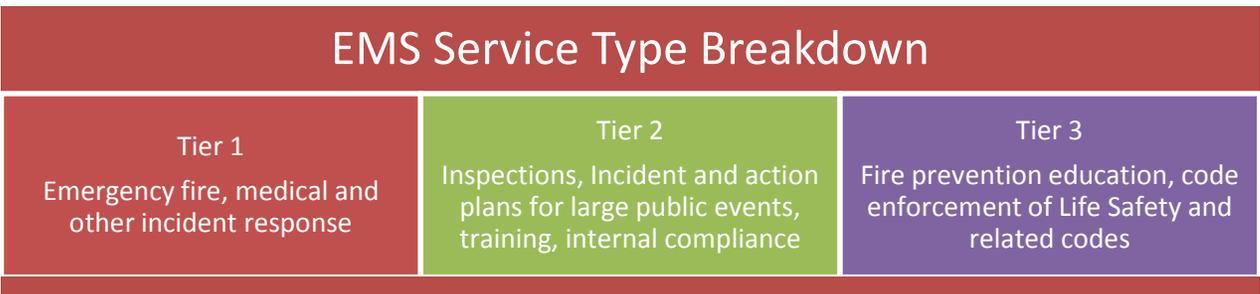
By Code, the City of Rockland Fire & Emergency Response Medical Services Departments are under the direction and supervision of the Fire Chief, who is appointed by the City Manager. The Fire Chief is charged with the "prevention and extinguishment of fires, the protection of life and property against fire, the removal of fire hazards", and the "provision of emergency medical and transportation services" for the City.

It is the vision of the Rockland Fire & EMS Department to be the most respected department in the region, providing excellent service by continually improving personnel through high quality training; strengthening bonds with the community through participation and transparency; growing to meet new challenges to the community through constant hazard evaluation and introspection; all while dedicating ourselves to providing a safe and enjoyable workplace.

Public Value

The Fire & EMS Department provides all-hazards emergency response services to the citizens of Rockland and primary emergency medical care to the citizens of Owls Head. Our tier one services include providing 24/7 fire, medical and other emergency incident response. However, the Fire & EMS department is active in a variety of tier three – proactive and preventative initiatives such as:

- fire prevention education
- local emergency management,
- developing incident action plans for large public events
- Code Enforcement of Life Safety and related codes.



In the past year the department responded to over 2,056 calls for emergency services.

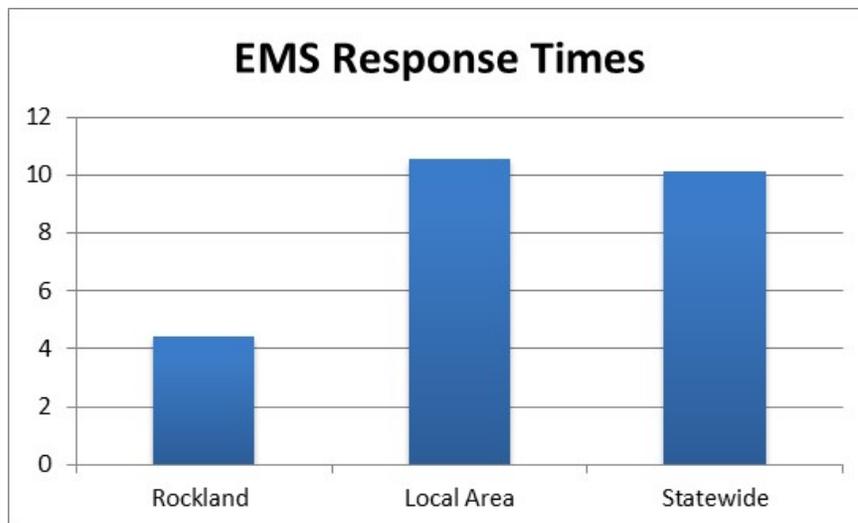


Figure 3 EMS response times in minutes & seconds

City of Rockland, Maine

As shown in the chart on the previous page Rockland's Fire & EMS Department delivers a very high level of service to our residents in comparison to both local area services and services across the State. The average response time for an EMS call in Rockland is 4 minutes and 41 seconds compared to 10 minutes and 54 seconds in nearby communities. Due to the rapid response of highly trained staff, a person suffering a cardiac arrest, among many other medical crisis's, has a much greater chance of survival than any other Rockland Fire & EMS CPR success rate for those presenting in a "shockable rhythm" is 40%, while the National average is 28.3%.

To maintain this high level of service to Rockland residents the department operates three advanced life support ambulances as well as four frontline fire apparatus and two support vehicles. The department is staffed by a Career Division (18 fulltime employees) and a Call Division (16 paid per call) employees. The majority of the career staff work 24 hour shifts (1 day on, 2 days off) that equals an average workweek of 56 hrs. Two of the career paramedics work a staggered 12 hour shift, providing each of the three shifts with an additional paramedic 50% of the time. These personnel are also responsible for managing our EMS Quality Assurance program, scheduling and conducting EMS training and preparing incident reports for billing. Our medical billing company continually praises our staff for turning in the best organized, well written reports, assuring us the best possible return through billing.

A strong Fire & EMS Department provides safety to the community and minimizes property, job, and most importantly the loss of life, when a fire or other emergency occurs.

Notable Changes for FY 17

EMS and Fire have been combined into one budget. Cost apportionment in past budget did not truly represent the costs of the Department. By bringing these areas together it's easier to illustrate the true costs of running this Department.

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Accomplishments for FY 16

- Three firefighters began coursework toward becoming Fire Officer I certified, while another completed the Fire Officer Academy and became certified at the Fire Officer I & II levels.
- Firefighter/Advanced EMT Katy Vanorse began the paramedic program, which upon successful completion in Spring 2017 will bring our paramedic level staffing to 7. Paramedic certification is critical to our ability to provide the highest levels of care to our patients and having in-house staff is much less costly than utilizing mutual aid paramedics.
- Purchased and brought on line, three mobile computers for use by our personnel for EMS call reporting. The mobile units communicate directly with our cardiac monitors and reduce data entry time for every call where this equipment is utilized. This coupled with a new State supported reporting platform that will be up and running this summer should significantly reduce staff time for reporting our medical calls.
- Worked with the Code Office and Council on the Short Term Rentals ordinance – working to ensure occupant safety while trying to encourage new opportunities for revenue for property owners.

City of Rockland, Maine

- Worked with the Code Office and Council on the Short Term Rentals ordinance – working to ensure occupant safety while trying to encourage new opportunities for revenue for property owners.
- In response to the DOL inspection and subsequent deficiencies, the department established an internal compliance officer position as well as completely overhauled our system of tracking training, certifications and clearances. This minimizes any potential citations in the future by quickly identifying issues before re-certification or review dates pass.
- A fire in an apartment building this past year proved the value of the combined Code Enforcement/Fire Department Apartment Building Inspection program when one of the smoke alarms required to be installed. This alerted the occupant and allowed him to control the fire and escape without injury. Previous to the inspection just months before, there were not working detectors in the apartment. The layout of the unit and the amount of readily available fuel would most likely have resulted in a tragic fire and far more property damage had there not been a detector present.
- The department struggled with an ambulance that had motor issues that could not be identified or corrected. In February of 2016 the city negotiated the sale of that unit to Ford and completed the purchase agreement for two new ambulances that will be delivered in August of 2016.
- Developed a strategic capital item replacement plan that seeks to even out large ticket purchases allowing a more predictable fiscal impact.
- Worked closely with mutual aid partners to identify areas that we can collaborate on to operate safer, more efficiently and reduce fiscal impact.
- Continued to be part of the conversation with local law enforcement, the medical community and community members working on ways to mitigate the opiate addiction problem. Along with law enforcement, EMS staff continues to be on the frontline of dealing with this issue.

Objectives for FY 17

- Continue succession planning and training under the guidance of the reestablished Fire Chief.
- Employ the new incident reporting platform hosted by the State to reduce staff data entry time.
- Work to reduce the number of non-emergency medical calls that tie up our resources through education and investigating a fee for service system.
- Study the effectiveness of utilizing citations and fines to reduce the number of re-occurring code violations with regard to alarm systems, exits and related housekeeping type issue that can negatively impact occupant safety.

Key Budget Issue: *Continuing to provide a full-service Fire and EMS is costly to maintain; often ambulance services are only partially funded or patients never reimburse the city, which then puts an additional burden on the taxpayers.*

In addition, the department has been without a leader - a Fire Chief - for several years. The added stress on personnel has been challenging.

035 Publicly Funded Utilities

Department Overview

Publicly funded utilities include traffic lights, street lights, and hydrant rental fees. The funding pays for the electricity to run the lights; as well as some funding for any necessary repairs. The water company charges a fee for hydrants within the city that can be used by the city in the event of an emergency where large amounts of water may be needed.

036 Code Enforcement

Department Overview

Article IVIII established the Code Enforcement Department. The Code Enforcement Office performs plumbing and building inspections, and issues permits. In addition the CEO is the Health Officer for the city; the CEO provides administrative support to the Planning Board, Comprehensive Planning Commission and the Zoning Board of Appeals.

Public Value

Rockland’s Code Enforcement Department’s primary function is to enforce the zoning and land use and licensing laws of the City of Rockland as well as all aspects of the Maine Uniform Building and Energy Code. This involves maintaining an effective enforcement program, based on the Comprehensive Plan and supported by ordinances, building and energy codes. This helps preserve and improve neighborhoods and encourage and guide development while limiting inappropriate/incompatible land uses, and protecting our environment and its valuable resources. Fair and consistent enforcement of codes and other regulations also ensures the safety and value of new buildings and improves the condition of existing buildings. The following chart breaks down how time is spent in the Code Office.

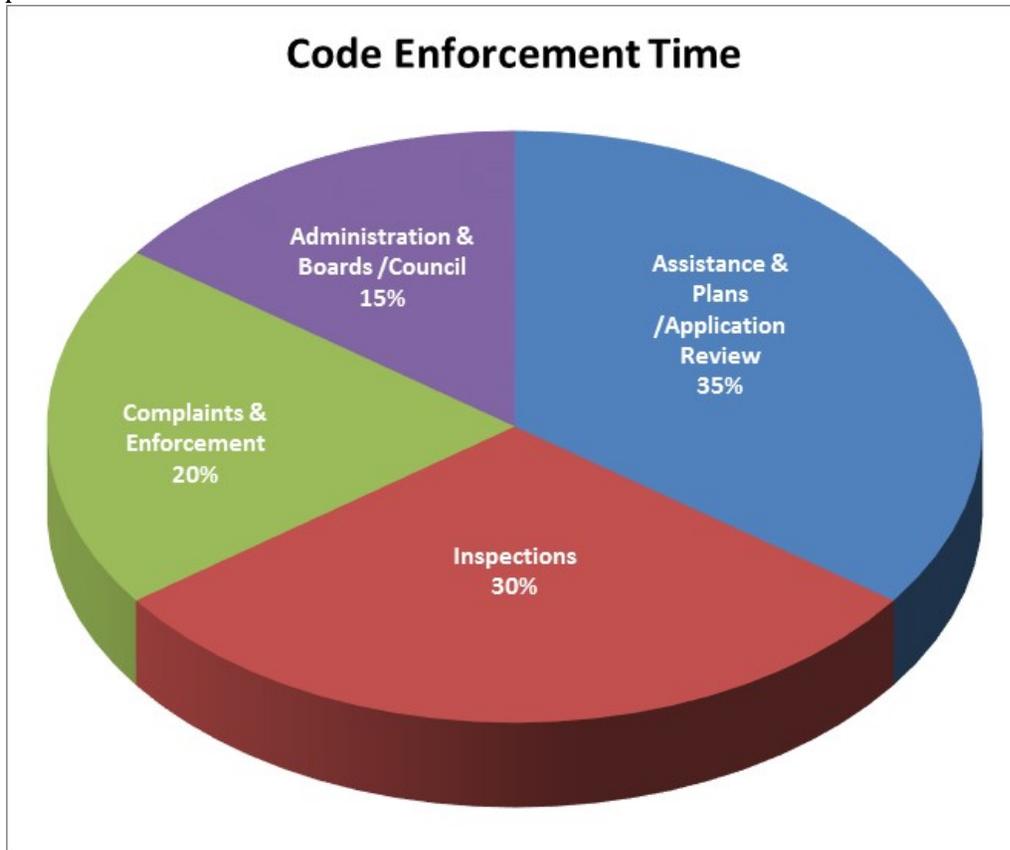


Figure 4 Breakdown of Code Enforcement Activities

City of Rockland, Maine

Assistance and Education

Assisting the public, developers and realtors in understanding and navigating the complicated regulations is one of the most important aspects of the department. Having experienced, well trained staff that can provide information and education in the beginning is valuable to applicants. Periodic inspections of work as it is being done, saves hours of enforcement time that might not otherwise be necessary. This saves taxpayers money because every hour spent on outreach and education equates to 4 hours saved in enforcement activity^[1], which is costly and inefficient^[2]. As demonstrated in the figure below the Rockland Code Enforcement Department spends the majority of staff time on public assistance, application review and inspections rather than enforcement.

Almost every building permit issued results in increased property value. In 2015, the department issued 209 building permits. The total estimated construction value recorded for FY 2015 is \$7,576,909 for 141 permits. A total of 68 permits had no value entered, so the total construction value was actually higher. Department resources spent on public assistance, application review, inspections and administration results in a significant increase in property values in a year. Following is a breakdown of the average number of all types of permits for the past three fiscal years (FY14, FY14, FY15):

¹ International Code Council

² Rockland Code Office - Average enforcement time for one complaint/issue in 15 hours.

Type	Avg/FY	Type	Avg/FY
Building Permits	215	Electrical Permits	139
Plumbing Permits	112	Sign Permits	42
Street Excavation	40	Miscellaneous	27
Planning Board	18	Sewer Connection	17
Driveway Permits	7	Flood Plain Permits	2
Shoreland Zone	2	Zoning Board	2

Enforcement and Complaints

One of the most valuable tools and most often used code is the International Property Maintenance Code. This code provides the ability to ensure that nuisances such as, but not limited to; junk cars, uncovered garbage, poorly maintained yards and buildings do not detract from otherwise nice neighborhoods. The majority of enforcement action by the Code Office are derived from these type of complaints. The department began electronically logging complaints a few years ago. In FY 15 the department addressed 98 complaints. In only eight months, this fiscal year, the department has recorded 105 complaints. Some matters are quickly resolved, but in some instances, enforcement can take months. The department continually strives to achieve prompt and thorough compliance. In doing so the department is integrally preserving property values in neighborhoods throughout the City.

Accomplishments for FY 16

City of Rockland, Maine

- Acceptance by FEMA of Flood Hazard Development Zone Maps as appealed by the City was the department's major accomplishment. The initial proposed flood maps would have resulted in many buildings and properties being brought into the flood zone, hindering development and increasing insurance premiums for property owners. While this momentous accomplishment was largely unnoticed, it likely saved property owners millions of dollars and helped retain the ability to develop waterfront properties.
- The City contracted with CAI Technologies to develop a web-based GIS system that permits anyone with internet access to view and work with tax maps and virtually all data layers available to the Code and Assessing Offices, such as zoning maps. This system will save the city thousands of dollars each year by eliminating the need for more expensive single station software, and by reducing the number of visits by realtors, developers and taxpayers to both offices.
- Ordinances regulating short term rentals in Rockland may be adopted. The ordinance provides for very basic and minimal requirements and will serve to protect the character of residential neighborhoods. The department provided extensive support to the City Council in developing this legislation.
- The department has made significant progress on getting all Code Office related documents filed electronically. Storage of plans has always been challenging, especially when trying to find older ones. The department now requests that all plans are submitted electronically.
- During FY16, the department assisted with 21 ordinances reviewed by the City Council to date.

Objectives for FY 17

- Good enforcement of the Property Maintenance Code is one of the most important and tangibly valuable services our office does. The department's goal is to equitably and consistently enforce the Property Maintenance Code, which will result in clean, safe neighborhoods in which our residents can take pride.
- Continuing to make clarifications to the Zoning Ordinance (Chapter 19) and re-writing the Site Plan/Subdivision Ordinance (Chapter 16).
- Find ways to streamline and expedite the violation notice process.
- Update the City Codes to searchable, indexed, digital format.
- Review all "single lot zones" for inclusion in updated area zoning.
- Review the Tillson Avenue Area Overlay Zone (TAAOZ) and Downtown Zones to either strengthen them or revise them to permit more flexibility.

Public Services Narrative

041 Public Services (including Waste Management)

Department Overview

Article XIV of the City's Code establishes the Department of Public Services. The Director of Public Services is appointed by the City Manager and is responsible for the combined divisions of Public Works and Solid Waste Management. The Director is charged with the "construction, maintenance, and operation of the City's infrastructure", the management of the Solid Waste Facility, and supervision and management of City employees within the two divisions of Public Services. In addition, the Director is required to annually prepare and submit a capital improvement program for the repair, replacement, or construction of City equipment and/or infrastructure.

Public Value

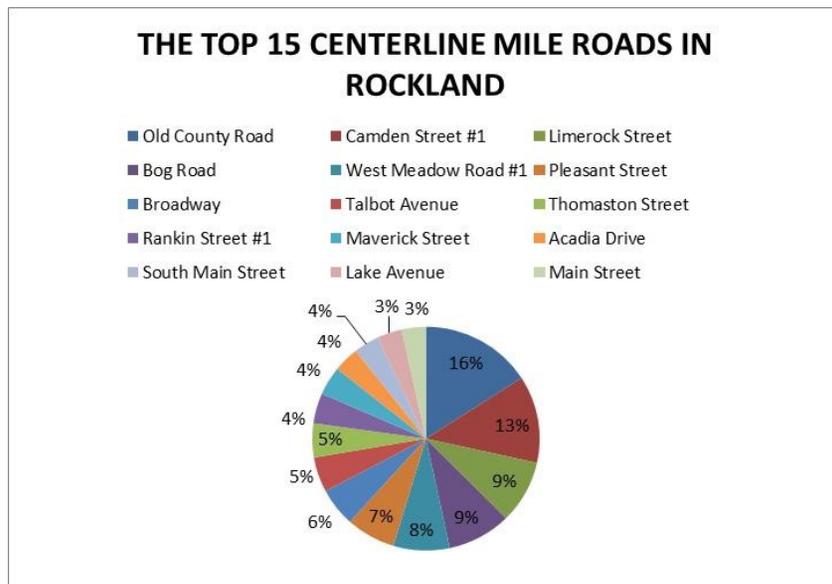
Rockland's Public Services Department is responsible for managing and maintaining the vast majority of infrastructure networks and public facilities in Rockland. The department provides a broad range of services to residents, businesses and other city departments. Departmental services are best described as core services for any City, which residents expect, and are fundamental in fulfilling basic needs such as:

1. Safe roads and sidewalks
2. Maintenance of roads and sidewalks
3. Stormwater management
4. Solid Waste Management (Disposal and Recycling)
5. Park & open space maintenance
6. City building infrastructure maintenance

In order to successfully support Rockland's continued growth the Department has established a strategic mindset with a focus on continual improvement of vital infrastructure and services coupled with a sustainable and responsible approach to the management of public resources.

Municipal Infrastructure

The Public Services Department manages more than 121 lane miles of roadway, 25 miles of sidewalks, 20 parks, and supports maintains on over 10 municipal buildings.



Public Services is also responsible for maintaining miles of stormwater infrastructure.

Solid Waste Management

Other responsibilities include a Transfer Station which compacts and transports over 5,000 tons of Municipal Solid Waste annually out of town to the final disposal location, as well as maintaining a quarry landfill. The quarry landfill has mandated continuous leachate pumping and is undergoing an accelerated closure plan estimated to be complete in the 2018 to 2019 timeframe. The Solid Waste Facility also has a recycling center and fully permitted compost operation.

Programs & Services

The Rockland Public Services Department is comprised of 20 full time employees assigned to the Public Services Facility, located at 9 Burrows Road & 400 Limerock Street. Two employees are assigned to supervisory/administrative functions, 2 employees are assigned to Fleet Maintenance and the remaining 16 are assigned to highway, parks and building maintenance and the Solid Waste Facility. The workforce is often shifted to support snow and ice control operations.

The Department of Public Services tasks differ from day to day. Each employee understands the need to add value to our organization since we impact every citizen’s everyday life with our programs and services. The department’s goal is to responsibly deliver, enhance and maintain vital infrastructure and services for the good of our community. Below are seasonal lists for summer and winter of daily value added tasks that the Public Services Department is involved.

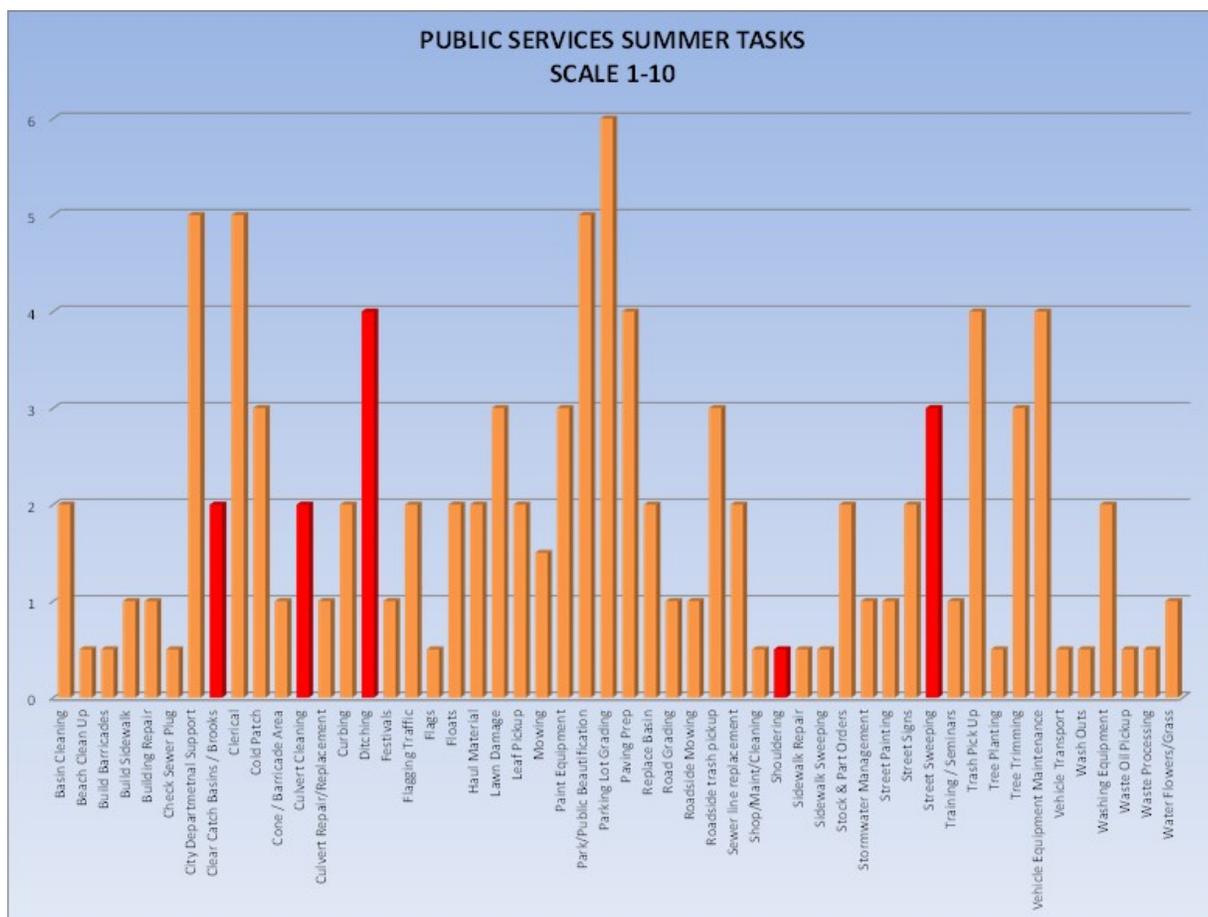


Figure 5 Summer related duties ranked by time allocated to each task

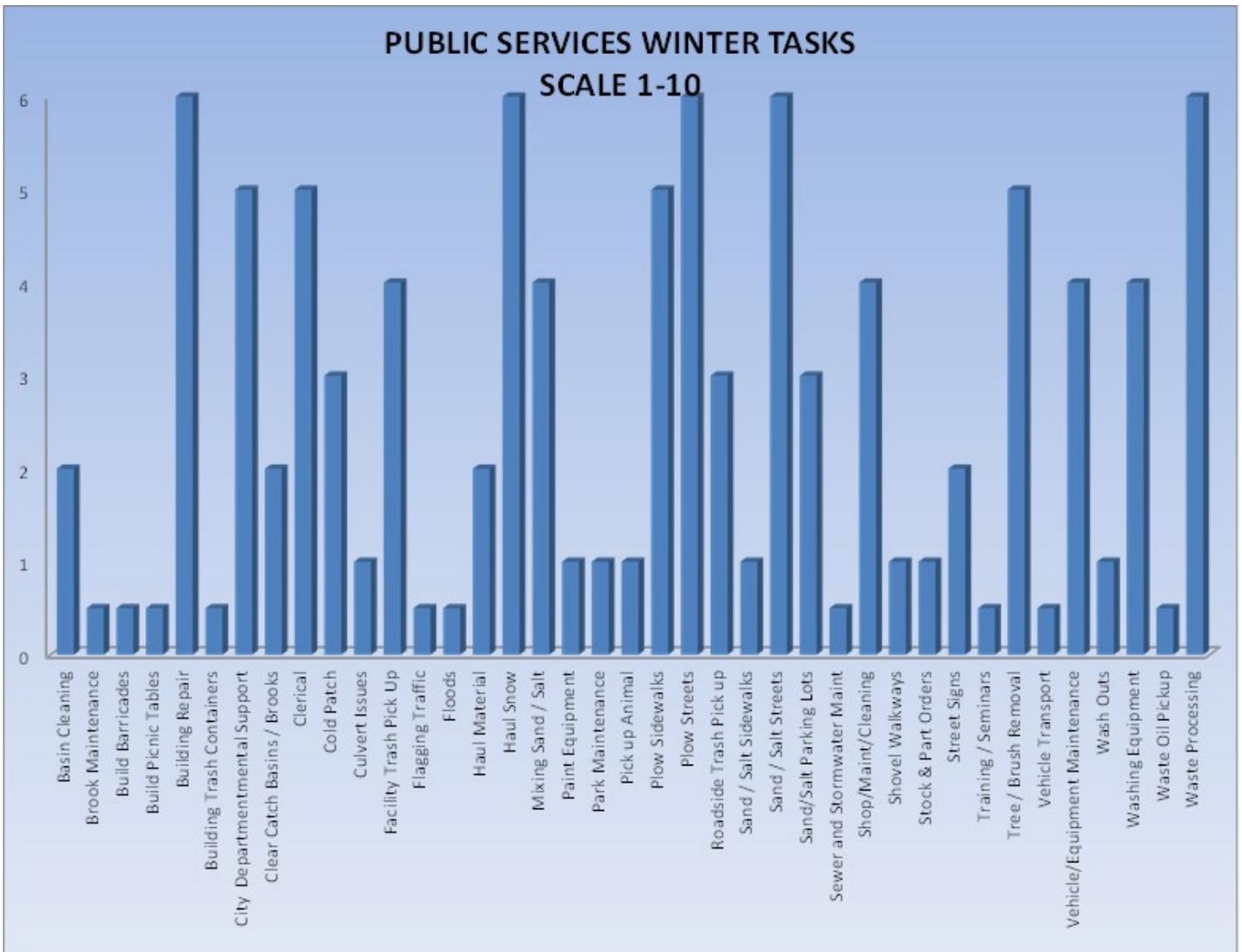
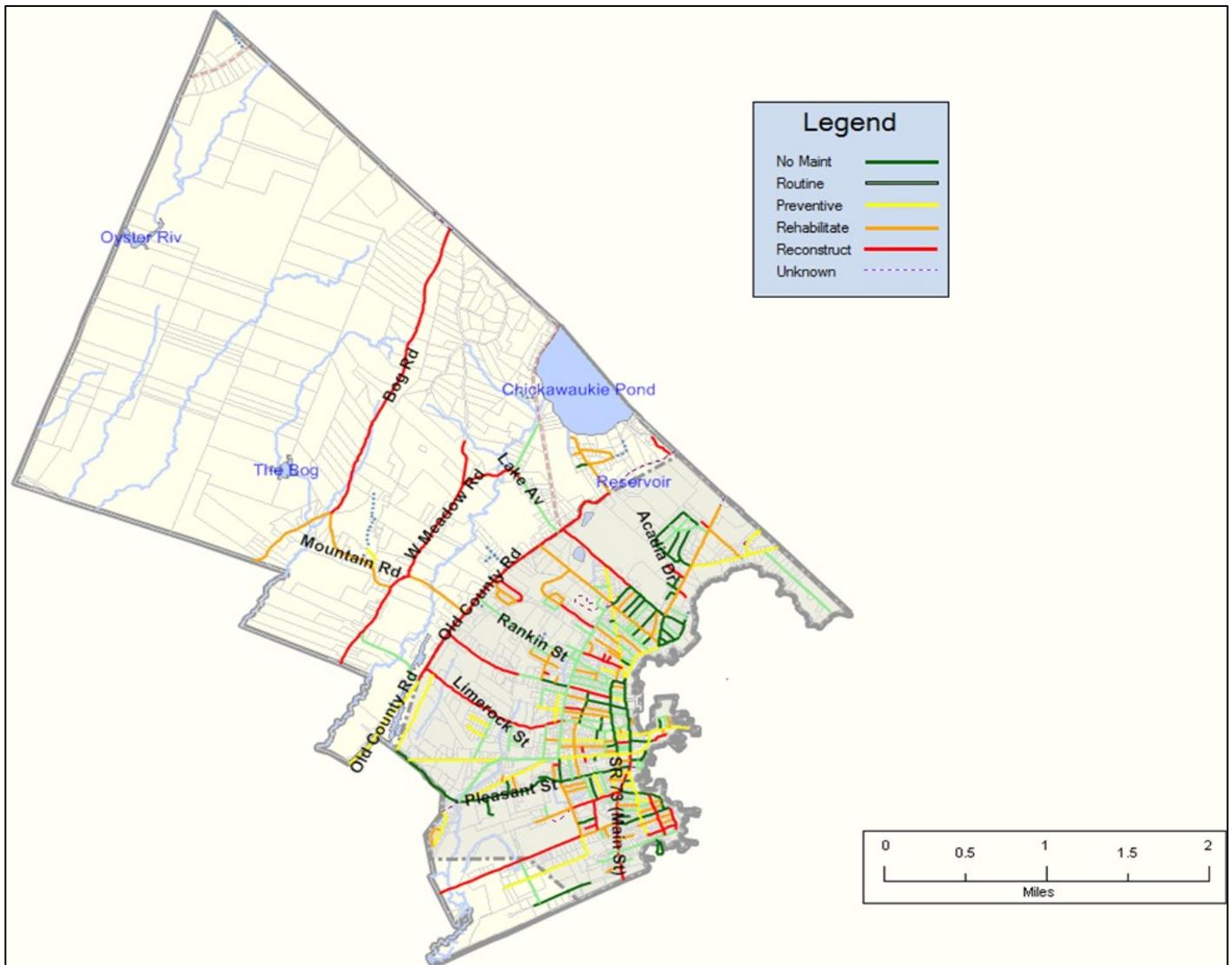


Figure 6 Winter related duties ranked by time allocated to each task

The illustration below shows what level of work needed for the current status of our roads:

City of Rockland, Maine



Notable Changes for FY 17

The City Council, in accordance with their work plan, merged the Public Works and Solid Waste Departments to become the Public Services Department in 2014. However, this is the first financial year where these budgets are combined. In addition the maintenance of Parks is also included in the Public Services budget, where it was previously part of the Recreation Department's budget. Combining the two budgets into one and including park maintenance accounts for the appearance of a major increase.

A portion of Public Service projects, specifically repairs to roads and sidewalks, will be funded using TIF revenue. This helps ensure that TIF funding is used for projects that have a city-wide impact and benefit to all residents.

Another major focus for this budget will be testing and utilizing different public works strategies such as snow removal treatment applications, road repair strategies and when to use internal department resources on construction projects. The focus will be on developing skills of Public Services employees to move toward the Department having the ability to provide a full suite of services to the City in terms of infrastructure and facilities maintenance and capital projects.

City of Rockland, Maine

We have also improved Customer Service and responsiveness in the Public Services by having a dedicated staff member for receiving trouble calls and immediately passing on issues to PS to address.

Accomplishments for FY 16

- Updated overall safety written programs and training.
 - Set up a weekly safety performance tracking board.
 - Began daily morning stretching exercises with daily morning reminders on expectations.
 - Instituted a mandatory incident investigation program where all incidents are reviewed immediately and corrective actions are implemented immediately.
- Carried out a winter sanding route survey to document electronically each different sanding route with the time allocated for each route. This information will be used for future plow routes.
- Began making brine and using salt with brine on several of the plow trucks and incorporated it in several of the plow routes.
- Worked on the cultural changes associated with Public Service and customer expectations.
- Brought department staffing up with new laborer positions to replace losses of employees in the department.
- Completed the City-wide Road System Maintenance Software (RSMS) inventory. The RSMS program creates a digital inventory of the condition of all City Roads and determines the level of repair that can be performed then calculates the cost for the selected level of repair.
- Integrated new management into the existing group and began interdepartmental cross-training.

Objectives for FY 17

- Streamline Business Processes & Practices.
 - Implement Insource time accounting system to better track time per task to assist with understanding costs.
- Finalize and present for adoption a comprehensive snow and ice management plan.
 - A written snow and ice control plan will help with understanding what the expectations are and how those situations will be handled consistently.
 - Switch to a more salt base approach to snow and ice control.
- Carry out the city's infrastructure improvement projects in a calculated, systematic and professional way in order to gain the most value for Rockland Residents while trying to minimize the impact those projects may have on the community.
 - The Old County Road Rebuild project will be carried out and managed in a professional manner to protect the City's interests and investment.
 - Stormwater separation opportunities when approved will be incorporated into resurfacing projects to preserve and maintain road integrity.
- The Solid Waste Facility will be fine-tuned to incorporate the policy decisions made by the City
 - A final landfill closure plan will be developed to close out the quarry landfill by 2019. Incorporating the use of local resources to minimize closure costs.
 - A 75% DEP landfill closure reimbursement will be finalized and a schedule of compliance will be entered outlining the final closure steps.
 - Close out the North end of the Q2N landfill near the Limerock Street Bridge with the spoils from the Old County Road Project.

City of Rockland, Maine

- The Thompson Meadow Road Bridge that has been outlined by DOT for replacement due to poor condition will be strategically incorporated into the current round of DEP grant funding which will hopefully fund the bridge replacement.
 - In addition, we hope to reestablish migration habitat for alewife access to Chickawaukie Lake.
- Begin the stamped concrete sidewalk pilot project with Public Services workforce.
 - Emphasis on park, esplanade and sidewalk beautification and upkeep.

Key Budget Issue: *The Public Works and Waste Management departments have been combined; management is under one director. The coming year will give the story as to how well the re-organization and combining of the two departments has worked.*

Public Assistance

052 General Assistance

Department Overview

The General Assistance program is mandated by State Statute and the Department of Health and Human Services. The purpose of GA is to provide basic necessities such as, food, shelter, utilities, fuel, clothing, and certain other items. It is not intended to provide total support to people and it is the last resort, meaning they shall have exhausted all other programs before they are assisted by the City. The State of Maine reimburses 70% (formerly 50%) of all costs associated with basic necessity expenditures; but administrative costs for running the program are not reimbursable.

Key Budget Issue: *The need for public/general assistance continues to be great in the City; state funding has increased slightly to support the program.*

Culture and Recreation

061 Harbor, Waterfront and Fish Pier

Department Overview

Article VII of the City's Code established the Harbor and Waterfront Department. The Harbormaster, appointed by the City Manager, is charged with the care of the harbor and the "vessels and rafts therein". The Harbormaster is allowed to board vessels upon their arrival in Rockland's harbor, to relay the regulations of the port and to direct the vessels to where they may anchor. The Harbormaster is also responsible for removal of watercraft as may be necessary.

In addition, the Harbor Master enforces rules and regulations of the City set by ordinance, or as established by law, including Channel Rules of the harbor.

Public Value - Rockland Harbor

Rockland harbor is one of the largest and busiest harbors in Maine and has long distinguished our City as the center for maritime activity in Midcoast Maine. The department has managed to assist in preserving our working waterfront³ through providing facilities that cater for commercial fishermen and other commercial marine activities. The City also provides facilities for recreational boaters and cruise ships, both of which provide a lot of value for residents and visitors and contribute to Rockland's economy. It is important for Rockland's economy to keep this balance between working waterfront users and recreational boaters. Therefore, the value of Rockland's Harbor and Waterfront Department is managing one of Rockland's greatest assets to ensure the needs of all users are balanced.

City of Rockland, Maine

Much of Rockland's success in achieving this balance is through owning and successfully operating a number of public facilities. These facilities provide access to the harbor for a variety of harbor users such as the Fish Pier, commercial pier (Middle Pier), Public Pier and the public boat launch at Snow Marine Park. The management of our harbor's facilities requires a balance between facilities maintenance, administration, enforcement and policy implementation. The following provides an overview of the value provided to Rockland taxpayers through the operation of public facilities on our harbor.

³ Working waterfronts contribute 45% more in economic activity to a community than coastal residential development. Colgan, Charles, "The Contribution of Working Waterfronts to Maine's Economy", *Working Waterfront Coalition*, 2004

Public Value - Fish Pier

Rockland's Municipal Fish Pier supports \$10 million a year in private activity and investment within the commercial fishing industry. It is an important piece of infrastructure for both the City and the Midcoast region. The Fish Pier ensures ongoing water access for fisherman and facilitates higher prices for the fishermen by providing a more efficient market where buyers and sellers have easy access to one another. In 2013 Rockland's Fish Pier ranked 30th in the nation in total volume of fish landings among all U.S. ports. ⁴

The Harbor and Waterfront Department leverages additional value through the economic activity generated by marine related tourism. By providing safe and convenient facilities and comprehensive services to visitors who arrive at Rockland by sea, the City creates and economic activity from seafaring visitors who shop, dine and lodge in Rockland.

⁴ National Marine Fisheries Service

Notable Changes for FY 17

The Harbor Department and Fish Pier have been merged into one Department that will be overseen by the Harbor Master. The Assistant Harbor Master will be a year round full time position that will oversee the operations of the Fish Pier. It should be noted that in order to ensure the Harbor is able to maintain its current level of service, most activities related to collecting payment of fees and licenses will be the responsibility of the Finance Department and the Department of Public Services will be assisting in much of the repair and maintenance work at the Piers and buildings.

Accomplishments for FY 16

- Highest level of revenue generated by the waterfront ever. This is due to a very successful fishing year and cruise ship season.
- A study will be completed to redesign the public landing. Funding for this study was secured through the Maine Coastal Program.
- Sought MDOT and Economic Development Administration funding for the redevelopment of the Fish Pier.

Objectives for FY 16

- Consolidation of Fish Pier and Harbor operations into one department.
- Online administration of harbor operations including mooring permits and reservations, docking
- Seek funding to begin the redevelopment of the Public Pier.

Key Budget Issue: *Continue to bring in revenues to offset operating costs. Build on the Rockland waterfront as the place to go, thereby helping to attract revenue generating patrons to the midcoast region.*

062 Library

Department Overview

Article X of the City's Code established the City's Library Department managed by the Library Director. The Library Director is appointed by the City Manager. The Library Director's duty is to supervise staff, and have "charge of all functions pertaining to the service of the Rockland Public Library." During the budget process the Library Director is required to provide the City Manager a program of "Library building and land improvements, care and maintenance" so that funds may be budgeted for the continued support of the library.

The Mayor appoints and the Council confirms a five member Library Advisory Committee. The duties of the Committee are to advise the City Council on library policy, encourage citizen participation, advise the Director in adult education programming; and to assist in obtaining public donations for support of the library.

Public Value

The Library strives to deliver services in the most cost effective and efficient manner possible through leveraging local funding to provide services with a value far above and beyond their cost. As demonstrated in the figure below, for every dollar of taxpayer money spent the library provides a return on investment of \$7.27⁵ in services.

⁵ Maine State Library Value Use Calculator

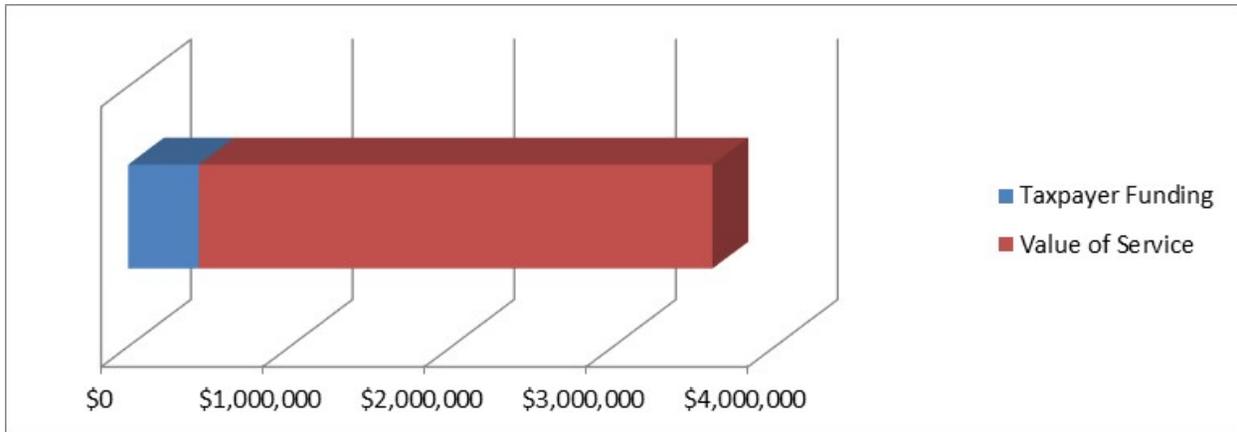


Figure 7 Value of service

City of Rockland, Maine

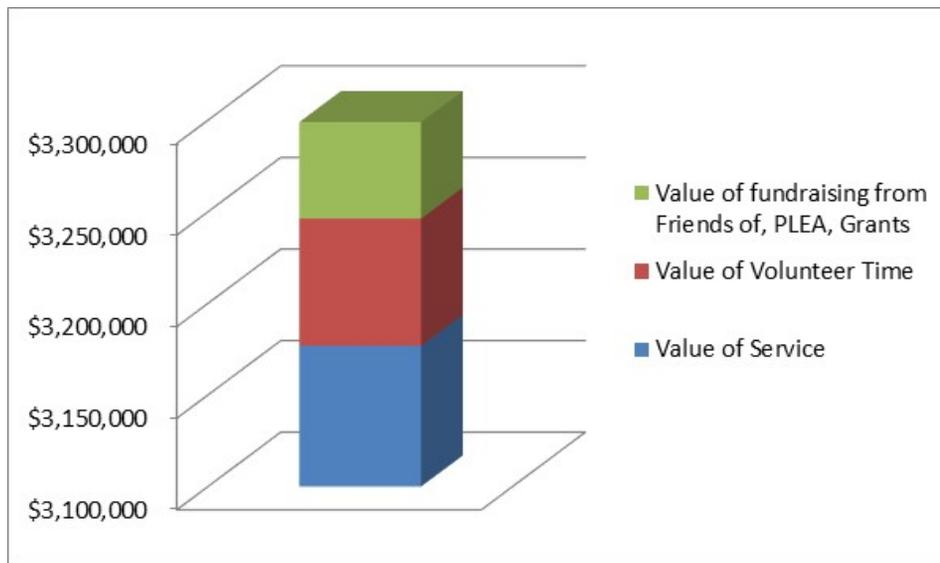


Figure 8 Funding leveraged for every \$1 of taxpayer money

In addition the library leverages an additional \$16.90⁶ for every tax dollar spent in both monetary contributions and volunteer time and talent. This means that for every \$1 of taxpayer money spent the Rockland library returns \$24.17 to the Rockland community.

⁶ Funding and volunteer hours contributed by Friends of the Library, PLEA and Grants

While the value of the services provided by the Library may be apparent to patrons it can be less obvious for those who do not use the library on a regular basis. However, our library provides many indirect benefits for those who do not directly use this service. While this value can be difficult to quantify it has a direct relationship to the quality of life and wellbeing in our community.

The following is an overview of the indirect value provided by Rockland’s Library.

Indirect Value of Library Services		
<p>Community Wellbeing programs and services to families, children elderly</p>	<p>Economic Wellbeing computer literacy, resources for job seekers, meeting & working space for small businesses</p>	<p>Social Connection & Civic Engagement Meeting rooms for clubs and other groups,</p>

- **Community Wellbeing** - The community-wide benefits from our Library are a result of services provided to families, children and the elderly, which contribute to overall community wellbeing and resilience. This indirectly benefits all other local residents by making the community a more attractive place to live, which translates into better property values.

City of Rockland, Maine

- **Economic Wellbeing** - Our Library plays a key role in improving our workforce and enriching our local economy. The library provides computer literacy training, provides job seekers with access to resources they need to find jobs and a free place for people with very small businesses to work outside their homes. This improves overall economic wellbeing in our community, which has an indirect benefit on all residents.
- **Social Connection & Civic Engagement** - Our library is vital piece of public infrastructure that connects residents to one another through providing meeting rooms for clubs and groups and serving as a “public living room” where people of all ages and backgrounds are welcome. While this directly benefits patrons, it also indirectly benefits the whole town because it makes the community a more socially and civically connected place.

Accomplishments for FY 16

- Many essential repairs and upgrades were made to the building including:
 - Working with Casco Bay and Resurgence Engineering firms to finalize a report on the condition of the building.
 - Isolating and repairing a leak in the HVAC, with the help of the Public Services Department.
 - Replacing seventeen pendant lamps with LED conversion kits in order to significantly reduce electricity use.
 - Commencing a community art project mural in the stairwell.
- Working with the Library’s three support groups, the Library Advisory Committee, Friends of Rockland Public Library, and Rockland Public Library Endowment Association to coordinate additional fund-raising efforts.
- Re-organized staff in accordance with Library needs and skills available.
- Updated computers to current standards; staff training in technology.
- Improved marketing of library programs and services to target audiences and increased awareness about the library in the community.
- Kept the collection current, lively and responsive to the community, including: integrating more eBooks, downloadable eBooks, and Audio, and other technologies.
- Used partnerships with the Friends of the Rockland Public Library, PLEA, city departments, schools, service groups, local organizations, entities, and artisans and businesses, for both programming initiatives, and to increase private, business and foundation contributions.
- Improved existing programming and created new programming that is responsive to the current needs in the community such as very early child & family literacy.

Objectives for FY 17

- Continued building improvements including:
 - Implementation of the recommendations from the recent engineering report prepared by Casco Bay and Resurgence engineering firms
 - Updating interior lighting
 - Repairing handicapped “ramp” lower level
 - Repair water damage to South wall interior
 - Smoke detection system installed and tied to monitoring
 - Obtain HVAC Maintenance contract
 - Space Analysis for possibility of additional shelving

City of Rockland, Maine

- Collaborate with Community Development Director, Career Center, local businesses to support workforce & economic development
- Update Library's website; incorporate local links, community information, agencies
- Revitalize (physical) City Information Section; incorporate highlighting departments
- Collaborate with organizations & agencies to create raised beds for Community Food Gardens
- Initiate Oral History Project
- Continue to maintain existing programming and evaluate general library programming and how to improve marketing to target audiences
- Continue to revise, refine, and create updated policies
- Enhance the Teen area on the main floor and find ways to connect with teens with input from the Teen Advisory Committee
- Continue to take advantage of partnerships with the Friends of the Rockland Public Library, PLEA, city departments, schools, service groups, local organizations, entities, and artisans and businesses, for both programming initiatives and fundraising
- Continue to pursue grant opportunities in partnership with the Community Development Director and Rockland Historical Society
- Update computers to current standards; staff training in technology
- Improve customer service by enhancing staff training, communication, and morale
- Increase Library presence in City events—Main St. Rockland, Mid-coast Magnet, Pen Bay Chamber, festivals, etc.
- Update the collection so it is responsive to the community including integrating eBooks, downloadable eBooks, and Audio, and other technologies

Key Budget Issue: *Aging historic building that is in need of a multitude of refurbishments.*

Debt Services

081 Debt

Department Overview

The Debt Service budget is one that accounts for long-term spending of the City for its capital asset requirements.

Rockland's long term debt stands at approximately 14% of debt allowable by state statute. Over time, a new plan will look at establishing a "level debt" whereby the City will not exceed a certain debt level, but will entertain an infrastructure improvement program to update the city's capital and equipment needs. This plan, if enacted, will not grow the mil rate and will help maintain a stabilized capital improvement plan.

Notable for FY 16

The city recently was awarded a 20 year bond with the Maine Municipal Bond Bank for the purpose of the re-construction the Old County Road. The bond is for the amount of \$1.6 million. The City was also able to procure two grants of \$500,000 each to help defray the costs of this project.

The project began in April 2016, and is expected to be completed by late July, or early August 2016.

Key Budget Issue: *Trying to maintain a level debt with significant infrastructure needs of the City.*

Other Governmental

091 County Dispatch/E-911

City of Rockland, Maine

Department Overview

By contract, the City participates with other municipalities within the county, and annually provides funding for the County E-911 and Dispatch center. The County Dispatch Center provides emergency calling services to the city for all fire, EMS, and police departments.

Other Governmental

091 County Appropriation

The county assesses each municipality in the county with its share of the county's annual taxes for county operations, which include:

- Administrative Offices (Executive and Finance)
- Public Safety (Sheriff's Department, Jail and EMA)
- Registry of Deeds
- Probate Court
- Regional Airport

The county operates on a calendar year; their budget is approved each December for the following year. County Taxes are due by September 1 annually; however, late fees are not assessed until after November 1 each year.

Key Budget Issue: *Funding for the county comes as a fixed cost, as the county budget is approved in December of each year, prior to the beginning of the City's fiscal year.*

092 RSU #13

The Regional School Unit #13 consists of the municipalities of Cushing, Owls Head, Rockland, South Thomaston, and Thomaston. St. George withdrew from the RSU and has formed their own school system.

Once the annual school appropriation has been calculated, based on a convoluted rating system, each municipality pays their share of school funding to the RSU on a monthly basis.

Key Budget Issue: *Over the last several years the stagnant economy has made budgeting increasingly more difficult; continued changes in state aide for education continues to rely more heavily on municipal taxpayers.*

Wastewater Pollution Control Facilities

Although Wastewater Pollution Control Facilities, and Wastewater debt are a part of the budget document, the Wastewater and Storm Water Maintenance operate as "enterprise funds". The funding for these enterprise funds is derived from users of the systems, therefore no taxes are raised for their support.

Gender Representation of the City - 2014

Population 2014:

Male	3,440	47.51%
Female	3,801	52.49%
Total	7,241	100.00%

USCensus



Median Household Income by Age

	Rockland	Maine	US
less than 25	\$30,875	\$27,013	\$25,955
25 to 44	\$48,561	\$53,607	\$58,073
45 to 64	\$53,684	\$58,329	\$64,486
65 or over	\$36,173	\$31,787	\$36,181

Median Family Income

	Rockland	Maine	US
	\$59,542	\$60,408	\$64,585

Mean Family Income

	Rockland	Maine	US
	\$73,437	\$74,550	\$85,065

Per Capita Income

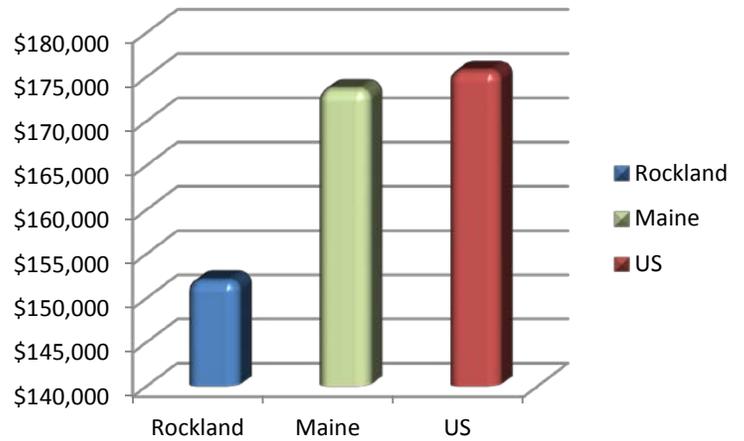
	Rockland	Maine	US
	\$27,141	\$26,464	\$28,051

Median Individual Worker Income

	Rockland	Maine	US
	\$24,935	\$27,361	\$30,376

Median Value of Total Owner Occupied Houses (2,125)

	Rockland	Maine	US
	\$152,000	\$173,600	\$175,700



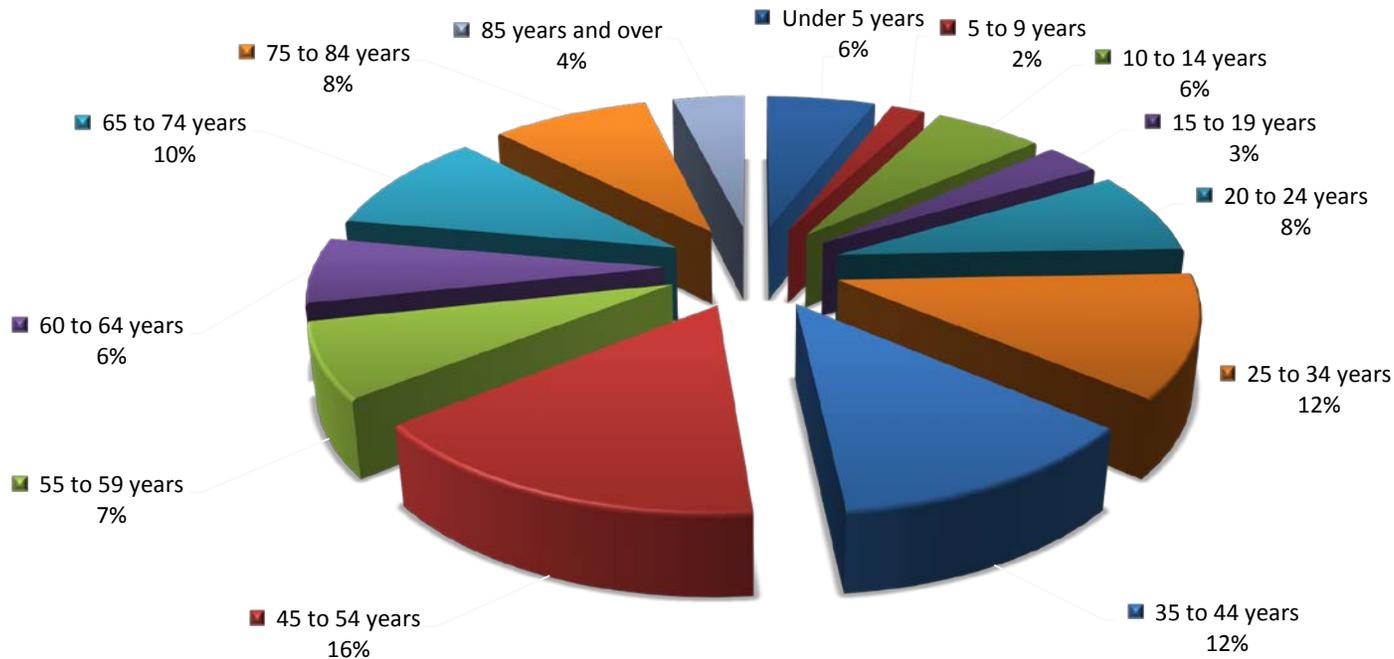
Median House Price Growth Since 2000

	Rockland	Maine	US
	84.47%	75.89%	46.91%

Source: USA.com

**City of Rockland, Maine
Demographic Information**

City of Rockland- Age Analysis 2014



Population dynamics:	Number	Percent
Under 5 years	424	5.86%
5 to 9 years	130	1.80%
10 to 14 years	423	5.84%
15 to 19 years	215	2.97%
20 to 24 years	571	7.89%
25 to 34 years	855	11.81%
35 to 44 years	889	12.28%
45 to 54 years	1,155	15.95%
55 to 59 years	521	7.20%
60 to 64 years	454	6.27%
65 to 74 years	708	9.78%
75 to 84 years	616	8.51%
85 years and over	280	3.87%
Total	7,241	100.00%

Median Resident Age Estimates
46.4 Years Rockland
43.5 Maine

Source: US Census Bureau Quick Facts

Rockland has the largest population of any municipality in Knox County and is the County Seat.

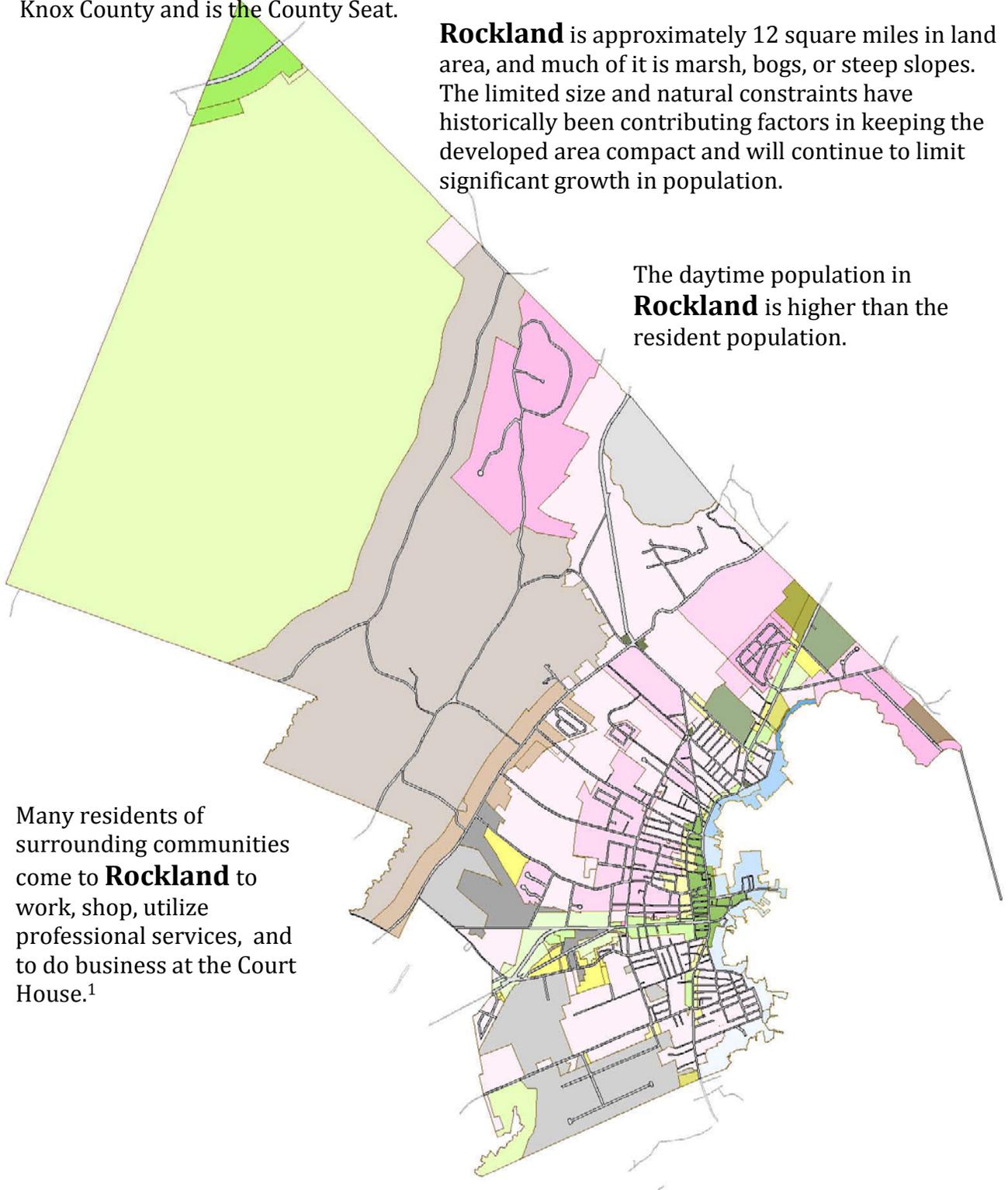
City of Rockland, Maine

Rockland is approximately 12 square miles in land area, and much of it is marsh, bogs, or steep slopes. The limited size and natural constraints have historically been contributing factors in keeping the developed area compact and will continue to limit significant growth in population.

The daytime population in **Rockland** is higher than the resident population.

Many residents of surrounding communities come to **Rockland** to work, shop, utilize professional services, and to do business at the Court House.¹

¹ City of Rockland 2002 Comprehensive Plan



City of Rockland
Knox County - State of Maine

Statistical Information

Date of Incorporation	1854
Form of Government	City Council
Number of full-time employees	93
All employees (including firefighter call division-18)	126
Area in square miles	12.9
Population density	558 people per square mile

The City of Rockland was incorporated in 1854. It was well-known for its industrial beginnings of commercial fishing and lobstering, granite quarrying, lime processing, and shipbuilding. Rockland is home to more than 7,000 residents. The City offers a diverse mix of art, culture, economics, and recreation, and has an historic downtown. Residents and visitors alike sail in Rockland Harbor, walk Sandy Beach or the nearly one-mile granite breakwater to the lighthouse. Rockland is a great small City in which to live or visit.¹

Compared to the rest of the country it costs Rockland residents 0.6% more than the US average to live. The unemployment rate is 6.7%. The current population is 7,314*, which is down -3.01% from the 2010 census. The median home cost in Rockland is \$153,700; the median home cost has decreased by -1.6% in the last year.²

Rockland Public Schools spent nearly \$15,322 per student; compared to the average \$14,435 in the US.²

Rockland gets approximately 47 inches of rain per year; the US average is 37. Snowfall is 60 inches; the US average is 25 inches of snow per year. The number of days with any measurable precipitation is 131.²

On average, there are 199 sunny days per year in Rockland. The July high is around 77 degrees. The January low is 13. Our comfort index, which is based on humidity during the hot months, is a 62 out of 100, where higher is more comfortable. The US average on the comfort index is 44.²

Ten Largest Taxpayers - 2015

	Taxable Value	% of Value		Taxable Value	
Marine Colloids Inc.	\$12,474,000	1.60%	Rockland Plaza Realty Corp	\$6,648,500	0.85%
Central Maine Power Company	\$11,107,400	1.42%	Rockland Harbor Park LLC	\$6,540,000	0.84%
Harbor Plaza LLC	\$10,872,100	1.39%	OSJ of Rockland LLC	\$5,906,500	0.76%
Douglas Dynamics LLC	\$10,477,400	1.34%	The Maine Water	\$5,167,300	0.66%
HD Development of Maryland Inc.	\$7,196,800	0.92%	Breakwater Market	\$5,167,300	0.66%
Total Taxable Value Top Ten				\$81,557,300	10.44%
2015 Total Taxable Value				\$780,948,600	

¹ www.bestplaces.net

² www.ci.rockland.me.us

*Note: US Census has estimated Rockland's population to be 7,241.

Municipal Government Statistics

**City of Rockland
Knox County - State of Maine**

FINANCE-City Expenditures and Revenues

For the year ended June 30, 2015 the finance department accounted for more than \$31.3 million in expenditures and \$30.5 million in revenue.

	Expenses	Revenue
City of Rockland	\$12.9 million	\$6.6 million
RSU #13 (City Share)	\$8.1 million	
Knox County (City Share)	\$0.7 million	
Grants and Other	\$9.6 million	\$23.9 million
	\$31.3 million	\$30.5 million



Public Safety - POLICE

Calls for Service

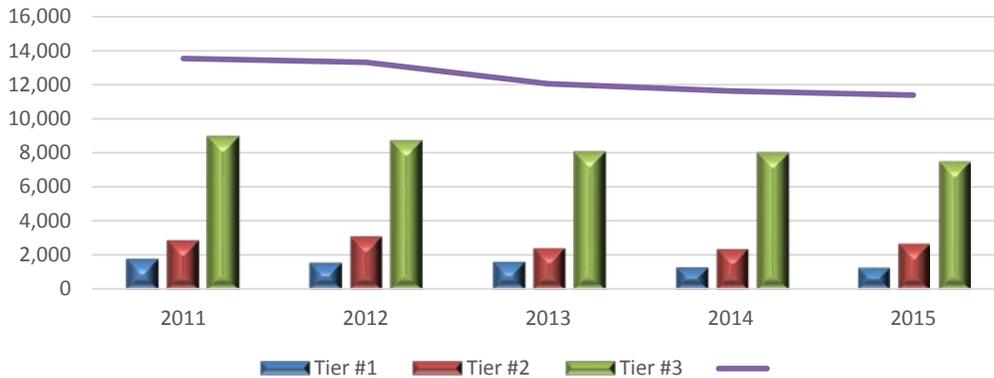
Tier #1 - Crimes Against Persons, Crimes Against Property, Alcohol/Drug Related Crimes, Traffic Crashes

Tier #2 - Quality of Life Calls, Animal Calls, Juvenile Problems, Parking Tickets

Tier #3 - Proactive, Other Calls

	2011	2012	2013	2014	2015	5 Year Total
Tier #1	1,744	1,538	1,584	1,283	1,269	7,418
Tier #2	2,871	3,082	2,423	2,364	2,673	13,413
Tier #3	8,932	8,706	8,045	7,980	7,450	41,113
	13,547	13,326	12,052	11,627	11,392	61,944

City of Rockland, Police
Calls for Service - Based on Tier System



Arrests and Summons

	2011	2012	2013	2014	2015	5 Year Total
Adult	1,225	1,301	828	853	693	4,900
Juvenile	50	52	26	11	49	188
	1,275	1,353	854	864	742	5,088

City of Rockland
Knox County - State of Maine

Municipal Government Statistics

-continued

FIRE-EMS Calendar Year 2015

Total Calls	2056	
EMS Calls	1698	82.60% (all calls where EMS was the primary reason for the response) 1,805 patients
Fire Calls	358	17.40% (includes all non-EMS incidents: alarms, fires, etc.)
Calls by Day of the week	within 36 calls from lowest of 269 (Sat) to 305 (Mon) No real statistical difference	
Overlapping calls	444 of 2,056	21.60%
Aid Given	160	7.70%
Aid received	18	<1%
Response Avg for all call	5:00	
EMS response time	4:45	
Fire response time	5:56	
Average on scene time	28:07:00	
Average Incident Time	45:25:00	Dispatch to Cleared time all incidents (cleared scene or PBMC not including return times)
Alarm time to Dispatch	1:55	time from 911 pick-up to notification of RFD
Car Seat Assessments	43	5th busiest car seat checking center in the State
Car Seats Given Away	16	

Call Division Activity	FY15	FY16	AVG
Average recalls/month	25	23	24
Time per recall	2.2	2	2.1
Hourly rate averages	\$15.02	\$19.51	\$17.27
Attendance- Call Div	4	3.61	3.805
Attendance- Career Div	1.98	2.38	2.18
Call Div recall percentage	24.9	24.9	24.9

EMS CPR Success	
National (AHA data)	28.30% (Those patients who are in arrest with a "shockable rhythm") 10.40% (Those patients who are in arrest with either shockable or non-shockable rhythm)
Rockland 6 yr avg	40% (Those patients who are in arrest with a "shockable rhythm") 12% (Those patients who are in arrest with either shockable or non-shockable rhythm)
Number of Cardiac Arrests	49
Saves	5 (Return of Spontaneous Circulation) before arrival at PBMC
past 12 months	6 shockable rhythm arrests (500% increase) 10 non-shockable (asystole) arrests

**City of Rockland
Knox County - State of Maine**

Rockland Public Library - FY 2015

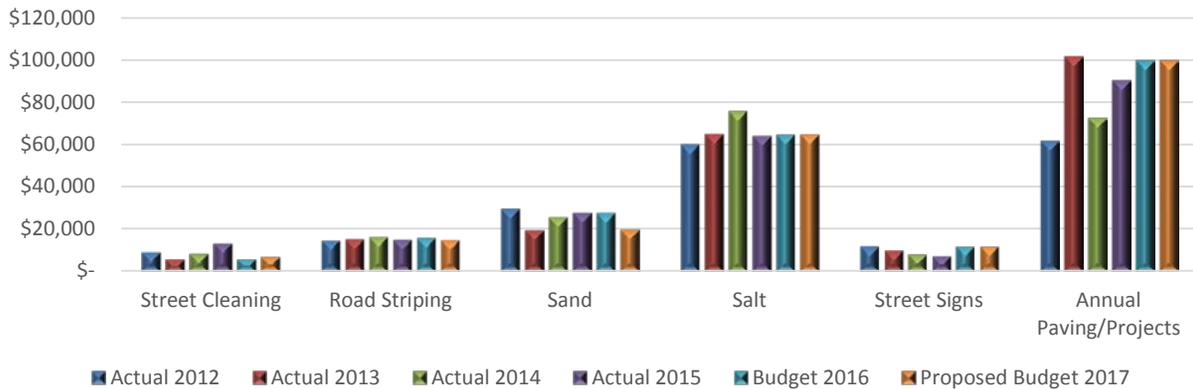
Value of Library Services FY 15		
Library Services	Number	Value of Service*
Books Borrowed	48,557	\$ 874,026
Paperback Books Borrowed	3,479	\$ 41,748
Children's Books Borrowed	21,905	\$ 219,050
Audiobooks Borrowed	7,885	\$ 197,125
Interlibrary Loan Request	18,448	\$ 553,440
eBooks/Audiobooks Downloaded	4,855	\$ 38,840
Magazines Borrowed	1,867	\$ 14,936
Videos Borrowed	26,029	\$ 442,493
Magazines Use in Library	4,565	\$ 34,238
Meeting Room Use per Hour	280	\$ 14,000
Auditorium Use per Hour	567	\$ 141,750
Adult Programs Attended	3,177	\$ 44,478
Children's Programs Attended	7,321	\$ 84,192
Hours of Computer Use (Internet and MS Word)	27,211	\$ 326,532
Reference Questions Asked	10,007	\$ 150,105
	186,153	\$ 3,176,953

*Maine Library Value Use Calculator

Public Services - Road Improvements and Related Maintenance FY 12 Actual to FY 17 Proposed Budget

	Actual 2012	Actual 2013	Actual 2014	Actual 2015	Budget 2016	Proposed Budget 2017
10041-						
05038 Street Cleaning	\$ 9,343	\$ 5,803	\$ 8,514	\$ 13,407	\$ 6,000	\$ 7,150
05039 Road Striping	\$ 14,951	\$ 15,527	\$ 16,436	\$ 15,128	\$ 16,000	\$ 15,000
60312 Sand	\$ 29,922	\$ 19,804	\$ 25,970	\$ 27,980	\$ 28,000	\$ 20,000
06032 Salt	\$ 60,088	\$ 65,240	\$ 75,894	\$ 64,270	\$ 65,000	\$ 65,000
06036 Street Signs	\$ 12,024	\$ 10,121	\$ 8,250	\$ 7,433	\$ 12,000	\$ 12,000
07004 Annual Paving/Projects	\$ 61,701	\$ 101,878	\$ 72,747	\$ 90,384	\$ 100,000	\$ 100,000
	\$ 188,029	\$ 218,373	\$ 207,811	\$ 218,602	\$ 227,000	\$ 219,150
		\$ 30,344	\$ (10,562)	\$ 10,791	\$ 8,398	\$ (7,850)
		16.1%	-4.8%	5.2%	3.8%	-3.5%

Public Services Improvements FY 12 - Proposed FY 17



City of Rockland, Maine

Consumer Price Index (CPI - U)

Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Avg.
2016	236.916	237.111	238.132										
2015	233.707	234.722	236.119	236.599	237.805	238.638	238.654	238.316	237.945	237.838	237.336	236.525	237.017
2014	233.916	234.781	236.293	237.072	237.900	238.343	238.250	237.852	238.031	237.433	236.151	234.812	236.736
2013	230.280	232.166	232.773	232.531	232.945	233.504	233.596	233.877	234.149	233.546	233.069	233.049	232.957
2012	226.665	227.663	229.392	230.085	229.815	229.478	229.104	230.379	231.407	231.317	230.221	229.601	229.594
2011	220.223	221.309	223.467	224.906	225.964	225.722	225.922	226.545	226.889	226.421	226.230	225.672	224.939
2010	216.687	216.741	217.631	218.009	218.178	217.965	218.011	218.312	218.439	218.711	218.803	219.179	218.056
2009	211.143	212.193	212.709	213.240	213.856	215.693	215.351	215.834	215.969	216.177	216.330	215.949	214.537
2008	211.080	211.693	213.529	214.823	216.632	218.815	219.964	219.086	218.783	216.573	212.425	210.228	215.303

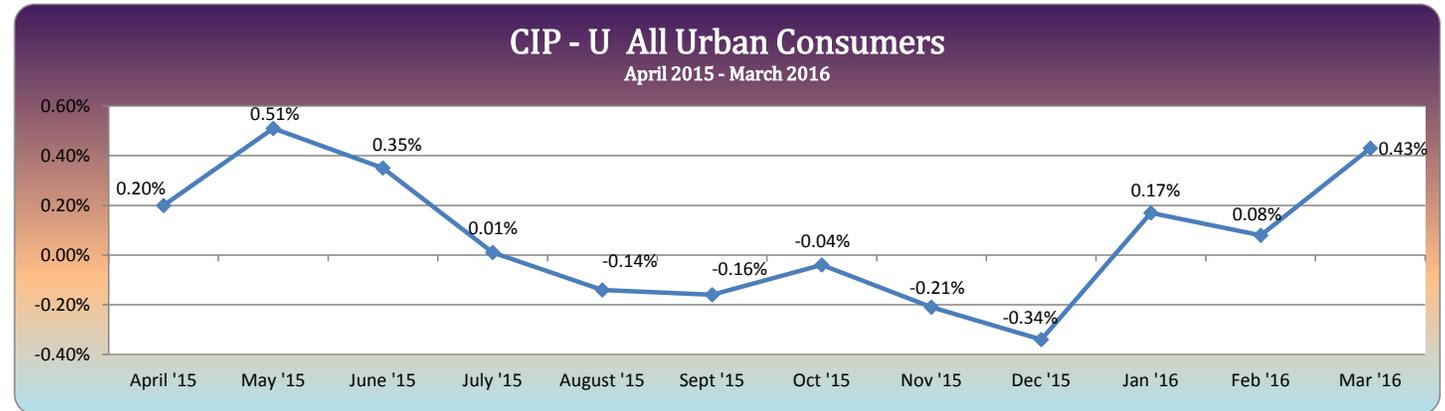
Inflation Rate - InflationData.Com

Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Avg.
2016	0.17%	0.08%	0.43%										0.002
2015	-0.47%	0.43%	0.60%	0.20%	0.51%	0.35%	0.01%	-0.14%	-0.16%	-0.04%	-0.21%	-0.34%	0.001
2014	0.37%	0.37%	0.64%	0.33%	0.35%	0.19%	-0.04%	-0.17%	0.08%	-0.25%	-0.54%	-0.57%	0.06%
2013	0.30%	0.82%	0.26%	-0.10%	0.18%	0.24%	0.04%	0.12%	0.12%	-0.26%	-0.20%	-0.10%	0.12%
2012	0.44%	0.44%	0.76%	0.30%	-0.12%	-0.15%	-0.16%	0.56%	0.45%	0.04%	0.47%	27.00%	2.50%
2011	0.48%	0.49%	0.98%	0.64%	0.47%	-1.10%	0.09%	0.28%	0.15%	0.21%	0.08%	0.25%	0.25%
2010	0.34%	2.00%	0.41%	0.17%	0.08%	-0.10%	0.20%	0.14%	0.06%	0.12%	0.04%	0.17%	0.30%

Consumer Price Index - All Urban Consumers (CPI-U)

April 2015 through March 2016

	April '15	May '15	June '15	July '15	August '15	Sept '15	Oct '15	Nov '15	Dec '15	Jan '16	Feb '16	Mar '16
Percent Change from 1 Year Ago	0.20%	0.51%	0.35%	0.01%	-0.14%	-0.16%	-0.04%	-0.21%	-0.34%	0.17%	0.08%	0.43%
All Items Index	236.599	237.805	238.638	238.654	238.316	237.945	237.838	237.336	236.525	236.916	237.111	238.132



Municipal Cost Index - American City & County

Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Avg.
2015	233.5	231.4	231.4	231.9	232.1	233.1	233.8	233.7	233.5	233.0	232.6	232.1	232.7
Month	-0.4%	-0.9%	0.0%	0.2%	0.1%	0.4%	0.3%	0.0%	-0.1%	-0.2%	-0.2%	-0.2%	-0.08%
Year	1.3%	0.1%	-0.6%	-0.7%	-1.1%	-0.7%	-0.7%	-0.8%	-0.8%	-1.1%	-1.1%	-1.0%	-0.59%
2014	230.6	231.2	232.7	233.6	234.6	234.8	235.4	235.5	235.3	235.6	235.1	234.5	234.1
Month	-0.2%	0.4%	0.6%	0.0%	0.4%	0.1%	0.3%	0.0%	-0.1%	0.1%	-0.2%	-0.3%	0.11%
Year	1.4%	1.8%	2.1%	1.8%	2.2%	2.4%	2.4%	2.3%	2.1%	1.8%	1.6%	1.5%	1.94%
2013	227.4	227.6	227.9	229.5	229.6	229.4	229.8	230.3	230.4	231.5	231.5	231.0	229.7
Month	-0.3%	0.1%	0.1%	0.7%	0.0%	-1.0%	0.2%	0.2%	0.3%	0.5%	0.0%	-0.2%	0.05%
Year	1.6%	1.9%	1.8%	1.9%	1.5%	1.4%	1.9%	1.7%	2.1%	2.1%	1.5%	1.3%	1.72%
2012	223.8	223.4	223.9	225.1	226.2	226.3	225.6	225.5	225.7	226.8	228.0	228.1	225.7
Month	0.0%	-0.2%	0.2%	0.5%	0.5%	0.0%	-0.3%	0.0%	0.1%	0.5%	0.5%	0.0%	0.15%
Year	4.0%	3.5%	3.0%	2.9%	2.6%	2.0%	1.2%	1.3%	1.0%	1.4%	1.7%	2.0%	2.22%
2011	215.1	215.9	217.4	218.4	220.5	221.9	223.0	222.7	223.4	223.6	224.2	223.7	-0.200
Month	0.2%	0.4%	0.7%	0.6%	0.8%	0.6%	0.5%	-0.1%	0.3%	0.1%	0.3%	0.0%	4.20%
Year	3.2%	3.4%	3.5%	4.3%	4.8%	4.9%	5.1%	4.9%	5.2%	5.1%	4.9%	2.0%	4.28%
2010	208.4	208.7	210.0	209.7	210.5	211.6	212.1	212.2	212.4	212.8	213.7	214.7	211.400
Month	0.7%	0.1%	0.6%	-0.1%	0.4%	0.5%	0.2%	0.0%	0.1%	0.2%	0.4%	0.5%	4.20%
Year	1.1%	2.5%	3.1%	3.0%	3.5%	3.7%	3.7%	2.9%	3.4%	3.1%	3.5%	3.8%	3.11%

Designed to show the effects of inflation on the cost of providing municipal services. - American City & County

**City of Rockland, Maine
Tax Increment Financing Districts**

The City of Rockland has two (2) tax increment financing (TIF) districts. The TIF districts are the Tillson Avenue TIF created in March 2008, and the Fisher TIF created in October 2009.

By definition a tax increment is the difference between the amount of property taxes generated in a specific area prior to the designation of the TIF. The difference in taxes raised in the TIF during a specified period of time can be used for infrastructure improvements by the City only in the specified area, or for administrative purposes as stipulated in TIF documents.

In the 1960's and 1970's federal and state economic development programs were reduced. The TIF development program was developed to enable local governments a way to attract new business, invest in infrastructure, and rebuild blighted areas. Once a TIF area is improved upon, it can mean higher taxable value in the area.

Tillson Avenue TIF - March 2008

The Tillson Avenue TIF, sometimes referred to as the "Downtown TIF" was created in March 2008 and amended in March 2015.

The original Tillson TIF was for a period of twenty-five (25) years - years 2032-2033. The purpose of the TIF was to generate revenue to improve buildings; study traffic flow; evaluation, redesign, and development of parking; renovation and upgrade of sidewalks; and improvement of streetscapes and signage.

The Tillson TIF was amended in 2015 to include a Credit Enhancement Agreement between the City and 435 Main Street LLC. As part of a redevelopment plan 435 Main Street LLC will receive a percentage of their taxes paid for a period of twelve (12) years so long as they meet the terms of the agreement with the City to improve the building(s) located within the district. The improved infrastructure will eventually bring added taxable value to the City.

Included in the 2015 amendment was the provision that TIF funds could be used to support the City's economic development activities. In addition, the number of years for the TIF district were extended an additional five (5) years, bringing the Tillson TIF to the maximum allowable - thirty (30) years.

In the eight (8) years that the Tillson TIF has been in effect the revenue generated has amounted to \$1,840,719. This money has paid for the City's share of a Small Community Grant, engineering, advertising, Streetscape Grant, design of Harbor Park, and other grants, engineering, and designs for the area.

Balance available in the Tillson TIF as of June 30, 2015:	\$ 46,514
Tillson TIF Revenue FY 16	\$ 281,261
Use of Tillson TIF FY 16	\$(233,795)
Balance Available:	\$ 93,981
Estimated TIF Revenue FY 17	\$ 280,000
Proposed use of Tillson TIF in FY 17:	\$(277,270)
Balance - Estimated June 30, 2017	\$ 96,711

**City of Rockland, Maine
Tax Increment Financing Districts**

Fisher TIF - October 2009

The Fisher TIF began in year 2009 for a period of ten (10) years. It involved a credit enhancement agreement for Douglas Dynamics operating as Fisher Engineering.

The Fisher TIF was amended in 2013 to allow for plant expansion at Fisher Engineering and to expand the City's use of TIF funds to include possible development of City owned land in the district.

As part of the enhancement agreement, the City annually pays Douglas Dynamics a portion of the TIF proceeds as recognition of the further development of the Fisher plant. The revenue created in the Fisher TIF thus far has been \$318,603, of which \$123,891 has been retained by the City and used for economic development purposes in the TIF.

Balance available in the Fisher TIF as of June 30, 2015:	\$ 23,255
Fisher TIF Revenue FY 16	\$ 57,592
Use of Fisher TIF FY 16	\$ (23,037)
Balance Available:	\$ 57,810
Estimated TIF Revenue FY 17	\$ 58,000
Proposed use of Fisher TIF in FY 17:	\$ (23,200)
Balance - Estimated June 30, 2017	\$ 92,610

**City of Rockland, Maine
Tax Increment Financing Districts (TIF)**

Fiscal Year End	30* Years FY 09-FY 33		10 Years FY 11-FY 20		TOTAL TIF CAPTURED VALUE	Mil Rate	Captured Tax Revenue	12 Years FY 16-FY 27			10 Years FY 11-FY 20			
	Captured Value TILLSON TIF		Captured Value FISHER TIF					Tillson TIF Revenue	Property Value 435 Main St (land & bldgs)	435 Main St. LLC 75% Share Increased Property Value	City Share TILLSON TIF Revenue	FISHER TIF Revenue	Douglas Dynamics Share FISHER TIF	City Share FISHER TIF
FY '09	\$ 3,782,386	100.00%	\$ -	0.00%	\$ 3,782,386	\$17.60	\$ 66,570	\$ 66,570			\$ 66,570	\$ -		
FY '10	\$ 5,239,419	100.00%	\$ -	0.00%	\$ 5,239,419	\$17.20	\$ 90,118	\$ 90,118			\$ 90,118	\$ -		
FY '11	\$ 5,355,600	66.35%	\$ 2,716,600	33.65%	\$ 8,072,200	\$18.20	\$ 146,914	\$ 97,472			\$ 97,472	\$ 49,442	\$ 37,082	75% \$ 12,361
FY '12	\$ 10,791,800	79.89%	\$ 2,716,600	20.11%	\$ 13,508,400	\$18.78	\$ 253,688	\$ 202,670			\$ 202,670	\$ 51,018	\$ 38,263	75% \$ 12,754
FY '13	\$ 11,475,798	80.86%	\$ 2,716,600	19.14%	\$ 14,192,398	\$19.42	\$ 275,616	\$ 222,860	\$ 532,200		\$ 222,860	\$ 52,756	\$ 31,654	60% \$ 21,103
FY '14	\$ 14,273,500	84.01%	\$ 2,716,600	15.99%	\$ 16,990,100	\$19.52	\$ 331,647	\$ 278,619	\$ 532,200		\$ 278,619	\$ 53,028	\$ 31,817	60% \$ 21,211
FY '15	\$ 14,330,900	84.06%	\$ 2,716,600	15.94%	\$ 17,047,500	\$20.16	\$ 343,678	\$ 288,911	\$ 532,200		\$ 288,911	\$ 54,767	\$ 32,860	60% \$ 21,907
FY '16	\$ 12,966,800	82.68%	\$ 2,716,600	17.32%	\$ 15,683,400	\$21.20	\$ 332,488	\$ 274,896	\$ 535,900	\$ 59	\$ 274,837	\$ 57,592	\$ 23,037	40% \$ 34,555
FY '17					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	40% \$ -
FY '18					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	40% \$ -
FY '19					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	25% \$ -
FY '20					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	25% \$ -
FY '21					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '22					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '23					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '24					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '25					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '26					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '27					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '28					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '29					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '30					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '31					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '32					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '33					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '34					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '35					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '36					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '37					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
FY '38					\$ -		\$ -	\$ -		\$ -	\$ -	\$ -		
	\$ 78,216,203		\$ 16,299,600		\$ 94,515,803		\$ 1,840,719	\$ 1,522,116	\$ 1,600,378	\$ 59	\$ 1,522,057	\$ 318,603	\$ 194,712	\$ 123,891

*Amended September
2013 from a 25 to a 30
Year TIF

**2016 Municipal Valuations
and
Mil Rates**

Municipality	County	2016		FY 16		per \$100 K	per \$250 K	Ratio	Population	
		State Valuation		Mil Rate						
Rockland	Knox	4	\$765,100,000	1	\$21.20	\$2,120	\$5,300	100%	1	7,297
Appleton	Knox	16	\$126,250,000	2	\$19.45	\$1,945	\$4,863	100%	13	1,316
Thomaston	Knox	8	\$358,300,000	3	\$16.64	\$1,664	\$4,160	100%	5	2,781
Union	Knox	13	\$224,550,000	4	\$16.20	\$1,620	\$4,050	100%	7	2,259
Warren	Knox	9	\$296,900,000	5	\$16.00	\$1,600	\$4,000	100%	3	4,751
Camden	Knox	1	\$1,198,200,000	6	\$15.13	\$1,513	\$3,783	100%	2	4,850
Washington	Knox	15	\$141,750,000	7	\$14.90	\$1,490	\$3,725	100%	12	1,527
Rockport	Knox	2	\$996,250,000	8	\$14.05	\$1,405	\$3,513	100%	4	3,330
Hope	Knox	14	\$189,700,000	9	\$13.40	\$1,340	\$3,350	100%	10	1,536
South Thomaston	Knox	11	\$249,500,000	10	\$12.78	\$1,278	\$3,195	100%	9	1,558
Cushing	Knox	10	\$298,100,000	11	\$12.30	\$1,230	\$3,075	110%	11	1,534
Matinicus Isle Plantation	Knox	18	\$33,650,000	12	\$10.90	\$1,090	\$2,725	84%	17	74
Owls Head	Knox	7	\$362,600,000	13	\$10.50	\$1,050	\$2,625	110%	8	1,580
North Haven	Knox	6	\$425,150,000	14	\$10.38	\$1,038	\$2,595	95%	16	355
Vinalhaven	Knox	5	\$490,850,000	15	\$10.16	\$1,016	\$2,540	100%	14	1,165
Friendship	Knox	12	\$244,450,000	16	\$9.80	\$980	\$2,450	100%	15	1,152
Saint George	Knox	3	\$800,150,000	17	\$8.20	\$820	\$2,050	100%	6	2,591
Isle Au Haut	Knox	17	\$85,250,000			\$0	\$0		18	73

Knox County Total
(excluding Unorganized Territories) **\$7,286,700,000** *Isle Au Haut did not report*

Valuation, Mil Rates, and Populations sorted; 1 = Highest

Belfast	Waldo	\$830,150,000	\$22.40	\$2,240	\$5,600	90%	6,668
Rockland	Knox	\$765,100,000	\$21.20	\$2,120	\$5,300	100%	7,297
Bath	Sagadahoc	\$888,100,000	\$20.70	\$2,070	\$5,175	100%	8,514
Ellsworth	Hancock	\$1,021,450,000	\$17.70	\$1,770	\$4,425	100%	7,741
		\$3,504,800,000					

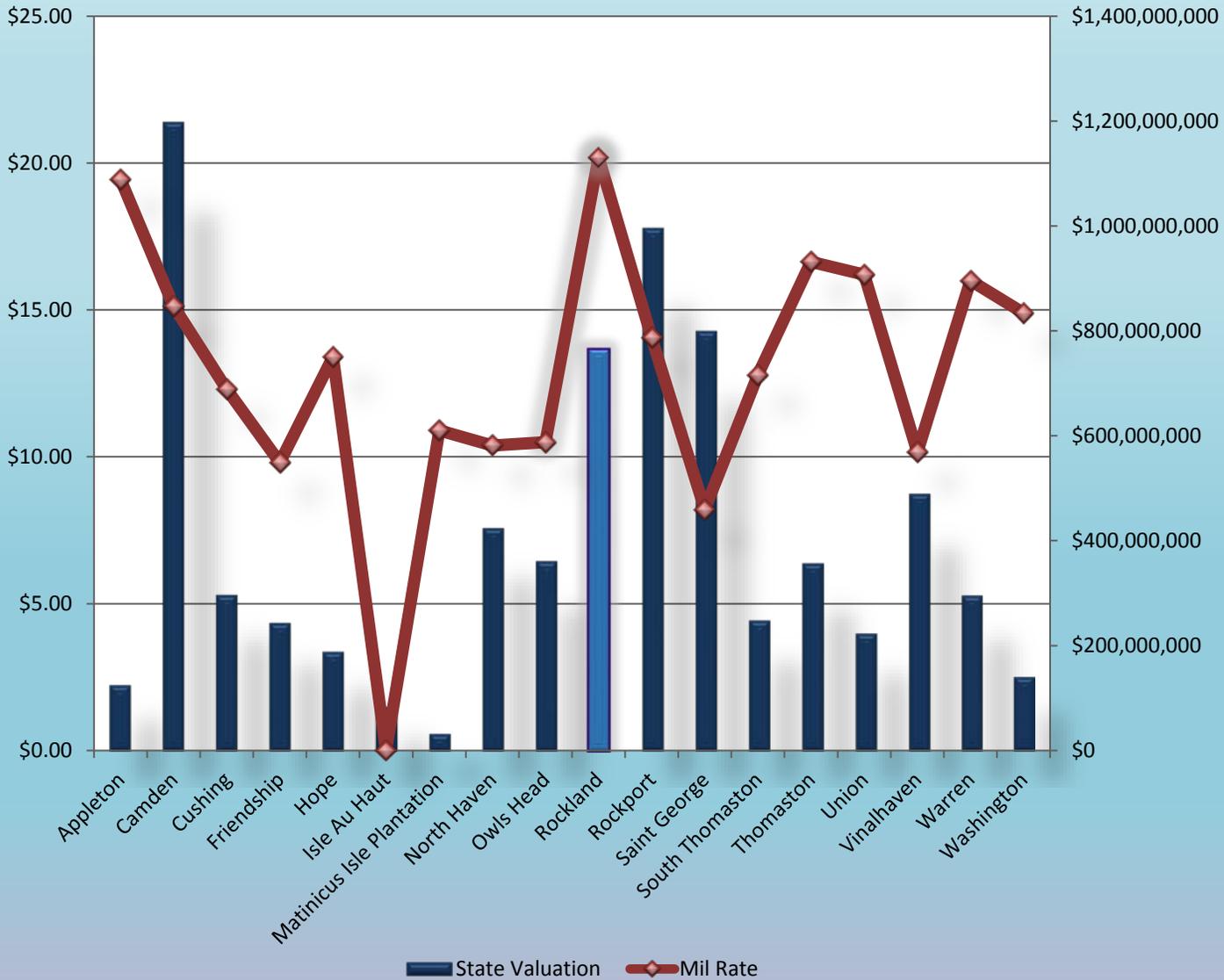
This data is provided for informational purposes only. Neighboring municipalities within the county all have lower mil rates than the City; however, the City has infrastructure unlike other municipalities and provides services that don't often compare to towns within the county, as the City operates as the service center for the region.

In an effort to compare more like municipalities the data also includes information from cities within the State that are similar in size to Rockland, are along the mid-coast of Maine, and share similar characteristics.

Knox County Municipal Valuations v. Mil Rates

Mil Rates

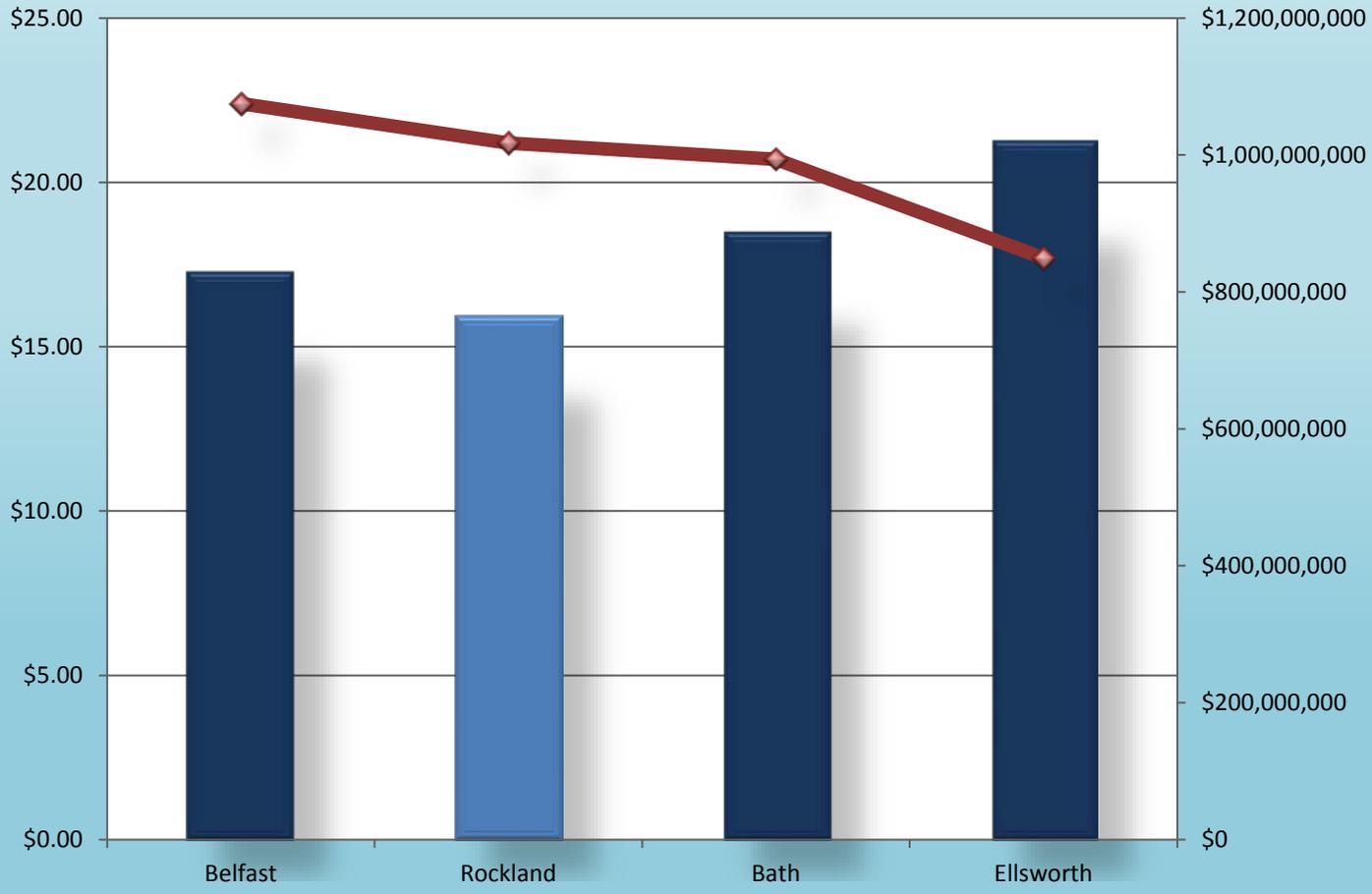
Valuation



Midcoast Cities Valuations v. Mil Rates

Mil Rates

Valuation



State Valuation Mil Rate

City of Rockland, Maine

City of Rockland Value, Appropriations, Mil Rate Comparisons

	FY 12		FY 13		FY 14		FY 15		FY 16		City Manager's Proposal FY 17	
Valuation	\$781,150,000	\$765,100,000	-2.05%	\$751,700,000	-1.75%	\$755,550,000	0.51%	\$780,948,600	3.36%	\$780,948,600	0.00%	
Expenditures	10,321,163	10,923,947	5.84%	12,791,402	17.10%	12,927,443	1.06%	13,174,104	1.91%	12,398,203	-5.89%	
Revenue	3,731,159	3,939,391	5.58%	5,795,925	47.13%	6,572,439	13.40%	6,197,587	-5.70%	5,244,125	-15.38%	
Net Budget	6,590,004	6,984,556	5.99%	6,995,477	0.16%	6,355,004	-9.16%	6,976,517	9.78%	7,154,078	2.55%	
County	691,770	673,867	-2.59%	703,789	4.44%	692,633	-1.59%	730,052	5.40%	763,847	4.63%	
Schools	7,231,325	7,542,157	4.30%	7,666,584	1.65%	8,057,188	5.09%	8,773,508	8.89%	8,935,630	1.85%	
	7,923,095	8,216,024	3.70%	8,370,373	1.88%	8,749,821	4.53%	9,503,560	8.61%	9,699,477	2.06%	
Tax Commitment	14,513,099	15,200,580	4.74%	15,365,849	1.09%	15,104,825	-1.70%	16,480,077	9.10%	16,853,554	2.27%	
Mil Rate per \$1,000 of assessed value	\$18.78	\$19.42	3.41%	\$19.52	0.51%	\$20.16	3.28%	\$21.20	5.16%	\$21.69	2.31%	
Median House Value as of 2014	How Changes in Mil Rate affect the Tax Bill.											
Value	\$153,700	\$153,700		\$153,700		\$153,700		\$153,700		\$153,700		
Annual Tax	\$2,886.49	\$2,984.85	3.41%	\$3,000.22	0.51%	\$3,098.59	3.28%	\$3,258.44	5.16%	\$3,333.75	2.31%	
		\$98.37		\$15.37		\$98.37		\$159.85		\$75.31		

**City of Rockland, Maine
Municipal Budget
FY 17**

Unassigned Fund Balance Estimate

Policy:

The level of the unassigned fund balance (UFB) should be an amount equal to at least 8% of the total annual budget comprising the proposed operating budget (according to city policy), which includes the county assessment, the school assessment, any annual TIF loan payment, and the estimated Overlay.

Expenditures and Assessments		
Proposed FY 17		
NET Municipal Budget		7,154,078
Knox County Assessment		763,847
RSU #13		8,935,630
TOTAL		16,853,554
	8%	1,348,284
	12%	2,022,427

Changes in Unassigned Fund Balance	
UFB after audit adjustments 7/1/15	1,245,794
Proposed FY 17 Budget-Support of City Services	(139,500)
Proposed FY 17 Budget-Support of Storm Water Maintenance	(181,190)
Estimated Use of UFB in FY 16 budget	(50,000)
Balance UFB	875,104
 Estimated Overlay	 230,619

Updated Balance of UFB (proposed)	1,105,723
	6.56%

Note:

Auditors recommend a UFB maintained at between 12% and 15% of annual budget.

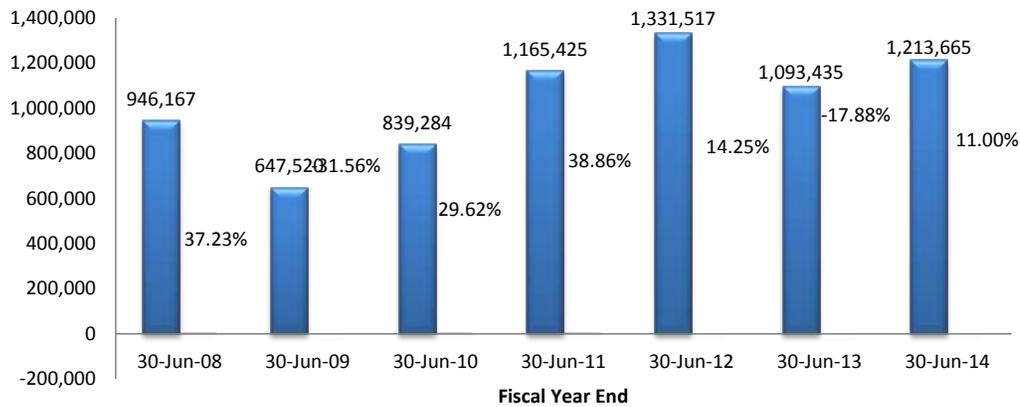
Government Finance Officers Association (GFOA) recommends two months worth of expenditures as an amount to retain as a UFB. Using the months of December 2015 and January 2016, in applying the GFOA recommended UFB the amount would be approximately \$3.2 million.

City of Rockland, Maine

Unassigned (UFB) Fund Balance - Trend Analysis
Year End June 30, 2008 through Year End June 30, 2014

		Audit Year End 30-Jun-08	Audit Year End 30-Jun-09	Audit Year End 30-Jun-10	Audit Year End 30-Jun-11	Audit Year End 30-Jun-12	Audit Year End 30-Jun-13	Audit Year End 30-Jun-14
	1-Jul	689,467	946,167	647,520	839,284	1,165,425	1,331,517	1,093,435
	30-Jun	946,167	647,520	839,284	1,165,425	1,331,517	1,093,435	1,213,665
		37.23%	-31.56%	29.62%	38.86%	14.25%	-17.88%	11.00%
UFB	Increase (Decrease)	256,700	(298,647)	191,764	326,141	166,092	(238,082)	120,230
Revenue	Over (Under) Projection	128,293	(255,146)	284,155	235,049	(80,611)	(140,898)	239,696
Expenditure:	(Over) Under Budget	157,407	11,499	129,192	91,092	246,703	213,917	247,034
	Other							
	Decrease in Designated for Debt Service							
	Fund Balance Used for Expenses	(29,000)	(55,000)	(221,583)	-	-	(311,101)	(366,500)
	Increase (Decrease)	256,700	(298,647)	191,764	326,141	166,092	(238,082)	120,230

UFB Change FYE 2008 thru 2014



City of Rockland, Maine

30-A §5721-A LIMITATION ON MUNICIPAL PROPERTY TAX LEVY

Municipal Expenditures and Revenues INCLUDE Waste Management and EMS (previously not included in Tax Commitment)

Core Municipal Commitment Calculation

2016 Tax Commitment***	17,085,861	
Less 2016 County Tax	730,052	
Less 2016 School Appropriation	8,773,508	
TIF	322,488	
Less 2016 Overlay	283,296	
2014 Core Municipal Commitment	\$ 6,976,517	
2015 Property Tax Levy Limit		\$ 6,976,517

Growth Limitation Factor Calculation

Value of Taxable Value as of April 1, 2014	780,079,200
New Taxable Value as of April 1, 2015	869,400
Total Taxable Value as of April 1, 2015	\$ 780,948,600

Property Growth Factor Determined	0.11%	
Income Growth Factor as Determined by State Office of Policy and Management	2.67%	
Growth Limitation Factor (Property Growth plus Income Growth)		2.78%

Net New State Funding Calculation

Amount of Revenue Sharing received in Calendar Year 2015	539,947	
Amount of Revenue Sharing Estimated Year 2016	510,000	
Last Year's Growth Limitation Factor to last year's limit.	\$ 194,040	<i>Increase to Tax Limit</i>
Anticipated Loss in Revenue Sharing	\$ 29,947	\$ 223,987

Property Tax Levy Limit Calculation

Property Tax Levy Limit for 2016 multiplied by Growth Limitation Factor Calculation	\$ 6,976,517	
New Growth Limitation Factor	2.78%	
Property Tax Levy Limit for 2017 multiplied by Growth Limitation Factor Calculation	\$ 7,170,557	
Additional amount added to tax levy limit.	223,987	
2017 Property Tax Levy Limit		\$ 7,394,544
Estimated 2017 (Municipal) Property Tax Levy	7,252,883	5.99%
	UNDER Tax Cap Limit	\$ (141,661)

***Actual Tax Commitment for 2016 was \$16,556,110; this did NOT include Waste Management and EMS, which have been added to the FY 17 budget.

City of Rockland, Maine

Tax Cap Limitations Actual FY 12 through Proposed FY 17 Proposed

	Budget FY 12	Budget FY 13	Budget FY 14	Budget FY 15	Budget FY 16	Department Heads' FY 17	Manager Proposal FY 17	City Council Proposal FY 17
Tax Cap Limitations	6,604,344	6,764,561	6,898,484	6,906,521	6,965,917	7,394,544	7,394,544	
Change in \$ Year to Year	174,051	160,217	133,923	8,037	136,177	428,627	428,627	
% Change from Year to Year	3.74%	2.43%	1.98%	0.12%	0.86%	6.15%	6.15%	
NET Municipal Budget	6,430,293	6,604,344	6,764,561	6,898,484	6,976,517	8,751,570	7,154,078	7,252,883
Change in \$ Year to Year	88,938	174,051	160,217	133,923	78,033	1,775,053	177,561	
% Change from Year to Year	3.72%	2.71%	2.43%	1.98%	1.13%	25.44%	2.55%	
Difference between NET Municipal Budget* and Tax Cap Limitations	(174,051)	(160,217)	(133,923)	(8,037)	10,600	1,357,026	(240,466)	
	-12.71%	-2.37%	-1.94%	-0.12%	0.15%	18.35%	-3.25%	
	Under Cap Limit	Under Cap Limit	Under Cap Limit	Under Cap Limit	Over Tax Cap Limit	Over Tax Cap Limit	Under Cap Limit	

Tax Cap Limit Prior to FY 17 did not include the Waste Management or EMS budgets.

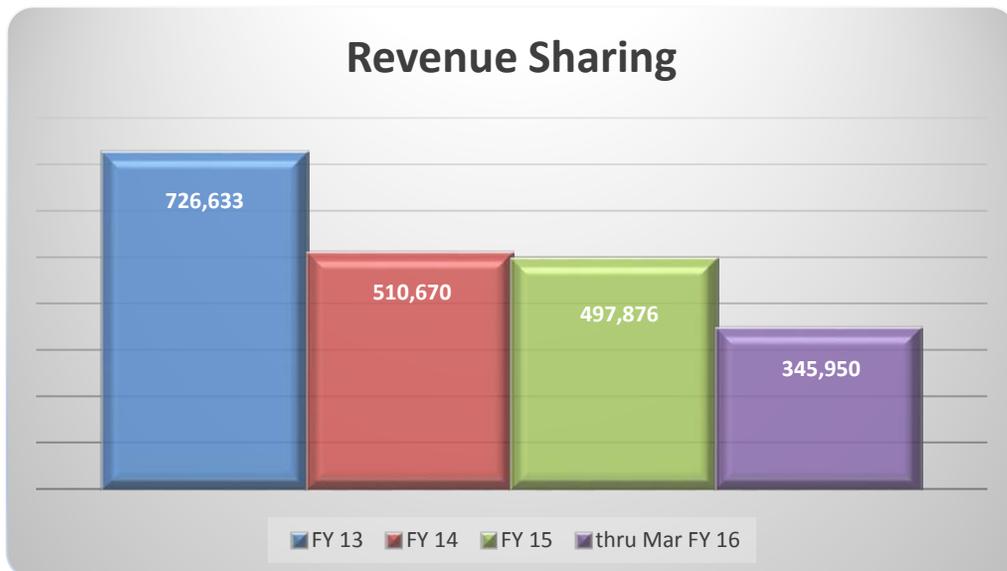
Therefore, the Expenses and Revenues were not included in the Tax Cap Limitations; and Debt for Waste Management was not included in the Tax Cap limits.

* "NET Municipal Budget" is the difference between Expenses/Appropriations and the Revenue raised by the City (excluding taxes).

City of Rockland, Maine

Municipal Revenue Sharing FY 13 thru FY 16; Project FY 17

Month	Fiscal Year End June 30					
	FY 13	FY 14	FY 15	FY 16	FY 17	
July	101,268	66,767	-34.07%	24,620	-63.12%	70,960
August	52,835	34,082	-35.49%	42,126	23.60%	32,354
September	15,029	11,249	-25.15%	9,699	-13.78%	36,841
October	67,581	55,254	-18.24%	55,461	0.37%	48,520
November	54,245	37,058	-31.68%	45,044	21.55%	36,395
December	47,859	39,601	-17.25%	39,219	-0.96%	33,170
January	61,664	43,292	-29.79%	47,742	10.28%	41,340
February	79,998	55,861	-30.17%	51,891	-7.11%	46,369
March	23,124	11,410	-50.66%	30,884	170.67%	17,346
April	34,705	24,806	-28.52%	25,033	0.91%	
May	101,418	59,108	-41.72%	70,974	20.08%	
June	86,907	72,180	-16.95%	55,182	-23.55%	
	726,633	510,670	-29.72%	497,876	-2.51%	363,296
Difference one year to next		(215,963)	713,839	-430.54%	(134,580)	-
Difference from FY 13		(215,963)	(228,757)	5.92%	(363,337)	
		-29.72%	-31.48%	-50.00%		



**City
of
Rockland,
Maine**

**Annual Budget
Fiscal Year End
June 30, 2017**

CITY OF ROCKLAND, Maine

Municipal Budget

Budget Summary from FY 14 thru Proposed FY 17

Municipal Expenditures and Revenues INCLUDE Waste Management and EMS (previously not included in City Assessment)

	Actual FY 14	Actual FY 15	Budget FY 16	YTD	Department Head FY 17		City Manager FY 17		City Council APPROVED FY 17	
EXPENDITURES	12,791,402	12,927,443	13,174,104	8,659,499	13,681,590	3.9%	12,398,203	-5.9%	12,472,008	
REVENUES	5,795,925	6,572,439	6,197,587	4,218,137	4,930,020	-20.5%	5,244,125	-15.4%	5,219,125	
NET Municipal Budget	6,995,477	6,355,004	6,976,517	4,441,362	8,751,570	25.44%	7,154,078	2.55%	7,252,883	
Excludes Schools, County, Overlay and TIF		-640,473 -9.16%	621,513 9.78%		1,775,053 25.44%		177,561 2.55%		276,366 3.96%	
	Actual FY 14	Actual FY 15	Budget FY 16	YTD	Department Head FY 17		City Manager FY 17		City Council APPROVED FY 17	
RSU #13 Assessment	7,666,584	8,057,188	8,773,508	5,849,005	9,212,183	5.0%	8,935,630	1.8%	8,836,342	0.7%
Knox County Assessment	703,789	692,633	730,052	730,052	763,857	4.6%	763,847	4.6%	763,847	4.6%
NET County and Schools	8,370,373	8,749,821	9,503,560	6,579,057	9,976,041		9,699,477	2.06%	9,600,189	
		379,448 4.53%	753,739 8.61%		472,481 4.97%		195,917 2.06%		96,629 1.02%	
Combined Totals:	15,365,849	15,104,825	16,480,077	11,020,419	18,727,611	13.6%	16,853,554	2.27%	16,853,072	2.3%
		-261,025 -1.70%	1,375,252 9.10%		2,247,534 13.64%		373,477 2.27%		372,995 2.26%	

City of Rockland, Maine

General Government Budget Summary

EXPENDITURES SUMMARY										
Fund, Department	FY 14	FY 15	FY 16		FY 17					
	Actual	Actual	Budget	Y-T-D	Dept. Hd.	Manager	Council			
General Government										
011 City Council	26,529	38,372	28,238	28,449	95,400	237.8%	95,400	237.8%	170,400	503.4%
012 Executive	139,598	109,134	205,314	144,683	223,070	8.6%	185,780	-9.5%	185,780	-9.5%
013 Assessing	199,287	217,288	214,890	127,135	173,350	-19.3%	174,750	-18.7%	174,750	-18.7%
014 Finance	570,574	592,856	530,146	331,110	364,830	-31.2%	348,780	-34.2%	348,350	-34.3%
015 Legal	102,730	109,163	112,452	72,297	113,790	1.2%	-	-100.0%	-	
016 Records/City Clerk	204,148	210,059	212,864	154,023	211,440	-0.7%	205,990	-3.2%	205,990	-3.2%
018 Technology	101,555	151,869	237,562	128,909	449,500	89.2%	456,305	92.1%	371,305	56.3%
019 City Hall	53,366	59,475	54,291	29,880	74,400	37.0%	78,240	44.1%	78,240	44.1%
020 Insurance and Benefits	340,385	330,745	342,979	299,553	351,710	2.5%	283,735	-17.3%	283,735	-17.3%
	1,738,171	1,818,961	1,938,736	1,316,039	2,057,490	6.1%	1,828,980	-5.7%	1,818,550	-6.2%
Economic Development										
028 Community Development	400,560	412,925	467,921	382,447	139,930	-70.1%	184,300	-60.6%	174,590	-62.7%
	400,560	412,925	467,921	382,447	139,930	-70.1%	184,300	-60.6%	174,590	-62.7%
Public Safety										
031 Police Department	1,853,912	1,880,100	1,929,996	1,294,156	2,144,438	11.1%	2,061,035	6.8%	2,061,035	6.8%
032 Fire Department	1,537,948	1,534,641	1,559,211	1,060,063	1,740,550	11.6%	1,885,069	24.9%	1,882,004	20.7%
033 EMS	623,077	594,461	612,777	319,515	627,490	2.4%	-	-100.0%	-	
034 Fish Pier	118,019	89,426	121,906	50,689	120,440	-1.2%	-	-100.0%	-	
035 Publicly Funded Utilities	688,936	767,002	701,245	397,819	737,509	5.2%	703,100	0.3%	673,100	-4.0%
036 Code Enforcement	220,125	226,353	228,610	154,719	254,230	11.2%	230,470	0.8%	232,550	1.7%
	5,042,016	5,091,984	5,153,745	3,276,960	5,624,657	9.1%	4,879,674	-5.3%	4,848,690	-5.9%
Public Services										
041 Public Services	1,541,564	1,697,470	1,604,092	1,112,264	2,213,692	38.0%	3,012,705	87.8%	3,076,705	91.8%
042 Waste Management	1,379,603	1,374,122	1,458,657	722,050	1,282,325	-12.1%	-	-100.0%	-	
	2,921,167	3,071,591	3,062,749	1,834,314	3,496,017	14.1%	3,012,705	-1.6%	3,076,705	0.5%
Public Assistance										
052 General Assistance	78,366	96,681	82,040	51,727	69,347	-15.5%	69,355	-15.5%	69,355	-15.5%
	78,366	96,681	82,040	51,727	69,347	-15.5%	69,355	-15.5%	69,355	-15.5%
Culture and Recreation										
061 Harbor	116,590	143,719	200,792	102,991	273,520	36.2%	402,000	100.2%	460,930	129.6%
062 Library	522,480	512,535	520,232	329,620	661,090	27.1%	547,125	5.2%	547,125	5.2%
063 Recreation	391,814	376,126	246,256	250,583	209,300	-15.0%	211,325	-14.2%	213,325	-13.4%
	1,030,884	1,032,380	967,280	683,194	1,143,910	18.3%	1,160,450	20.0%	1,221,380	26.3%
Debt Services										
081 Debt	1,396,020	1,213,697	1,311,314	924,500	958,087	-26.9%	1,070,587	-18.4%	1,070,587	-18.4%
	1,396,020	1,213,697	1,311,314	924,500	958,087	-26.9%	1,070,587	-18.4%	1,070,587	-18.4%
Other Governmental										
091 County Dispatch/E-911	184,218	189,224	190,319	190,319	192,151	1.0%	192,151	1.0%	192,151	1.0%
	184,218	189,224	190,319	190,319	192,151	1.0%	192,151	1.0%	192,151	1.0%
TOTAL MUNICIPAL EXPENDITURES	12,791,402	12,927,443	13,174,104	8,659,499	13,681,590	3.9%	12,398,203	-5.9%	12,472,008	-5.3%
Other Governmental										
091 County Appropriation	703,789	692,633	730,052	730,052	763,857	4.6%	763,847	4.6%	763,847	4.6%
092 RSU #13	7,666,584	8,057,188	8,773,508	5,849,005	9,212,183	5.0%	8,935,630	1.8%	8,836,342	0.7%
	8,370,373	8,749,821	9,503,560	6,579,057	9,976,041	5.0%	9,699,477	2.1%	9,600,189	1.0%
TOTAL OTHER GOVERNMENTAL	8,370,373	8,749,821	9,503,560	6,579,057	9,976,041	5.0%	9,699,477	2.1%	9,600,189	1.0%
MUNICIPAL EXPENDITURES plus OTHER GOVERNMENTAL	21,161,775	21,677,264	22,677,664	15,238,556	23,657,631	4.3%	22,097,679	-2.6%	22,072,197	-2.7%
		515,489	1,000,400	67%	979,967	(579,985)	(605,467)			
		2.44%	4.61%		4.32%	-2.56%	-2.67%			

City of Rockland, Maine

REVENUES SUMMARY

Fund, Department	FY 14 Actual	FY 15 Actual	FY 16		FY 17				
			Budget	Y-T-D	Dept. Hd.	Manager	Council		
General Government									
011 Legislative	-	-	-	-	-	-	-	-	-
012 Executive	-	-	-	-	-	17,970	-	-	-
013 Assessing	100	80	200	160	200	0.0%	200	0.0%	0.0%
014 Finance	1,287,841	1,343,307	1,213,500	885,422	1,071,200	-11.7%	1,146,200	-5.5%	-5.5%
015 Legal	358	185	1,000	1,575	1,500	50.0%	-	-100.0%	-
016 Records/City Clerk	56,394	58,649	57,250	37,227	36,100	-36.9%	39,100	-31.7%	-31.7%
018 Technology	-	-	-	-	-	-	25,000	-	-
019 City Hall	8,150	3,688	3,600	231	3,000	-16.7%	3,000	-16.7%	-16.7%
020 Insurance and Benefits	1,048,957	1,127,011	1,068,058	844,881	619,000	-42.0%	790,265	-26.0%	-26.0%
	2,401,801	2,532,921	2,343,608	1,769,496	1,731,000	-26.1%	2,021,735		1,978,765
Economic Development									
030 Community Development	49,485	78,644	97,000	96,540	97,000	0.0%	184,300	90.0%	38.5%
	49,485	78,644	97,000	96,540	97,000	0.0%	184,300	90.0%	134,300
Public Safety									
031 Police Department	156,073	180,331	163,305	130,163	166,750	2.1%	184,750	13.1%	13.1%
032 Fire Department	391,711	370,064	366,548	175,800	341,000	-7.0%	648,760	77.0%	77.0%
033 EMS	545,377	588,690	612,777	255,810	549,600	-10.3%	-	-100.0%	-
034 Fish Pier	134,673	140,945	144,250	84,157	141,600	-1.8%	-	-100.0%	-
035 Publicly Funded Utilities	260,701	264,184	260,617	61,404	164,315	-37.0%	191,000	-26.7%	-26.7%
036 Code Enforcement	82,212	71,093	73,484	45,032	70,710	-3.8%	73,355	-0.2%	-0.2%
	1,570,747	1,615,307	1,620,981	752,366	1,433,975	-11.5%	1,097,865		1,097,865
Public Services									
041 Public Services	97,412	89,255	91,185	90,481	91,290	0.1%	1,417,200	1454.2%	1528.7%
042 Waste Management	1,349,399	1,876,904	1,589,032	1,170,859	1,245,410	-21.6%	-	-100.0%	-
	1,446,811	1,966,159	1,680,217	1,261,339	1,336,700	-20.4%	1,417,200		1,485,170
Public Assistance									
052 General Assistance	11,380	14,476	30,000	6,269	41,125	37.1%	41,125	37.1%	37.1%
	11,380	14,476	30,000	6,269	41,125	37.1%	41,125		41,125
Culture and Recreation									
061 Harbor	197,359	228,084	272,138	219,103	221,900	-18.5%	383,500	40.9%	40.9%
062 Library	69,790	75,282	122,143	85,362	68,320	-44.1%	98,400	-19.4%	-19.4%
063 Recreation	48,553	61,566	31,500	27,662	-	-	-	-100.0%	-
	315,702	364,932	425,781	332,127	290,220	-31.8%	481,900		481,900
TOTAL REVENUES	5,795,925	6,572,439	6,197,587	4,218,137	4,930,020	-20.5%	5,244,125	-15.4%	5,219,125
		776,514	(374,852)	68%	(1,267,567)		(953,462)		(978,462)
		13.40%	-5.70%		-20.45%		-15.38%		-15.79%
NET BUDGET	15,365,849	15,104,825	16,480,077	11,020,419	18,727,611	13.6%	16,853,554	2.3%	16,853,072
		(261,025)	1,375,252	67%	2,247,534		373,477		372,995
		-1.70%	9.10%		13.64%		2.27%		2.26%
NET BUDGET less County and School	6,995,477	6,355,004	6,976,517	4,441,362	8,751,570	25.4%	7,154,078	2.5%	7,252,883
									4.0%

City of Rockland, Maine

Proposed Municipal Budget APPROPRIATION Changes FY 17

<i>CITY COUNCIL</i>		Proposed Personnel Services			Proposed Benefits			Proposed Contractual Services			Proposed DEBT			Proposed Supplies			Proposed Capital Outlay			Proposed Annual Total Expenditures		
Number	Department	FY 16	FY 17	%	FY 16	FY 17	%	FY 16	FY 17	%	FY 16	FY 17	%	FY 16	FY 17	%	FY 16	FY 17	%	FY 16	FY 17	%
GENERAL GOVERNMENT																						
011	Legislative/City Council	4,200	4,200	0.0%	338	500	48.0%	22,500	164,300	630.2%				1,200	1,400	16.7%	-	-		28,238	170,400	503.4%
012	City Manager	126,798	134,210	5.8%	54,586	45,470	-16.7%	22,930	3,600	-84.3%				1,000	2,500	150.0%	-	-		205,314	185,780	-9.5%
013	Assessing	100,358	96,880	-3.5%	57,347	51,780	-9.7%	56,785	25,190	-55.6%				400	900	125.0%	-	-		214,890	174,750	-18.7%
014	Finance	214,620	211,550	-1.4%	116,716	110,990	-4.9%	192,410	16,410	-91.5%				6,400	8,400	31.3%	-	1,000		530,146	348,350	-34.3%
015	Legal	79,717	-	-100.0%	23,285	-	-100.0%	8,200	-	-100.0%				1,250	-	-100.0%	-	-		112,452	-	-100.0%
016	City Clerk	120,073	124,690	3.8%	56,681	64,810	14.3%	35,160	15,540	-55.8%				950	950	0.0%	-	-		212,864	205,990	-3.2%
018	Technology & Prof. Services	950	-	-100.0%	9,120	-	-100.0%	181,112	269,305	48.7%				21,380	2,000	-90.6%	25,000	100,000	300.0%	237,562	371,305	56.3%
019	City Hall Building	-	-	-	-	-	-	29,945	51,340	71.4%				24,346	26,900	10.5%	-	-		54,291	78,240	44.1%
020	Insurance & Benefits	-	-	-	83,932	72,000	-14.2%	259,047	211,735	-18.3%				-	-	-	-	-		342,979	283,735	-17.3%
General Government		646,716	571,530	-11.6%	402,005	345,550	-14.0%	808,089	757,420	-6.3%	-	-	-	56,926	43,050	-24.4%	25,000	101,000	304.0%	1,938,736	1,818,550	-6.2%
ECONOMIC DEVELOPMENT																						
028	Community Development	62,000	62,060	0.1%	29,834	26,630	-10.7%	29,000	85,400	194.5%	336,087	-	-	11,000	500	-95.5%	-	-		131,834	174,590	
Economic Development		62,000	62,060	0.1%	29,834	26,630	-10.7%	29,000	85,400	194.5%	336,087	-	-	11,000	500	-95.5%	-	-		131,834	174,590	
PUBLIC SAFETY																						
031	Police Department	1,223,953	1,320,392	7.9%	527,928	560,180	6.1%	118,404	113,443	-4.2%				48,918	58,020	18.6%	10,793	9,000	-16.6%	1,929,996	2,061,034	6.8%
032	Fire Department	955,881	1,126,460	17.8%	455,506	539,760	18.5%	78,951	114,325	44.8%				53,060	89,460	68.6%	15,813	12,000	-24.1%	1,559,211	1,882,004	20.7%
033	Emergency Medical Services	97,683	-	-100.0%	97,592	-	-100.0%	378,345	-	-100.0%				39,157	-	-100.0%	-	-		612,777	-	-100.0%
034	Fish Pier	33,698	-	-100.0%	7,011	-	-100.0%	21,171	-	-100.0%				4,525	-	-100.0%	55,501	-	-100.0%	121,906	-	-100.0%
035	Public Utilities	-	-	-	-	-	-	701,245	673,100	-4.0%				-	-	-	-	-		701,245	673,100	-4.0%
036	Code Enforcement	139,302	142,970	2.6%	83,852	83,930	0.1%	4,646	3,800	-18.2%				810	1,850	128.4%	-	-		228,610	232,550	1.7%
Public Safety		2,450,517	2,589,822	5.7%	1,171,889	1,183,869	1.0%	1,302,762	904,668	-30.6%	-	-	0.0%	146,470	149,330	2.0%	82,107	21,000	-74.4%	5,153,745	4,848,689	-5.9%
PUBLIC SERVICES																						
041	Public Services	633,654	938,820	48.2%	371,124	577,020	55.5%	208,532	997,965	378.6%				290,782	548,900	88.8%	100,000	14,000	-86.0%	1,604,092	3,076,705	91.8%
042	Wastemanagement	295,526	-	-100.0%	174,695	-	-100.0%	757,457	-	-100.0%				133,675	-	-100.0%	97,304	-	-100.0%	1,458,657	-	-100.0%
Public Services		929,180	938,820	1.0%	545,819	577,020	5.7%	965,989	997,965	3.3%				424,457	548,900	29.3%	197,304	14,000	-92.9%	3,062,749	3,076,705	0.5%
PUBLIC ASSISTANCE																						
052	General Assistance	19,684	9,070	-53.9%	1,626	1,180	-27.4%	430	53,505	12343.0%				60,300	5,600	-90.7%	-	-		82,040	69,355	-15.5%
Public Assistance		19,684	9,070	-53.9%	1,626	1,180	-27.4%	430	53,505	12343.0%				60,300	5,600	-90.7%	-	-		82,040	69,355	-15.5%
CULTURE AND RECREATION																						
061	Harbor	78,158	139,650	78.7%	27,655	61,780	123.4%	54,010	157,060	190.8%				14,287	24,440	71.1%	26,682	78,000	192.3%	200,792	460,930	129.6%
062	Library	258,809	270,880	4.7%	145,246	147,290	1.4%	58,579	71,555	22.2%				57,598	57,400	-0.3%	-	-		520,232	547,125	5.2%
063	Recreation	50,967	-	-100.0%	16,205	-	-100.0%	161,775	201,525	24.6%				17,309	11,800	-31.8%	-	-		246,256	213,325	-13.4%
Culture and Recreation		387,934	410,530	5.8%	189,106	209,070	10.6%	274,364	430,140	56.8%	-	-	0.0%	89,194	93,640	5.0%	26,682	78,000	192.3%	967,280	1,221,380	26.3%
DEBT																						
081	Long-Term Debt	-	-	-	-	-	-	-	-	-	1,311,314	1,070,587	-18.4%	-	-	-	-	-		1,311,314	1,070,587	-18.4%
Debt		-	-	-	-	-	-	-	-	-	1,311,314	1,070,587	-18.4%	-	-	-	-	-		1,311,314	1,070,587	-18.4%
INTERGOVERNMENTAL																						
091	Knox County Appropriation	-	-	-	-	-	-	730,052	763,847	4.6%				-	-	-	-	-		730,052	763,847	4.6%
	Knox County Dispatch	-	-	-	-	-	-	190,319	192,151					-	-	-	-	-		190,319	192,151	
092	RSU #13	-	-	-	-	-	-	8,773,508	8,836,342	0.7%				-	-	-	-	-		8,773,508	8,836,342	0.7%
Intergovernmental		-	-	-	-	-	-	9,693,879	9,792,340	1.0%	-	-	-	-	-	-	-	-		9,693,879	9,792,340	1.0%
TOTALS		4,496,031	4,581,832	1.9%	2,340,279	2,343,319	0.1%	13,074,513	13,021,438	-0.4%	1,647,401	1,070,587	-35.0%	788,347	841,020	6.7%	331,093	214,000	-35.4%	22,341,577	22,072,196	-1.2%
		Personnel Services			Benefits			Purchased & Contractual Services			DEBT			Supplies			Capital Outlay			Annual Total Expenditures		

Proposed Municipal Estimate REVENUE Changes FY 17

CITY COUNCIL		Proposed REVENUE			Proposed Annual Total REVENUE		
#	Department	FY 16	FY 17	%	FY 16	FY 17	%
GENERAL GOVERNMENT							
011	Legislative	-	-		-	-	
012	Executive	-	-		-	-	
013	Assessing	200	200	0.0%	200	200	0.0%
014	Finance	1,213,500	1,146,200	-5.5%	1,213,500	1,146,200	-5.5%
015	Legal	1,000	-	-100.0%	1,000	-	-100.0%
016	City Clerk	57,250	39,100	-31.7%	57,250	39,100	-31.7%
018	Technology & Prof. Services	-	-		-	-	
019	City Hall Building	3,600	3,000	-16.7%	3,600	3,000	-16.7%
020	Insurance, Benefits & Reimbursements	1,068,058	790,265	-26.0%	1,068,058	790,265	-26.0%
General Government		2,343,608	1,978,765	-15.6%	2,343,608	1,978,765	-15.6%
ECONOMIC DEVELOPMENT							
028	Community Development	97,000	134,300	38.5%	97,000	134,300	38.5%
Economic Development		97,000	134,300	38.5%	97,000	134,300	38.5%
PUBLIC SAFETY							
031	Police Department	163,305	184,750	13.1%	163,305	184,750	13.1%
032	Fire Department	366,548	648,760	77.0%	366,548	648,760	77.0%
033	Emergency Medical Services	612,777	-	-100.0%	612,777	-	-100.0%
034	Fish Pier	144,250	-	-100.0%	144,250	-	-100.0%
035	Public Utilities	260,617	191,000	-26.7%	260,617	191,000	-26.7%
036	Code Enforcement	73,484	73,355	-0.2%	73,484	73,355	-0.2%
Public Safety		1,620,981	1,097,865	-32.3%	1,620,981	1,097,865	-32.3%
PUBLIC SERVICES							
041	Public Services	91,185	1,485,170	1528.7%	91,185	1,485,170	1528.7%
042	Waste Management	1,589,032	-	-100.0%	1,589,032	-	-100.0%
Public Services		1,680,217	1,485,170	-11.6%	1,680,217	1,485,170	-11.6%
PUBLIC ASSISTANCE							
052	General Assistance	30,000	41,125	37.1%	30,000	41,125	37.1%
Public Assistance		30,000	41,125	37.1%	30,000	41,125	37.1%
CULTURE AND RECREATION							
061	Harbor	272,138	383,500	40.9%	272,138	383,500	40.9%
062	Library	122,143	98,400	-19.4%	122,143	98,400	-19.4%
063	Recreation	31,500	-	-100.0%	31,500	-	-100.0%
Culture and Recreation		425,781	481,900	13.2%	425,781	481,900	13.2%
TOTALS		6,197,587	5,219,125	-15.8%	6,197,587	5,219,125	-15.8%
			REVENUE		Annual Total Expenditures		

COMMITMENT City Council's Proposal			
	FY 16	FY 17	%
APPROPRIATIONS	22,341,577	22,072,196	-1.2%
REVENUE	6,197,587	5,219,125	-15.8%
NET Tax for Commitment	16,143,990	16,853,071	4.4%
RSU #13	8,773,508	8,836,342	0.7%
Knox County	730,052	763,847	4.6%
	9,503,560	9,600,189	1.0%
NET MUNICIPAL BUDGET	6,640,430	7,252,882	9.2%

City of Rockland, Maine

Proposed Municipal Budget APPROPRIATION Changes FY 17

<i>CITY MANAGER</i>		Proposed Personnel Services			Proposed Benefits			Proposed Contractual Services			Proposed DEBT			Proposed Supplies			Proposed Capital Outlay			Proposed Annual Total Expenditures		
Number	Department	FY 16	FY 17	%	FY 16	FY 17	%	FY 16	FY 17	%	FY 16	FY 17	%	FY 16	FY 17	%	FY 16	FY 17	%	FY 16	FY 17	%
GENERAL GOVERNMENT																						
011	Legislative/City Council	4,200	4,200	0.0%	338	500	48.0%	22,500	89,300	296.9%				1,200	1,400	16.7%	-	-		28,238	95,400	237.8%
012	City Manager	126,798	134,210	5.8%	54,586	45,470	-16.7%	22,930	3,600	-84.3%				1,000	2,500	150.0%	-	-		205,314	185,780	-9.5%
013	Assessing	100,358	96,880	-3.5%	57,347	51,780	-9.7%	56,785	25,190	-55.6%				400	900	125.0%	-	-		214,890	174,750	-18.7%
014	Finance	214,620	211,550	-1.4%	116,716	111,420	-4.5%	192,410	16,410	-91.5%				6,400	8,400	31.3%	-	1,000		530,146	348,780	-34.2%
015	Legal	79,717	-	-100.0%	23,285	-	-100.0%	8,200	-	-100.0%				1,250	-	-100.0%	-	-		112,452	-	-100.0%
016	City Clerk	120,073	124,690	3.8%	56,681	64,810	14.3%	35,160	15,540	-55.8%				950	950	0.0%	-	-		212,864	205,990	-3.2%
018	Technology & Prof. Services	950	-	-100.0%	9,120	-	-100.0%	181,112	354,305	95.6%				21,380	2,000	-90.6%	25,000	100,000	300.0%	237,562	456,305	92.1%
019	City Hall Building	-	-		-	-		29,945	51,340	71.4%				24,346	26,900	10.5%	-	-		54,291	78,240	44.1%
020	Insurance & Benefits	-	-		83,932	72,000	-14.2%	259,047	211,735	-18.3%				-	-		-	-		342,979	283,735	-17.3%
General Government		646,716	571,530	-11.6%	402,005	345,980	-13.9%	808,089	767,420	-5.0%				56,926	43,050	-24.4%	25,000	101,000	304.0%	1,938,736	1,828,980	-5.7%
ECONOMIC DEVELOPMENT																						
028	Community Development	62,000	112,500	81.5%	29,834	50,900	70.6%	29,000	20,400	-29.7%				336,087	-		-	-		131,834	184,300	
Economic Development		62,000	112,500	81.5%	29,834	50,900	70.6%	29,000	20,400	-29.7%				336,087	-		-	-		131,834	184,300	
PUBLIC SAFETY																						
031	Police Department	1,223,953	1,320,392	7.9%	527,928	560,180	6.1%	118,404	113,443	-4.2%				48,918	58,020	18.6%	10,793	9,000	-16.6%	1,929,996	2,061,035	6.8%
032	Fire Department	955,881	1,128,960	18.1%	455,506	540,325	18.6%	78,951	114,325	44.8%				53,060	89,460	68.6%	15,813	12,000	-24.1%	1,559,211	1,885,069	20.9%
033	Emergency Medical Services	97,683	-	-100.0%	97,592	-	-100.0%	378,345	-	-100.0%				39,157	-	-100.0%	-	-		612,777	-	-100.0%
034	Fish Pier	33,698	-	-100.0%	7,011	-	-100.0%	21,171	-	-100.0%				4,525	-	-100.0%	55,501	-	-100.0%	121,906	-	-100.0%
035	Public Utilities	-	-		-	-		701,245	703,100	0.3%				-	-		-	-		701,245	703,100	0.3%
036	Code Enforcement	139,302	142,970	2.6%	83,852	81,850	-2.4%	4,646	3,800	-18.2%				810	1,850	128.4%	-	-		228,610	230,470	0.8%
Public Safety		2,450,517	2,592,322	5.8%	1,171,889	1,182,355	0.9%	1,302,762	934,668	-28.3%				146,470	149,330	2.0%	82,107	21,000	-74.4%	5,153,745	4,879,674	-5.3%
PUBLIC SERVICES																						
041	Public Services	633,654	938,820	48.2%	371,124	577,020	55.5%	208,532	997,965	378.6%				290,782	498,900	71.6%	100,000	-	-100.0%	1,604,092	3,012,705	87.8%
042	Wastemanagement	295,526	-	-100.0%	174,695	-	-100.0%	757,457	-	-100.0%				133,675	-	-100.0%	97,304	-	-100.0%	1,458,657	-	-100.0%
Public Services		929,180	938,820	1.0%	545,819	577,020	5.7%	965,989	997,965	3.3%				424,457	498,900	17.5%	197,304	-	-100.0%	3,062,749	3,012,705	-1.6%
PUBLIC ASSISTANCE																						
052	General Assistance	19,684	9,070	-53.9%	1,626	1,180	-27.4%	430	53,505	12343.0%				60,300	5,600	-90.7%	-	-		82,040	69,355	-15.5%
Public Assistance		19,684	9,070	-53.9%	1,626	1,180	-27.4%	430	53,505	12343.0%				60,300	5,600	-90.7%	-	-		82,040	69,355	-15.5%
CULTURE AND RECREATION																						
061	Harbor	78,158	133,150	70.4%	27,655	37,350	35.1%	54,010	157,060	190.8%				14,287	24,440	71.1%	26,682	50,000	87.4%	200,792	402,000	100.2%
062	Library	258,809	270,880	4.7%	145,246	147,290	1.4%	58,579	71,555	22.2%				57,598	57,400	-0.3%	-	-		520,232	547,125	5.2%
063	Recreation	50,967	-	-100.0%	16,205	-	-100.0%	161,775	199,525	23.3%				17,309	11,800	-31.8%	-	-		246,256	211,325	-14.2%
Culture and Recreation		387,934	404,030	4.1%	189,106	184,640	-2.4%	274,364	428,140	56.0%				89,194	93,640	5.0%	26,682	50,000	87.4%	967,280	1,160,450	20.0%
DEBT																						
081	Long-Term Debt	-	-		-	-		-	-				1,311,314	1,070,587	-18.4%	-	-		1,311,314	1,070,587	-18.4%	
Debt		-	-		-	-		-	-				1,311,314	1,070,587	-18.4%	-	-		1,311,314	1,070,587	-18.4%	
INTERGOVERNMENTAL																						
091	Knox County Appropriation	-	-		-	-		730,052	763,847	4.6%				-	-		-	-		730,052	763,847	4.6%
	Knox County Dispatch	-	-		-	-		190,319	192,151					-	-		-	-		190,319	192,151	
092	RSU #13	-	-		-	-		8,773,508	8,935,630	1.8%				-	-		-	-		8,773,508	8,935,630	1.8%
Intergovernmental		-	-		-	-		9,693,879	9,891,628	2.0%				-	-		-	-		9,693,879	9,891,628	2.0%
TOTALS		4,496,031	4,628,272	2.9%	2,340,279	2,342,075	0.1%	13,074,513	13,093,726	0.1%	1,647,401	1,070,587	-35.0%	788,347	791,020	0.3%	331,093	172,000	-48.1%	22,341,577	22,097,679	-1.1%
		Personnel Services			Benefits			Purchased & Contractual Services			DEBT			Supplies			Capital Outlay			Annual Total Expenditures		

Proposed Municipal Estimate REVENUE Changes FY 17

CITY MANAGER		Proposed REVENUE			Proposed Annual Total REVENUE		
		FY 16	FY 17	%	FY 16	FY 17	%
GENERAL GOVERNMENT							
011	Legislative	-	-		-	-	
012	Executive	-	17,970		-	17,970	
013	Assessing	200	200	0.0%	200	200	0.0%
014	Finance	1,213,500	1,146,200	-5.5%	1,213,500	1,146,200	-5.5%
015	Legal	1,000	-	-100.0%	1,000	-	-100.0%
016	City Clerk	57,250	39,100	-31.7%	57,250	39,100	-31.7%
018	Technology & Prof. Services	-	25,000		-	25,000	
019	City Hall Building	3,600	3,000	-16.7%	3,600	3,000	-16.7%
020	Insurance, Benefits & Reimbursements	1,068,058	790,265	-26.0%	1,068,058	790,265	-26.0%
General Government		2,343,608	2,003,765	-14.5%	2,343,608	2,003,765	-14.5%
ECONOMIC DEVELOPMENT							
028	Community Development	97,000	184,300	90.0%	97,000	184,300	90.0%
Economic Development		97,000	184,300	90.0%	97,000	184,300	90.0%
PUBLIC SAFETY							
031	Police Department	163,305	184,750	13.1%	163,305	184,750	13.1%
032	Fire Department	366,548	648,760	77.0%	366,548	648,760	77.0%
033	Emergency Medical Services	612,777	-	-100.0%	612,777	-	-100.0%
034	Fish Pier	144,250	-	-100.0%	144,250	-	-100.0%
035	Public Utilities	260,617	191,000	-26.7%	260,617	191,000	-26.7%
036	Code Enforcement	73,484	73,355	-0.2%	73,484	73,355	-0.2%
Public Safety		1,620,981	1,097,865	-32.3%	1,620,981	1,097,865	-32.3%
PUBLIC SERVICES							
041	Public Services	91,185	1,417,200	1454.2%	91,185	1,417,200	1454.2%
042	Waste Management	1,589,032	-	-100.0%	1,589,032	-	-100.0%
Public Services		1,680,217	1,417,200	-15.7%	1,680,217	1,417,200	-15.7%
PUBLIC ASSISTANCE							
052	General Assistance	30,000	41,125	37.1%	30,000	41,125	37.1%
Public Assistance		30,000	41,125	37.1%	30,000	41,125	37.1%
CULTURE AND RECREATION							
061	Harbor	272,138	383,500	40.9%	272,138	383,500	40.9%
062	Library	122,143	98,400	-19.4%	122,143	98,400	-19.4%
063	Recreation	31,500	-	-100.0%	31,500	-	-100.0%
Culture and Recreation		425,781	481,900	13.2%	425,781	481,900	13.2%
TOTALS		6,197,587	5,226,155	-15.7%	6,197,587	5,226,155	-15.7%
			REVENUE		Annual Total Expenditures		

COMMITMENT			
City Manager's Proposal			
	FY 16	FY 17	%
APPROPRIATIONS	22,341,577	22,097,679	-1.1%
REVENUE	6,197,587	5,226,155	-15.7%
NET Tax for Commitment	16,143,990	16,871,524	4.5%
RSU #13 Knox County	8,773,508	8,935,630	1.8%
	730,052	763,847	4.6%
	9,503,560	9,699,477	2.1%
NET MUNICIPAL BUDGET	6,640,430	7,172,048	8.0%

City of Rockland, Maine

Proposed Municipal Budget APPROPRIATION Changes FY 17

DEPARTMENT HEADS		Proposed Personnel Services			Proposed Benefits			Proposed Contractual Services			Proposed DEBT			Proposed Supplies			Proposed Capital Outlay			Proposed Annual Total Expenditures		
Number	Department	FY 16	FY 17	%	FY 16	FY 17	%	FY 16	FY 17	%	FY 16	FY 17	%	FY 16	FY 17	%	FY 16	FY 17	%	FY 16	FY 17	%
GENERAL GOVERNMENT																						
011	Legislative/City Council	4,200	4,200	0.0%	338	500	48.0%	22,500	89,300	296.9%				1,200	1,400	16.7%	-	-		28,238	95,400	237.8%
012	City Manager	126,798	136,260	7.5%	54,586	45,710	-16.3%	22,930	38,600	68.3%				1,000	2,500	150.0%	-	-		205,314	223,070	8.6%
013	Assessing	100,358	96,880	-3.5%	57,347	51,780	-9.7%	56,785	21,790	-61.6%				400	900	125.0%	-	2,000		214,890	173,350	-19.3%
014	Finance	214,620	227,990	6.2%	116,716	105,030	-10.0%	192,410	19,910	-89.7%				6,400	8,400	31.3%	-	3,500		530,146	364,830	-31.2%
015	Legal	79,717	81,580	2.3%	23,285	22,760	-2.3%	8,200	8,200	0.0%				1,250	1,250	0.0%	-	-		112,452	113,790	1.2%
016	City Clerk	120,073	130,150	8.4%	56,681	64,800	14.3%	35,160	15,540	-55.8%				950	950	0.0%	-	-		212,864	211,440	-0.7%
018	Technology & Prof. Services	950	-	-100.0%	9,120	-	-100.0%	181,112	218,000	20.4%				21,380	2,000	-90.6%	25,000	229,500	818.0%	237,562	449,500	89.2%
019	City Hall Building	-	-		-	-		29,945	45,900	53.3%				24,346	28,500	17.1%	-	-		54,291	74,400	37.0%
020	Insurance & Benefits	-	-		83,932	82,000	-2.3%	259,047	269,710	4.1%				-	-		-	-		342,979	351,710	2.5%
General Government		646,716	677,060	4.7%	402,005	372,580	-7.3%	808,089	726,950	-10.0%				56,926	45,900	-19.4%	25,000	235,000	840.0%	1,938,736	2,057,490	6.1%
ECONOMIC DEVELOPMENT																						
028	Community Development	62,000	63,300	2.1%	29,834	25,230	-15.4%	29,000	40,400	39.3%	336,087	-		11,000	11,000	0.0%	-	-		131,834	139,930	6.1%
Economic Development		62,000	63,300	2.1%	29,834	25,230	-15.4%	29,000	40,400	39.3%	336,087	-		11,000	11,000	0.0%	-	-		131,834	139,930	6.1%
PUBLIC SAFETY																						
031	Police Department	1,223,953	1,365,645	11.6%	527,928	574,100	8.7%	118,404	113,743	-3.9%				48,918	50,950	4.2%	10,793	40,000	270.6%	1,929,996	2,144,438	11.1%
032	Fire Department	955,881	1,078,500	12.8%	455,506	443,410	-2.7%	78,951	72,275	-8.5%				53,060	51,850	-2.3%	15,813	94,515	497.7%	1,559,211	1,740,550	11.6%
033	Emergency Medical Services	97,683	101,410	3.8%	97,592	88,040	-9.8%	378,345	367,050	-3.0%				39,157	38,990	-0.4%	-	32,000		612,777	627,490	2.4%
034	Fish Pier	33,698	34,380	2.0%	7,011	14,560	107.7%	21,171	40,700	92.2%				4,525	5,800	28.2%	55,501	25,000	-55.0%	121,906	120,440	-1.2%
035	Public Utilities	-	-		-	-		701,245	737,509	5.2%				-	-		-	-		701,245	737,509	5.2%
036	Code Enforcement	139,302	154,510	10.9%	83,852	92,070	9.8%	4,646	3,800	-18.2%				810	1,850	128.4%	-	2,000		228,610	254,230	11.2%
Public Safety		2,450,517	2,734,445	11.6%	1,171,889	1,212,180	3.4%	1,302,762	1,335,077	2.5%	-	-	0.0%	146,470	149,440	2.0%	82,107	193,515	135.7%	5,153,745	5,624,637	9.1%
PUBLIC SERVICES																						
041	Public Services	633,654	853,252	34.7%	371,124	517,715	39.5%	208,532	228,325	9.5%				290,782	389,400	33.9%	100,000	225,000	125.0%	1,604,092	2,213,692	38.0%
042	Wastemanagement	295,526	249,900	-15.4%	174,695	119,300	-31.7%	757,457	786,640	3.9%				133,675	126,485	-5.4%	97,304	-	-100.0%	1,458,657	1,282,325	-12.1%
Public Services		929,180	1,103,152	18.7%	545,819	637,015	16.7%	965,989	1,014,965	5.1%				424,457	515,885	21.5%	197,304	225,000	14.0%	3,062,749	3,496,017	14.1%
PUBLIC ASSISTANCE																						
052	General Assistance	19,684	9,070	-53.9%	1,626	1,172	-27.9%	430	53,505	12343.0%				60,300	5,600	-90.7%	-	-		82,040	69,347	-15.5%
Public Assistance		19,684	9,070	-53.9%	1,626	1,172	-27.9%	430	53,505	12343.0%				60,300	5,600	-90.7%	-	-		82,040	69,347	-15.5%
CULTURE AND RECREATION																						
061	Harbor	78,158	81,000	3.6%	27,655	31,960	15.6%	54,010	116,360	115.4%				14,287	19,200	34.4%	26,682	25,000	-6.3%	200,792	273,520	36.2%
062	Library	258,809	317,755	22.8%	145,246	171,380	18.0%	58,579	72,555	23.9%				57,598	81,650	41.8%	-	17,750		520,232	661,090	27.1%
063	Recreation	50,967	-	-100.0%	16,205	-	-100.0%	161,775	201,300	24.4%				17,309	8,000	-53.8%	-	-		246,256	209,300	-15.0%
Culture and Recreation		387,934	398,755	2.8%	189,106	203,340	7.5%	274,364	390,215	42.2%	-	-	0.0%	89,194	108,850	22.0%	26,682	42,750	60.2%	967,280	1,143,910	18.3%
DEBT																						
081	Long-Term Debt	-	-		-	-		-	-		1,311,314	958,087	-26.9%	-	-		-	-		1,311,314	958,087	-26.9%
Debt		-	-		-	-		-	-		1,311,314	958,087	-26.9%	-	-		-	-		1,311,314	958,087	-26.9%
INTERGOVERNMENTAL																						
091	Knox County Appropriation	-	-		-	-		730,052	763,857	4.6%				-	-		-	-		730,052	763,857	4.6%
	Knox County Dispatch	-	-		-	-		190,319	192,151	1.0%				-	-		-	-		190,319	192,151	1.0%
092	RSU #13	-	-		-	-		8,773,508	9,212,183	5.0%				-	-		-	-		8,773,508	9,212,183	5.0%
Intergovernmental		-	-		-	-		9,693,879	10,168,192	4.9%				-	-		-	-		9,693,879	10,168,192	4.9%
TOTALS		4,496,031	4,985,783	10.9%	2,340,279	2,451,518	4.8%	13,074,513	13,729,304	5.0%	1,647,401	958,087	-41.8%	788,347	836,675	6.1%	331,093	696,265	110.3%	22,341,577	23,657,631	5.9%
		Personnel Services			Benefits			Purchased & Contractual Services			DEBT			Supplies			Capital Outlay			Annual Total Expenditures		

Proposed Municipal Estimate REVENUE Changes FY 17

DEPARTMENT HEADS		Proposed REVENUE			Proposed Annual Total REVENUE		
#	Department	FY 16	FY 17	%	FY 16	FY 17	%
GENERAL GOVERNMENT							
011	Legislative	-	-		-	-	
012	Executive	-	-		-	-	
013	Assessing	200	200	0.0%	200	200	0.0%
014	Finance	1,213,500	1,071,200	-11.7%	1,213,500	1,071,200	-11.7%
015	Legal	1,000	1,500	50.0%	1,000	1,500	50.0%
016	City Clerk	57,250	36,100	-36.9%	57,250	36,100	-36.9%
018	Technology & Prof. Services	-	-		-	-	
019	City Hall Building	3,600	3,000	-16.7%	3,600	3,000	-16.7%
020	Insurance, Benefits & Reimbursements	1,068,058	619,000	-42.0%	1,068,058	619,000	-42.0%
General Government		2,343,608	1,731,000	-26.1%	2,343,608	1,731,000	-26.1%
ECONOMIC DEVELOPMENT							
028	Community Development	97,000	97,000	0.0%	97,000	97,000	0.0%
Economic Development		97,000	97,000	0.0%	97,000	97,000	0.0%
PUBLIC SAFETY							
031	Police Department	163,305	166,750	2.1%	163,305	166,750	2.1%
032	Fire Department	366,548	341,000	-7.0%	366,548	341,000	-7.0%
033	Emergency Medical Services	612,777	549,600	-10.3%	612,777	549,600	-10.3%
034	Fish Pier	144,250	141,600	-1.8%	144,250	141,600	-1.8%
035	Public Utilities	260,617	164,315	-37.0%	260,617	164,315	-37.0%
036	Code Enforcement	73,484	70,710	-3.8%	73,484	70,710	-3.8%
Public Safety		1,620,981	1,433,975	-11.5%	1,620,981	1,433,975	-11.5%
PUBLIC SERVICES							
041	Public Services	91,185	91,290	0.1%	91,185	91,290	0.1%
042	Waste Management	1,589,032	1,245,410	-21.6%	1,589,032	1,245,410	-21.6%
Public Services		1,680,217	1,336,700	-20.4%	1,680,217	1,336,700	-20.4%
PUBLIC ASSISTANCE							
052	General Assistance	30,000	41,125	37.1%	30,000	41,125	37.1%
Public Assistance		30,000	41,125	37.1%	30,000	41,125	37.1%
CULTURE AND RECREATION							
061	Harbor	272,138	221,900	-18.5%	272,138	221,900	-18.5%
062	Library	122,143	68,320	-44.1%	122,143	68,320	-44.1%
063	Recreation	31,500	-	-100.0%	31,500	-	-100.0%
Culture and Recreation		425,781	290,220	-31.8%	425,781	290,220	-31.8%
TOTALS		6,197,587	4,930,020	-20.5%	6,197,587	4,930,020	-20.5%
		REVENUE			Annual Total Expenditures		

COMMITMENT			
Department Heads' Proposal			
	FY 16	FY 17	%
APPROPRIATIONS	22,341,577	23,657,631	5.9%
REVENUE	6,197,587	4,930,020	-20.5%
NET Tax for Commitment	16,143,990	18,727,611	16.0%
RSU #13	8,773,508	9,212,183	5.0%
Knox County	730,052	763,857	4.6%
	9,503,560	9,976,041	5.0%
NET MUNICIPAL BUDGET	6,640,430	8,751,570	31.8%

General Government

011 City Council

012 City Manager

013 Assessing

014 Finance

015 Legal

016 Records/City Clerk

**018 Technology & Professional
Services**

019 City Hall Building

020 Insurance and Benefits

City of Rockland, Maine

Fund 10, Department 011 - Legislative/City Council

Louise MacLellan Ruf, City Mayor

Department Overview

By Charter, the City Mayor is the head of City government for ceremonial purposes. The Mayor is Chair person of the City Council with voting rights on all matters brought forth for action by the Council. The Council serves as the municipal officers of the City. The Council has legal custody over all City owned land and buildings; sets the salaries of elected City officials; enacts resolves and/or orders to be carried out at the Council's behest; has the authority to establish, combine, or abolish City departments; and appoints a City Manager to carry out the administrative tasks of managing the City's government.

Mission

To provide visionary leadership in policy making to enable the City Manager and his management staff the guidance needed to ensure the City's assets are well maintained; planning encompasses both present and future needs; and the citizenry is confident that the City government is operating efficiently and effectively for residents and visitors alike.

Staffing

The City Manager is appointed by the City council to carry out the wishes of the council, and to manage the City's government through established policies, resolves, and orders of the council. The City clerk is appointed by the City council and serves at their pleasure.

SUMMARY

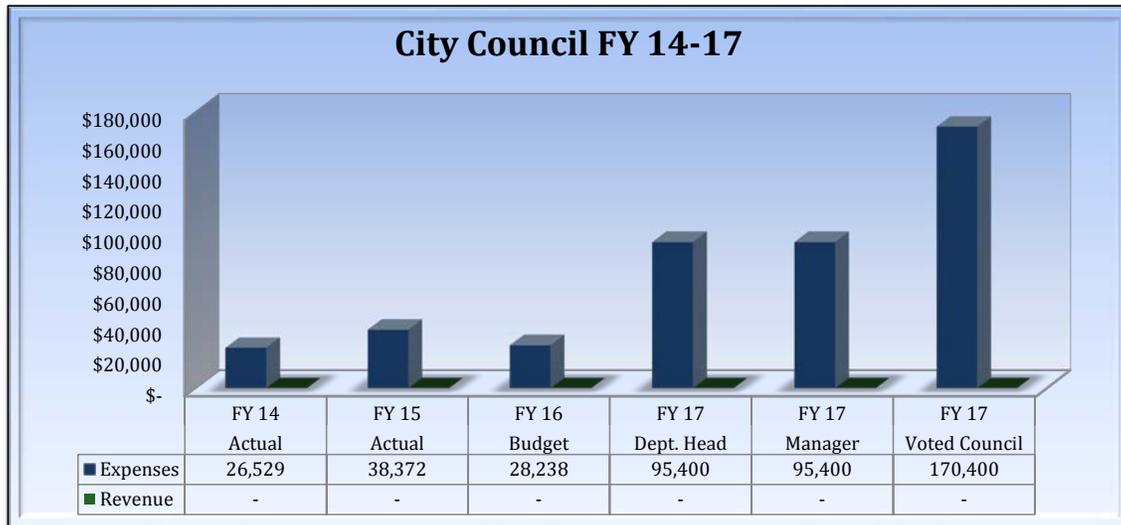
APPROPRIATIONS

Department 0011	New Line	LEGISLATIVE/City COUNCIL	Actual		Budget		02/29/16 YTD		Department Head	City Manager	City Council APPROVED		
			Old Line	FY 14	FY 15	FY 16	FY 16	Proposed FY 17	Proposed FY 17	Proposed FY 17	Proposed FY 17	FY 17	FY 17
04000		Personnel Services		4,230	4,238	4,200	2,132	4,200	0.0%	4,200	0.0%	4,200	0.0%
04100		Employees' Benefits		332	340	338	204	500	48.0%	500	48.0%	500	48.0%
05000		Contractual Services		21,000	32,689	22,500	26,112	89,300	296.9%	89,300	296.9%	164,300	630.2%
06700		Supplies		968	1,106	1,200	-	1,400	16.7%	1,400	16.7%	1,400	
07000		Capital Outlay		-	-	-	-	-		-		-	
TOTAL APPROPRIATIONS				26,529	38,372	28,238	28,449	95,400	237.8%	95,400	237.8%	170,400	503.4%

REVENUE

Department 0011	New Line	LEGISLATIVE/City COUNCIL	Actual		Budget		02/29/16 YTD		Department Head	City Manager	City Council APPROVED		
			Old Line	FY 14	FY 15	FY 16	FY 16	Proposed FY 17	Proposed FY 17	Proposed FY 17	Proposed FY 17	FY 17	FY 17
03000		Revenue		-	-	-	-	-		-		-	
TOTAL REVENUE				-	-	-	-	-		-		-	

NET BUDGET				26,529	38,372	28,238	28,449	95,400	237.8%	95,400	237.8%	170,400	503.4%
				11,843	(10,134)	67,162	67,162	142,162					
				44.64%	-26.41%	237.84%	237.84%	503.44%					



City of Rockland, Maine

Fund 10, Department 011 - Legislative/City Council

Louise MacLellan Ruf, City Mayor

APPROPRIATIONS

Department 0011		LEGISLATIVE/CITY COUNCIL		Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED			
Old Line	New Line			FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17			
04000 Personnel Services													
10011	04002	04008	City Council Stipends	4,230	4,238	4,200	2,132	4,200	0.0%	4,200	0.0%	\$4,200	0.0%
				4,230	4,238	4,200	2,132	4,200	0.0%	4,200	0.0%	4,200	0.0%
04100 Employees' Benefits													
10011	05084	04115	FICA	255	260	260	130	260	0.2%	260	0.2%	\$260	0.2%
10011	05089	04131	Medicare	60	61	61	30	70	14.8%	70	14.8%	70	14.8%
10011	05092	04145	Workers Compensation	17	19	17	44	170	900.0%	170	900.0%	170	900.0%
				332	340	338	204	500	48.0%	500	48.0%	500	48.0%
05000 Contractual Services													
10011		05300	Auditing Services					27,500		27,500		27,500	
10011		05007	Dues and Memberships					9,300		9,300		9,300	
10011		05011	Legal Services			-	6,048					65,000	
10011	05018	05014	Special Programs (Coast Guard)	1,000	2,189	2,500	64	2,500		2,500	0.0%	2,500	0.0%
10011		05079	Donations-Maine Street Merchants					30,000		30,000		30,000	
10011		05079	Donations-Rockland Dist Nursing	20,000	30,500	20,000	20,000	20,000		20,000		20,000	0.0%
10011		05079	Donations-St. Bernards									5,000	
10011		05079	Donations-AIO									5,000	
				21,000	32,689	22,500	26,112	89,300	296.9%	89,300	296.9%	164,300	630.2%
06000 Supplies													
10011	06001		Office Supplies	-	-	-	-	200		200		200	
10072	05082	06310	Veterans-Memorial Flags	968	1,106	1,200	-	1,200	-	1,200	-	1,200	-
				968	1,106	1,200	-	1,400	16.7%	1,400	16.7%	1,400	16.7%
07000 Capital Outlay													
10011													
10011													
				-	-	-	-	-	-	-	-	-	-
TOTAL APPROPRIATIONS				25,562	37,266	27,038	28,449	95,400	252.8%	95,400	252.8%	170,400	530.2%
					11,705	(10,228)		68,362		68,362		143,362	
					45.79%	-27.45%		252.84%		252.84%			
NET BUDGET				25,562	37,266	27,038	28,449	95,400	252.8%	95,400	252.8%	170,400	530.2%
					11,705	(10,228)	Over Budget	68,362		68,362		143,362	
					45.79%	-27.45%		252.84%		252.84%		530.23%	

Fund 10, Department 012 - Executive/City Manager

James D. Chaousis, II, City Manager

Department Overview

By Charter, the City Manager is appointed by the City Council to manage the affairs of the City on behalf of the Council. The City Manager is the chief administrative officer of the City.

The City Manager appoints department heads and hires employees; the City Manager supervises the management of all City departments; manages and supervises the care of all City-owned buildings; prepares an annual budget for review by the Council; and prepares a multitude of reports to keep the Council informed about all City-wide departmental activities. In addition, the City Manager serves as the Personnel Director (unless appointing another individual to take on this task); and the City Manager is responsible for the administration and management of all City departments.

Mission

It is the mission of the City Manager to provide professional leadership in the administration and execution of policies and objectives established by the City Council. The City Manager is responsible in developing and recommending plans for capital and infrastructure improvements required to maintain the City as a viable, thriving small municipality within the coastal area of the state of Maine. In addition, the City Manager annually prepares a budget that represents the current and future needs of the City. The City Manager also fosters community pride in City government through maintaining effective programs, a top-quality management staff, exceptional customer service, and attention to the needs of the City both in the short and long terms.

Staffing

The City Manager's office includes the City Manager, a part-time Assistant City Manager, and an Administrative Assistant. The Administrative Assistant works part-time each week as the General Assistance Administrator; the Assistant City Manager also serves as the Community Development Director. In addition, the City Manager's management staff includes the City Assessor, Finance Director, Community Development Director, Police Chief, Fire Chief, Public Services Director, Code Enforcement Director, Harbormaster, Library Director, and Wastewater Director.

SUMMARY

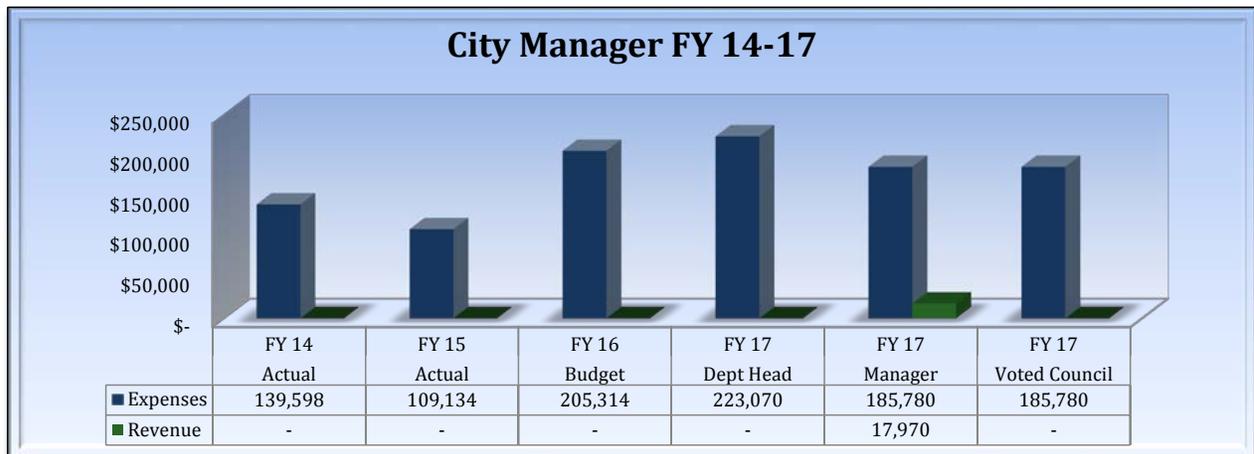
APPROPRIATIONS

Department 0012	New Line	EXECUTIVE/City Manager	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
04000 Personnel Services			97,683	74,907	126,798	85,716	136,260 7.5%	134,210 5.8%	134,210 5.8%
04100 Employees' Benefits			36,456	31,253	54,586	37,509	45,710 -16.3%	45,470 -16.7%	45,470 -16.7%
05000 Contractual Services			4,763	2,727	22,930	20,859	38,600 68.3%	3,600 -84.3%	3,600 -84.3%
06000 Supplies			696	246	1,000	600	2,500 150.0%	2,500 150.0%	2,500 150.0%
07000 Capital Outlay			-	-	-	-	-	-	-
TOTAL APPROPRIATIONS			139,598	109,134	205,314	144,683	223,070 8.6%	185,780 -9.5%	185,780 -9.5%

REVENUE

Department 0012	New Line	EXECUTIVE/City Manager	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
03000 Revenue			-	-	-	-	-	17,970	-
TOTAL REVENUE			-	-	-	-	-	17,970	-

NET BUDGET			139,598	109,134	205,314	144,683	223,070 8.6%	167,810 -18.3%	185,780 -9.5%
			(30,464)	96,180	17,756	(19,534)	(19,534)		
			-21.82%	88.13%	8.65%	-9.51%	-9.51%		



City of Rockland, Maine

Fund 10, Department 012 - Executive/City Manager

James D. Chaousis, II, City Manager

APPROPRIATIONS

Department 0012		EXECUTIVE/CITY MANAGER		Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED			
Old Line	New Line			FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17			
04000 Personnel Services													
10012	04001	04000	City Manager	97,683	73,798	126,798	79,900	93,840	-26.0%	92,000	-27.4%	92,000	-27.4%
			Assistant City Manager (15% of salary)	-	-	-	-	11,170		10,960		10,960	
10012		04013	Administrative Assistant (31 hrs/wk)	-	-	-	-	31,250		31,250		31,250	
10012	04004		Holiday Payroll	-	1,109	-	5,815						
				97,683	74,907	126,798	85,716	136,260	7.5%	134,210	5.8%	134,210	5.8%
04100 Employees' Benefits													
10012	04105		Cell Phone Stipend	-	200	-	320	560		560		560	
10012		04109	Dental Insurance	-	-	-	-	1,490		1,490		1,490	
10012	05084	04115	FICA	6,331	4,006	8,170	5,399	7,760	-5.0%	7,650	-6.4%	7,650	-6.4%
10012	04020	04117	Flexible Benefits Payroll	-	-	-	1,357	2,200		2,200		2,200	
10012	05085	04119	Health Insurance	19,046	13,989	31,124	19,127	18,930	-39.2%	18,930	-39.2%	18,930	-39.2%
10012	05082	04123	ICMA Retirement	3,994	2,392	8,180	4,442	4,700	-42.5%	4,600	-43.8%	4,600	-43.8%
10012	04030	04127	Longevity	20	-	-	-	-		-		-	
10012	05083	04129	Maine Public Employees Retirement	2,260	2,757	-	2,055	3,980		3,960		3,960	
10012	05089	04131	Medicare	1,500	937	1,911	1,263	1,950	2.0%	1,940	1.5%	1,940	1.5%
10012	04100	04137	Travel Allowance	2,750	6,350	4,500	3,300	3,600	-20.0%	3,600	-20.0%	3,600	-20.0%
10012	05092	04145	Workers Compensation	556	622	701	246	330	-52.9%	330	-52.9%	330	-52.9%
10012		04146	Vision Insurance	-	-	-	-	210		210		210	
				36,456	31,253	54,586	37,509	45,710	-16.3%	45,470	-16.7%	45,470	-16.7%
05000 Contractual Services													
10012	05001		Telephone, Cell Phone	1,124	531	-	-	-		-		-	
10012	05006		Travel Reimbursement	78	-	900	-	500	-44.4%	500	-44.4%	500	-44.4%
10012	05007		Dues and Memberships	194	25	830	5,867	1,100	32.5%	1,100	32.5%	1,100	32.5%
10012	05009		Conferences & Meetings	1,192	547	1,200	1,091	2,000	66.7%	2,000	66.7%	2,000	66.7%
10012	05010		Human Resources Consultant	-	1,625	20,000	12,030	20,000	0.0%	-		-	
		05011	Outside Legal Services	-	-	-	1,871	15,000		-		-	
10012	05030	05662	Project Administration	2,175	-	-	-	-		-		-	
10012		05662	Electronic Subscriptions	-	-	-	-	-		-		-	
				4,763	2,727	22,930	20,859	38,600	68.3%	3,600	-84.3%	3,600	-84.3%
06000 Supplies													
10012	06001		Office Supplies	696	246	1,000	600	1,500	50.0%	1,500	50.0%	1,500	50.0%
10012		06002	Computer Supplies	-	-	-	-	1,000		1,000		1,000	
				696	246	1,000	600	2,500	150.0%	2,500	150.0%	2,500	150.0%
07000 Capital Outlay													
10012				-	-	-	-	-		-		-	
10012				-	-	-	-	-		-		-	
TOTAL APPROPRIATIONS				139,598	109,134	205,314	144,683	223,070	8.6%	185,780	-9.5%	185,780	-9.5%

				(30,464)		96,180		17,756		(19,534)		(19,534)	
				-21.82%		88.13%		8.65%		-9.51%		-9.51%	

10012		ICMA Retirement		Actual	Actual	Budget	05/12/15 YTD	Department Head	City Manager	City Council APPROVED
Old Line	New Line			FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17
03000 REVENUE										
10012	03265		TIF Revenue	-	-	-	-	-		17,970
			(10% of wages and benefits) FY 17 Proposed	-	-	-	-	-		17,970
TOTAL REVENUE				-	-	-	-	-		17,970

NET BUDGET				139,598	109,134	205,314	144,683	223,070	8.6%	167,810	-18.3%	185,780	-9.5%
				(30,464)		96,180	Under Budget	17,756		(37,504)		(19,534)	
				-21.82%		88.13%		8.65%		-18.27%		-9.51%	

Fund 10, Department 013 - Assessing

Doreen French, CMA - Assessor

Department Overview

By Charter and City code, the Assessor is appointed by the City Manager. The Assessor must be certified by the State of Maine, Bureau of Revenue Service as being a fully certified Assessor. The Tax Assessor is responsible for discovering, describing, and determining property value of all real and personal property subject to property taxation, and must comply with Maine State Statutes, primarily to MRS § 36. The Assessor's records contain prior ownership information, building characteristics, selling prices, copies of deeds, tax maps, as well as aerial photographs. The City maintains these records to enable appropriate assessment of properties for tax purposes. By law the Assessor is required to conduct ratio studies annually, to maintain an accurate assessment of City values.

In addition the Assessor is required to provide the State Tax Assessor with a list of land values, buildings values, and other improvements, along with a statement as to the percentage of current just value that the assessments are based on, no later than November 1st of each year or within 30 days after the commitment of taxes. The tax assessment ratio certified by the Assessor must be accurate within 20% of the last state valuation.

Mission

It is the mission of the City's Tax Assessor to assess property values of the more than 3,600 parcels located within the City, in the manner prescribed by code and statute in a fair and just manner. The parcels located within the City are very diverse, consisting of ocean front and coastal area parcels, to lakefront parcels, open space, and tree growth areas. The City is also a typical urban, suburban, commercial and industrial small City within the state of Maine. In addition to the real estate accounts, the City also assesses approximately 742 personal property accounts, which impacts local businesses in our community.

Staffing

The Assessing office is staffed by a full-time certified Tax Assessor and an Administrative Assistant. The Administrative Assistant works 30 hours each week, and often helps out in the Code Enforcement Office whenever necessary.

SUMMARY

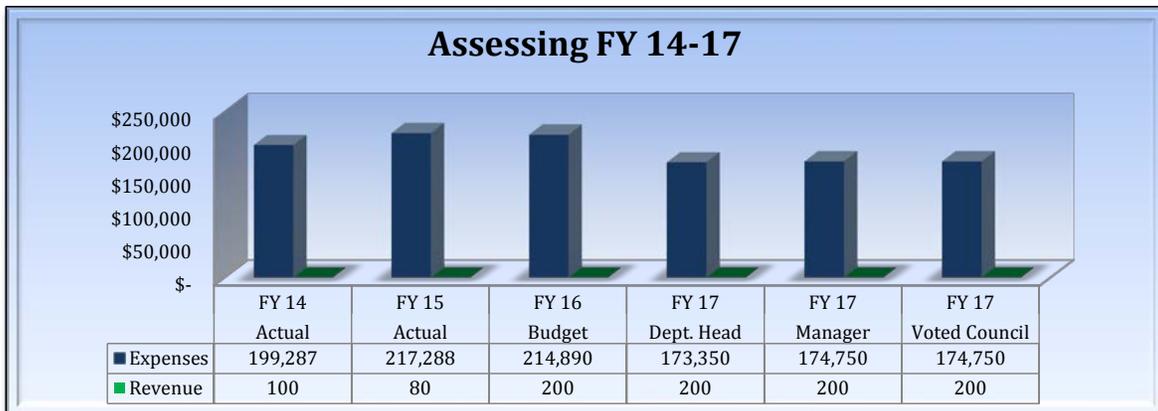
APPROPRIATIONS

Department 0013 Old Line	New Line	ASSESSING	Actual		Budget		02/29/16 YTD		Department Head	City Manager	City Council APPROVED	
			FY 14	FY 15	FY 16	FY 16	Proposed FY 17	Proposed FY 17	Proposed FY 17			
04000		Personnel Services	97,564	100,664	100,358	63,535	96,880	-3.5%	96,880	-3.5%	96,880	-3.5%
04100		Employees' Benefits	50,769	53,220	57,347	39,719	51,780	-9.7%	51,780	-9.7%	51,780	-9.7%
05000		Contractual Services	50,453	62,765	56,785	23,591	21,790	-61.6%	25,190	-55.6%	25,190	-55.6%
06000		Supplies	500	639	400	290	900	125.0%	900	125.0%	900	125.0%
07000		Capital Outlay	-	-	-	-	2,000		-		-	
TOTAL APPROPRIATIONS			199,287	217,288	214,890	127,135	173,350	-19.3%	174,750	-18.7%	174,750	-18.7%

REVENUE

Department 0013 Old Line	New Line	ASSESSING	Actual		Budget		02/29/16 YTD		Department Head	City Manager	City Council APPROVED	
			FY 14	FY 15	FY 16	FY 16	Proposed FY 17	Proposed FY 17	Proposed FY 17			
03000		Revenue	100	80	200	160	200	0.0%	200	0.0%	200	0.0%
TOTAL REVENUE			100	80	200	160	200	0.0%	200	0.0%	200	0.0%

			18,002	(2,398)	(41,540)	(40,140)	(40,140)					
			9.03%	-1.10%	-19.33%	-18.68%	-18.68%					
NET BUDGET			199,187	217,208	214,690	126,975	173,150	-19.3%	174,550	-18.7%	174,550	-18.7%
			18,022	(2,518)	(41,540)	(40,140)	(40,140)					
			9.05%	-1.16%	-19.35%	-18.70%	-18.70%					



City of Rockland, Maine

Fund 10, Department 013 - Assessing

Doreen French, CMA - Assessor

APPROPRIATIONS

Department 0013	New Line	ASSESSING	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
04000 Personnel Services									
10013		04000 Assessor			67,412		61,000 -9.5%	61,000	\$61,000
10013	04001	Administrative Assistant	97,564	99,509	32,946	59,277	35,880 8.9%	35,880 8.9%	\$35,880 8.9%
10013	04004	Holiday Pay	-	1,155	-	4,257	-	-	-
			97,564	100,664	100,358	63,535	96,880 -3.5%	96,880 -3.5%	96,880 -3.5%
04100 Employees' Benefits									
10013		04105 Cell Phone	-	-	-	-	480	480	480
10013		04109 Dental Insurance	-	-	-	-	1,300	1,300	1,300
10013	05084	04115 FICA	5,875	5,914	6,437	4,545	2,230 -65.4%	2,230 -65.4%	2,230 -65.4%
10013	05085	04119 Health Insurance	33,119	33,988	36,457	25,120	32,720 -10.3%	32,720 -10.3%	32,720 -10.3%
10013	04030	04127 Longevity Pay	1,300	1,300	1,300	1,555	1,040 -20.0%	1,040 -20.0%	1,040 -20.0%
10013	05083	04129 Maine Public Employees Retirement	6,570	8,085	9,048	5,948	9,670 6.9%	9,670 6.9%	9,670 6.9%
10013	05089	04131 Medicare	1,397	1,383	1,505	1,063	1,480 -1.7%	1,480 -1.7%	1,480 -1.7%
10013	04100	04137 Travel Allowance	2,160	2,160	2,160	1,260	2,160 0.0%	2,160 0.0%	2,160 0.0%
10013	05092	04145 Workers Compensation	348	390	440	228	520 18.2%	520 18.2%	\$520 18.2%
10013		04146 Vision Insurance	-	-	-	-	180	180	180
			50,769	53,220	57,347	39,719	51,780 -9.7%	51,780 -9.7%	51,780 -9.7%
05000 Contractual Services									
10013	05004	Printing/Advertising	1,864	1,997	1,900	1,645	1,900 0.0%	1,900 0.0%	1,900 0.0%
10013	05006	Travel Reimbursement	245	482	500	-	500 0.0%	500 0.0%	500 0.0%
10013	05007	Dues and Memberships	240	305	235	30	235 0.0%	235 0.0%	235 0.0%
10013	05008	Training & Education	210	245	850	35	1,500 76.5%	1,500 76.5%	1,500 76.5%
10013	05010	Professional Services	3,950	9,481	3,000	-	3,000 0.0%	8,400 180.0%	8,400 180.0%
10013		05011 Outside Legal Fees	-	-	-	1,218	2,000	-	-
10013	05034	Office Equipment Maintenance	-	-	300	-	300 0.0%	300 0.0%	300 0.0%
10013	05088	Computer Services	9,433	11,056	-	6,900	8,405	8,405	8,405
10091	08525	05208 Tax Abatements Overlay	34,512	39,200	50,000	13,763	-	-	-
10013		05306 Computer License (WebGIS)	-	-	-	-	1,800	1,800	1,800
10013		05306 Computer License (GIS/ESRI)	-	-	-	-	1,600	1,600	1,600
10013		05515 Lodging	-	-	-	-	550	550	550
			50,453	62,765	56,785	23,591	21,790 -61.6%	25,190 -55.6%	25,190 -55.6%
06000 Supplies									
10013	06001	Office Supplies	500	639	400	290	400 0.0%	400 0.0%	400 0.0%
10013		06002 Computer Supplies	-	-	-	-	500	500	500
			500	639	400	290	900 125.0%	900 125.0%	900 125.0%
07000 Capital Outlay									
10013		07002 Computer, Monitors	-	-	-	-	2,000	-	-
			-	-	-	-	2,000	-	-
TOTAL APPROPRIATIONS			199,287	217,288	214,890	127,135	173,350 -19.3%	174,750 -18.7%	174,750 -18.7%

REVENUE

Department 0013	New Line	ASSESSING	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
03000 REVENUE									
10013	03125	Miscellaneous Revenue	100	80	200	160	200 0.0%	200 0.0%	200 0.0%
			100	80	200	160	200 0.0%	200 0.0%	200 0.0%
TOTAL REVENUE			100	80	200	160	200 0.0%	200 0.0%	200 0.0%
			(20)	120	-	-	-	-	-
			-20.00%	150.00%	0.00%	0.00%	0.00%	0.00%	0.00%
NET BUDGET			199,187	217,208	214,690	126,975	173,150 -19.3%	174,550 -18.7%	174,550 -18.7%
			18,022	(2,518)	Under Budget	(41,540)	(40,140)	(40,140)	(40,140)
			9.05%	-1.16%		-19.35%	-18.70%	-18.70%	-18.70%

Fund 10, Department 014 - Finance

Wanda Harvey, Finance Director

Department Overview

The Finance Department has two primary functions: finance/accounting and tax collection.

By Charter and Code, the Finance Director is appointed by the City Manager. The Finance Director's duties include managing the City's finances; working with the City Manager in developing an annual budget for the Council's consideration; keeping abreast of all laws and regulations governing municipal finance; and overseeing the management of the City's finances.

The Finance Department also includes the Tax Collector position. The Tax Collector is responsible for all aspects associated with the collection of the City's property taxes, to include land and buildings, as well as personal property taxes - each year.

Mission

The responsibility of the Finance Department is to maintain the financial records and accounts of the City's financial matters in a manner prescribed by generally accepted accounting principles, and to ensure that the municipal assets and funds are secure. The department is also responsible to provide up to date and accurate budget information to municipal departments, to ensure that any decisions made as it relates to the budget, are made with accurate information. It is the objective of this department to maintain a high level of accuracy; to safeguard the public's funds; to ensure a courteous and professional staff; and provide a pleasant work environment in which to work and to meet and greet the public for who we serve.

Staffing

The office is staffed with a full-time Finance Director. The Finance Director supervises and/or oversees the Treasurer/Tax Collector, Payroll/Grant Specialist, and an Accounts Payable/Billing Clerk each of whom work 37.5 hours each week. In addition, the office supports 12 hours each week of an Assistant Clerk from the City Clerk's office, to help with payroll and other clerical tasks on occasion. There is also a Deputy Tax Collector/Treasurer and an Assistant Tax Collector/Treasurer.

SUMMARY

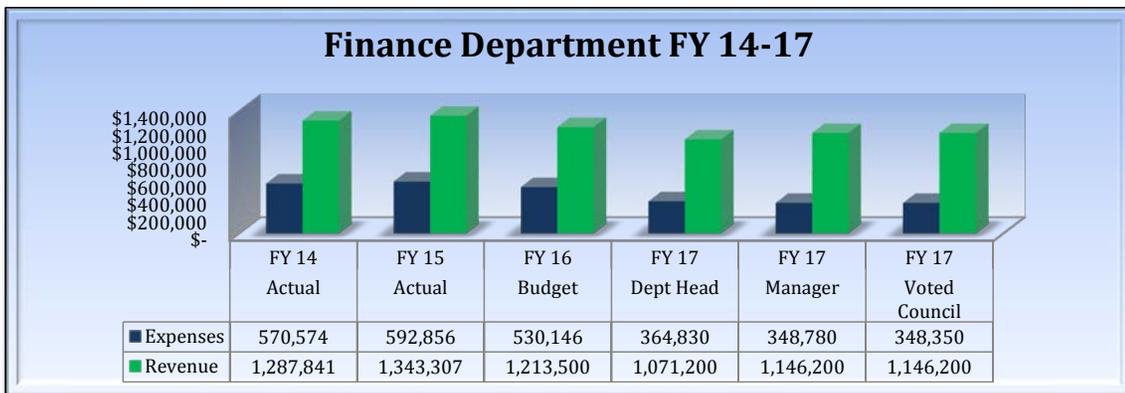
APPROPRIATIONS

Department 0014	Old Line	New Line	FINANCE DEPARTMENT		Budget FY 16	02/29/16 YTD	Department Head		City Manager		City Council APPROVED	
			Actual FY 14	Actual FY 15			Proposed FY 17	Proposed FY 17	Proposed FY 17	Proposed FY 17	FY 17	FY 17
04000 Personnel Services			207,213	219,385	214,620	130,905	227,990	6.2%	211,550	-1.4%	211,550	-1.4%
04100 Employees' Benefits			102,489	108,465	116,716	71,814	105,030	-10.0%	111,420	-4.5%	110,990	-4.9%
05000 Contractual Services			194,669	202,864	192,410	122,287	19,910	-89.7%	16,410	-91.5%	16,410	-91.5%
06000 Supplies			53,876	50,593	6,400	4,726	8,400	31.3%	8,400	31.3%	8,400	31.3%
07000 Capital Outlay			12,328	11,548	-	1,379	3,500		1,000		1,000	
TOTAL APPROPRIATIONS			570,574	592,856	530,146	331,110	364,830	-31.2%	348,780	-34.2%	348,350	-34.3%

REVENUE

Department 0014	Old Line	New Line	FINANCE DEPARTMENT		Budget FY 16	02/29/16 YTD	Department Head		City Manager		City Council APPROVED	
			Actual FY 14	Actual FY 15			Proposed FY 17	Proposed FY 17	Proposed FY 17	Proposed FY 17	FY 17	FY 17
03000 Revenue			1,287,841	1,343,307	1,213,500	885,422	1,071,200	-11.7%	1,146,200	-5.5%	1,146,200	-5.5%
TOTAL REVENUE			1,287,841	1,343,307	1,213,500	885,422	1,071,200	-11.7%	1,146,200	-5.5%	1,146,200	-5.5%

			55,466	(129,807)			(142,300)		(67,300)		(67,300)	
			4.31%	-9.66%			-11.73%		-5.55%		-5.55%	
NET BUDGET			(717,267)	(750,451)	(683,354)	(554,312)	(706,370)	3.4%	(797,420)	16.7%	(797,850)	16.8%
			(33,184)	67,097			(23,016)		(114,066)		(114,496)	
			4.63%	-8.94%			3.37%		16.69%		16.76%	



City of Rockland, Maine

Fund 10, Department 014 - Finance

Virginia B. Lindsey, Finance Director

APPROPRIATIONS

Department 0014	New Line	FINANCE DEPARTMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			Old Line	FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17
04000 Personnel Services									
10014	04000	Finance Director					74,020	68,040	\$68,040
10014	04001	Full-time Payroll	207,213	214,788	212,120	118,599	149,470	142,410	\$142,410
10014	04003	Overtime Pay	-	2,300	2,500	4,105	4,500	1,100	\$1,100
10014	04004	Holiday Pay	-	2,297	-	8,201	-	-	-
			207,213	219,385	214,620	130,905	227,990	211,550	211,550
04100 Employees Benefits									
10014	04105	Cell Phone	-	-	-	-	480	480	480
10014	05084	04115 FICA	12,426	12,527	13,551	8,747	14,140	13,410	13,410
10014	04020	04117 Flexible Benefits Pay	-	-	-	273	600	-	-
10014	05085	04119 Health Insurance	70,674	74,106	79,448	48,705	65,870	74,990	74,990
10014	05082	04123 ICMA Retirement	4,064	4,330	4,876	4,028	8,060	7,690	7,510
10014	04030	04127 Longevity	3,380	3,385	3,950	3,110	5,200	4,680	\$4,680
10014	05083	04129 Maine Public Employees Retirement	8,240	10,346	10,773	4,432	6,360	5,950	5,750
10014	05089	04131 Medicare	2,953	2,930	3,169	2,046	3,290	3,190	3,140
10014	05092	04145 Workers Compensation	752	842	949	472	1,030	1,030	\$1,030
			102,489	108,465	116,716	71,814	105,030	111,420	110,990
05000 Contractual Services									
10014	05001	Telephone/Cell Phone	-	218	-	70	-	-	-
10014	05006	Travel Reimbursement	251	92	1,400	46	1,400	1,400	1,400
10014	05007	Dues and Memberships	280	280	285	100	310	310	310
10014	05008	Training & Education	474	75	2,025	73	1,500	1,500	1,500
10014	05010	Lien Filing Expense	5,655	7,296	6,100	5,282	8,000	8,000	8,000
10014	05011	Legal Services	2,313	1,330	2,500	2,391	3,500	-	-
10014	05017	MV Registration (State Share)	185,697	193,573	180,000	114,324	No longer appropriating taxes for State fees collected.		
10014	05034	Office Equipment Maintenance	-	-	100	-	-	-	-
10014	05088	Computer Repairs & Maintenance	-	-	-	-	5,000	5,000	5,000
10014	05662	Electronic Subscriptions (Adobe)	-	-	-	-	200	200	200
			194,669	202,864	192,410	122,287	19,910	16,410	16,410
06000 Supplies									
10014	06001	Office Supplies	5,045	5,273	6,000	4,726	6,000	6,000	6,000
10014	05088	06002 Computer Supplies	-	-	-	-	2,000	2,000	2,000
10014		See 05088, 05306 & Tech Budget 018	48,572	45,173	-	-	-	-	-
10014	05045	06024 References & Publications	259	148	400	-	400	400	400
			53,876	50,593	6,400	4,726	8,400	8,400	8,400
07000 Capital Outlay									
10014	07001	Laser Printers (3)	-	-	-	-	1,500	1,000	1,000
10014	07002	Computer Equipment	7,402	7,573	-	-	2,000	-	-
10014	07004	Computer Software	4,926	3,976	-	-	-	-	-
10014	07335	Furniture/Fixtures	-	-	-	1,379	-	-	-
			12,328	11,548	-	1,379	3,500	1,000	1,000
TOTAL APPROPRIATIONS			570,574	592,856	530,146	331,110	364,830	348,780	348,350
				22,282	(62,710)		(165,316)	(181,366)	(181,796)
				3.91%	-10.58%		-31.18%	-34.21%	-34.29%

City of Rockland, Maine

REVENUE

Department 0014		FINANCE DEPARTMENT		Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
Old Line	New Line			FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17
03000 REVENUE										
10014	03145	Motor Vehicle Registration Fees		199,356	207,816	190,000	130,170	-	-	
10014	03150	Auto Excise Tax		956,998	1,013,747	900,000	673,479	950,000	5.6%	1,025,000 13.9%
10014	03158	Snowmobile Registrations		590	-	-	-	-	-	-
10014	03160	Interest on Taxes		108,328	105,015	105,000	69,784	105,000	0.0%	105,000 0.0%
10014	03165	AR Interest		1,394	2,267	2,000	(2,222)	2,000	0.0%	2,000 0.0%
10014	03173	Notice Fees		11,696	13,137	13,000	11,731	13,000	0.0%	13,000 0.0%
10014	03180	Investments		9,093	443	3,500	1,709	1,200	-65.7%	1,200 -65.7%
10014	03125	Miscellaneous		386	884	-	770	-	-	-
				1,287,841	1,343,307	1,213,500	885,422	1,071,200	-11.7%	1,146,200 -5.5%
TOTAL REVENUE				1,287,841	1,343,307	1,213,500	885,422	1,071,200	-11.7%	1,146,200 -5.5%
					55,466 4.31%	(129,807) -9.66%		(142,300) -11.73%		(67,300) -5.55%
NET BUDGET				(717,267)	(750,451)	(683,354)	(554,312)	(706,370)	3.4%	(797,420) 16.7%
					(33,184) 4.63%	67,097 -8.94%		(23,016) 3.37%		(114,066) 16.69%
										(114,496) 16.76%

City of Rockland, Maine

Fund 10, Department 015 - Legal

Kevin Beal, Esq. - City Attorney

The Rockland Charter, Article IV, Section 406, requires the City Council to appoint a City Attorney to serve as the “chief legal advisor to the council, the manager, and through the manager to all City departments, boards, agencies, and commissions.” The City Attorney is required to represent the City in all legal proceedings and perform other duties prescribed by ordinance and law. The City Council expands upon the duties of the City Attorney in the Code of Ordinances, Ch. 2, Art. IX, Sec. 2-902, where the City Attorney is also required to prosecute violations of ordinances, draft ordinances when required by the Council or Manager, attend City Council meetings, report on lawsuits brought against the City, call the Council and Manager’s attention to matters of law affecting the City, render written legal opinions and maintain a record of the same, appear before the Maine State Legislature and legislative committees, review and certify approval of bonds, and “perform such other duties as may be required by the City Council.” The City Attorney’s daily activities are performed under the direction of the City Manager, with the Council retaining its overall supervisory role as prescribed in the Charter.

SUMMARY

APPROPRIATIONS

Department 0015 Old Line	New Line	LEGAL DEPARTMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		04000 Personnel Services	77,693	80,033	79,717	52,552	81,580 2.3%	-	-
		04100 Employees' Benefits	20,413	22,106	23,285	16,682	22,760 -2.3%	-	-
		05000 Contractual Services	2,054	6,340	8,200	2,989	8,200 0.0%	-	-
		06000 Supplies	2,570	684	1,250	74	1,250 0.0%	-	-
		07000 Capital Outlay	-	-	-	-	-	-	-
TOTAL APPROPRIATIONS			102,730	109,163	112,452	72,297	113,790 1.2%	-	-

			6,433	3,289			1,338		
			6.26%	3.01%			1.19%		

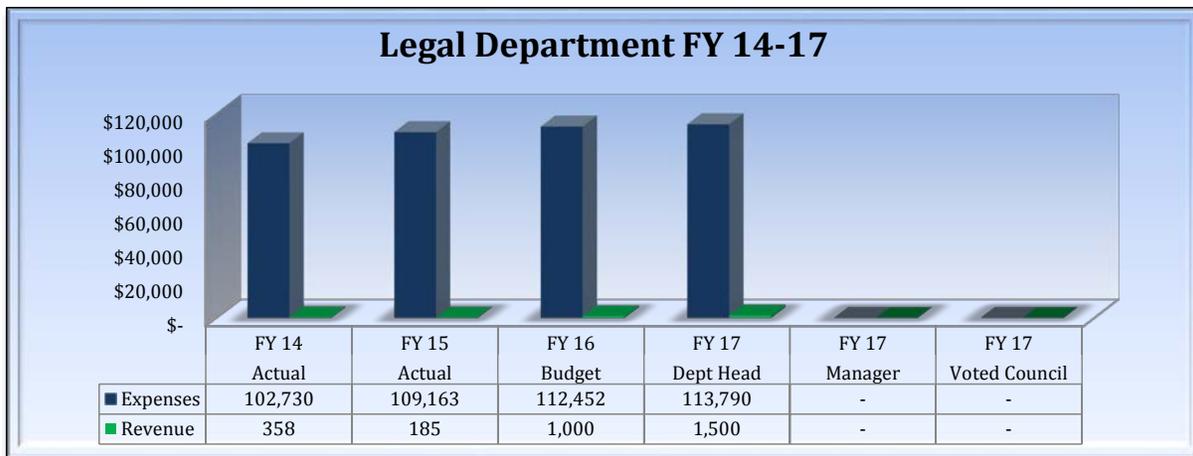
REVENUE

Department 0015 Old Line	New Line	LEGAL DEPARTMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		03000 Revenue	358	185	1,000	1,575	1,500 50.0%	-	-
TOTAL REVENUE			358	185	1,000	1,575	1,500 50.0%	-	-

			(173)	815			500		
			-48.25%	440.54%			50.00%		

NET BUDGET			102,372	108,978	111,452	70,722	112,290 0.8%	-	-
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			6,606	2,474			838		
			6.45%	2.27%			0.75%		



City of Rockland, Maine

Fund 10, Department 015 - Legal

Kevin Beal, Esq. - City Attorney

See Budget 018-Technology, Legal & Professional Services

APPROPRIATIONS

Department 0015 LEGAL DEPARTMENT				Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
Old Line	New Line			FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17
04000 Personnel Services										
10015	04001	04000	City Attorney	77,693	79,113	79,717	49,124	81,580	2.3%	-
10015	04004		Holiday Payroll	-	920	-	3,428	-		-
				77,693	80,033	79,717	52,552	81,580	2.3%	-
04100 Employees Benefits										
10015	04105		Cell Phone Stipend	480	480	-	320	480	-	-
10015		04109	Dental Insurance	-	-	-	-	400	-	-
10015	05084	04115	FICA	4,659	4,798	4,988	3,221	5,060	1.4%	-
10015	05085	04119	Health Insurance	8,486	8,795	9,445	7,291	7,340	-22.3%	-
10015	04030	04127	Longevity	260	260	260	170	260	0.0%	-
10015	05083	04129	Maine Public Employees Retirement	5,139	6,326	7,118	4,790	7,760	9.0%	-
10015	05089	04131	Medicare	1,098	1,122	1,167	753	1,190	2.0%	-
10015	05092	04145	Workers Compensation	290	325	307	137	210	-31.6%	-
10015		04146	Vision Insurance	-	-	-	-	60	-	-
				20,413	22,106	23,285	16,682	22,760	-2.3%	-
05000 Contractual Services										
10015	05006		Travel Reimbursement	167	489	500	100	500	0.0%	-
10015	05009	05008	Continuing Legal Education	275	559	1,200	295	1,200	0.0%	-
10015	05010	05011	Outside Legal Services	-	4,484	4,500	1,427	4,500	0.0%	-
10015	05007	05284	License & Professional Assoc.	892	487	1,000	890	1,000	0.0%	-
10015	05050		Filing Fees & Special Charges	720	322	1,000	277	1,000	0.0%	-
				2,054	6,340	8,200	2,989	8,200	0.0%	-
06000 Supplies										
10015	06001		Office Supplies	378	676	500	18	500	0.0%	-
10015	05045	06024	References & Publications	2,192	8	750	56	750	0.0%	-
				2,570	684	1,250	74	1,250	0.0%	-
07000 Capital Outlay										
10013										
10013										
				-	-	-	-	-		-
TOTAL APPROPRIATIONS				102,730	109,163	112,452	72,297	113,790	1.2%	-

REVENUE

Department 0015 LEGAL DEPARTMENT				Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
Old Line	New Line			FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17
03000 REVENUE										
10015	03110		Miscellaneous	300	-	500	5	500	0.0%	-
10015	03259		Ordinance Fines	58	185	500	1,570	1,000	100.0%	-
				358	185	1,000	1,575	1,500	50.0%	-
TOTAL REVENUE				358	185	1,000	1,575	1,500	50.0%	-

				(173)		815		500		
				-48.25%		440.54%		50.00%		
NET BUDGET				102,372	108,978	111,452	70,722	112,290	0.8%	-
				6,606		2,474	Under Budget	838		
				6.45%		2.27%		0.75%		

Fund 10, Department 016 - City Clerk

Stuart Sylvester, City Clerk

Department Overview

By Charter the City Council elects the City Clerk to serve at its pleasure. The City Clerk gives notice of all Council meetings; prepares agenda for City Council meetings, attends all Council meetings; and keeps records of all meetings and actions of the Council.

By Statute, the City Clerk serves as the supervisor of all elections held in the City; serves as the deputy registrar of voters, and keeps all records required by Statute. The City Clerk also accepts payments on behalf of the City and State for various City and State licenses, permits and certificates, i.e. hunting and fishing licenses, dog licenses, birth, death and marriage certificates, and marriage licenses.

Mission

The mission of the city clerk is to accurately maintain city records, conduct elections with professionalism in accordance with State law, prepare City Council meeting agendas and minutes, issue City licenses, provide effective and efficient customer service, and provide information to both City officials and the general public in a friendly, courteous, and often times in a confidential manner.

Staffing

The City Clerk's staff includes a Deputy City Clerk and two Assistant City Clerks.

SUMMARY

APPROPRIATIONS

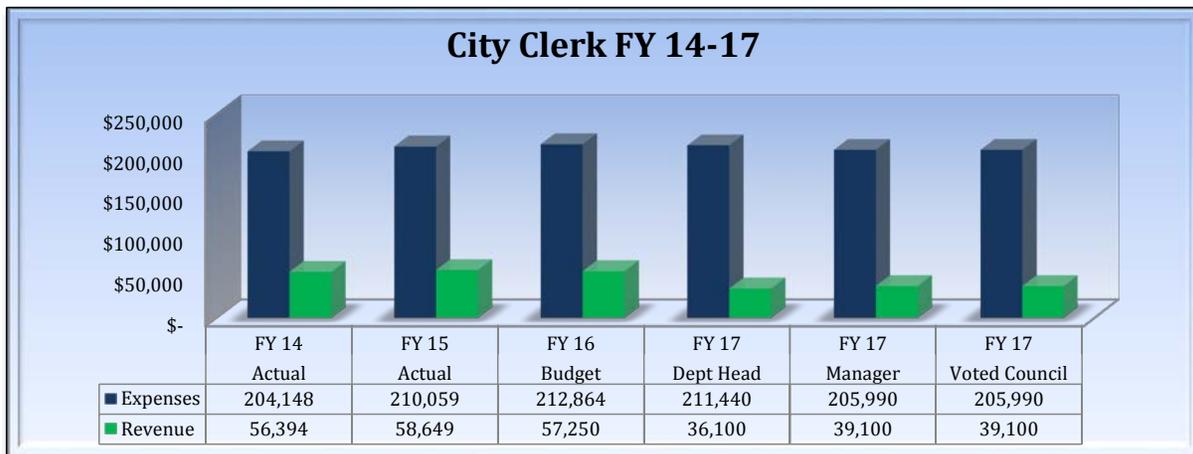
Department 0016 Old Line	New Line	RECORDS/CITY CLERK	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		04000 Personnel Services	116,514	120,165	120,073	80,653	130,150 8.4%	124,690 3.8%	124,690 3.8%
		04100 Employees' Benefits	52,898	55,097	56,681	50,585	64,800 14.3%	64,810 14.3%	64,810 14.3%
		05000 Contractual Services	34,168	34,050	35,160	22,112	15,540 -55.8%	15,540 -55.8%	15,540 -55.8%
		06000 Supplies	568	747	950	673	950 0.0%	950 0.0%	950 0.0%
		07000 Capital Outlay	-	-	-	-	-	-	-
TOTAL APPROPRIATIONS			204,148	210,059	212,864	154,023	211,440 -0.7%	205,990 -3.2%	205,990 -3.2%

			5,910	2,805			(1,424)	(6,874)	(6,874)
			2.90%	1.34%			-0.67%	-3.23%	-3.23%

REVENUE

Department 0016 Old Line	New Line	RECORDS/CITY CLERK	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		03000 Revenue	56,394	58,649	57,250	37,227	36,100 -36.9%	39,100 -31.7%	39,100 -31.7%
TOTAL REVENUE			56,394	58,649	57,250	37,227	36,100 -36.9%	39,100 -31.7%	39,100 -31.7%

			2,255	(1,399)			(21,150)	(18,150)	(18,150)
			4.00%	-2.39%			-36.94%	-31.70%	-31.70%
NET BUDGET			147,754	151,409	155,614	116,796	175,340 12.7%	166,890 7.2%	166,890 7.2%
			3,656	4,205			19,726	11,276	11,276
			2.47%	2.78%			12.68%	7.25%	7.25%



City of Rockland, Maine

Fund 10, Department 016 - City Clerk

Stuart Sylvester, City Clerk

APPROPRIATIONS

Department 0016		RECORDS/CITY CLERK	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
Old Line	New Line		FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17
04000 Personnel Services									
01016	04000	City Clerk					58,070	56,770	\$56,770
10016	04001	Full-time Payroll	114,688	116,816	118,523	74,244	70,080 -40.9%	65,920 -44.4%	\$65,920 -44.4%
10016	04002	04052 Election Workers	1,826	1,743	1,550	975	2,000 29.0%	2,000 29.0%	\$2,000 29.0%
10016	04003	Overtime	-	113	-	-	-	-	-
10016	04004	Holiday Payroll	-	1,492	-	5,434	-	-	-
			116,514	120,165	120,073	80,653	130,150 8.4%	124,690 3.8%	124,690 3.8%
04100 Employees Benefits									
10016	04109	Dental Insurance	-	-	-	-	680	680	680
10016	05084	04115 FICA	7,283	7,674	7,666	5,202	900	900	900
10014	04020	04117 Flexible Benefits Pay	-	-	-	750	-	-	-
10016	05085	04119 Health Insurance	32,678	32,913	35,311	32,094	43,560 23.4%	43,560 23.4%	43,560 23.4%
10016	04020	04121 Health Insurance Stipend	-	-	-	550	1,300	1,300	1,300
10016	05082	04123 ICMA Retirement	2,025	2,084	3,289	1,444	2,250 -31.6%	2,250 -31.6%	2,250 -31.6%
10016	04030	04127 Longevity	2,860	2,860	3,120	3,460	5,460 75.0%	5,460 75.0%	5,460 75.0%
10016	05083	04129 Maine Public Employees Retirement	5,891	7,300	4,971	5,558	7,900 58.9%	7,910 59.1%	7,910 59.1%
10016	05089	04131 Medicare	1,740	1,795	1,793	1,217	1,860 3.7%	1,860 3.7%	1,860 3.7%
10016	05092	04145 Workers Compensation	421	472	531	311	780 46.9%	780	780
10016	04146	Vision Insurance	-	-	-	-	110	110	110
			52,898	55,097	56,681	50,585	64,800 14.3%	64,810 14.3%	64,810 14.3%
05000 Contractual Services									
10016	05006	Travel Reimbursement	71	78	100	62	100 0.0%	100 0.0%	100 0.0%
10016	05007	Dues & Memberships	50	50	50	150	100 100.0%	100 100.0%	100 100.0%
10016	05009	Conference & Meetings	40	-	120	55	150 25.0%	150 25.0%	150 25.0%
10016	05010	Professional Services	11,067	11,286	12,000	7,669	12,000 0.0%	12,000 0.0%	12,000 0.0%
10016	05015	xxxxx SNOWMOBILE/ ATV REGISTRATION	-	4,038	7,100	4,638			
10016	05016	xxxxx IF & W LICENSES	16,198	11,079	8,300	4,609			
10016	05017	xxxxx DOG LICENSE (STATE SHARE)	1,777	1,636	1,800	1,516	1,600 -11.1%	1,600 -11.1%	1,600 -11.1%
10016	05018	xxxxx LICENSE/BACKGROUND CHECK FEE	1,344	1,302	1,200	693			
10016	05019	xxxxx VITAL RECORD FEE - STATE SHARE	2,691	3,545	3,400	2,094			
10016	07001	05021 Equipment Outlay Voting Machine Rental	699	589	590	589	590 0.0%	590 0.0%	590 0.0%
10016	05034	Office Equipment Maintenance	232	448	500	38	500 0.0%	500 0.0%	500 0.0%
10014	05088	Computer Repairs & Mainenance	-	-	-	-	500	500	500
			34,168	34,050	35,160	22,112	15,540 -55.8%	15,540 -55.8%	15,540 -55.8%
06000 Supplies									
10016	06001	Office Supplies	19	404	500	203	500 0.0%	500 0.0%	500 0.0%
10016	06006	Miscellaneous Supplies	549	343	450	469	450 0.0%	450 0.0%	450 0.0%
			568	747	950	673	950 0.0%	950 0.0%	950 0.0%
07000 Capital Outlay									
			-	-	-	-	-	-	-
TOTAL APPROPRIATIONS			204,148	210,059	212,864	154,023	211,440 -0.7%	205,990 -3.2%	190,450 -10.5%

			5,910	2,805	(1,424)	(6,874)	(22,414)
			2.90%	1.34%	-0.67%	-3.23%	-10.53%

REVENUE

10016		RECORDS/CITY CLERK	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
Old Line	New Line		FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17
03000 REVENUE									
01018	03121	Photocopies	139	204	200	82	100 -50.0%	100 -50.0%	100 -50.0%
10016	03158	Snowmobile/ATV	-	6,626	7,250	5,263	-	-	-
10016	03200	IF&W Licenses	17,075	9,703	9,000	4,943	-	-	-
10016	03205	Dog Licenses (State share)	1,777	1,636	1,800	1,516	-	-	-
01018	03245	Miscellaneous Revenue	300	-	-	5,000	-	-	-
10016	03250	Clerk's Fees	37,104	40,480	39,000	20,424	36,000 -7.7%	39,000 0.0%	39,000 0.0%
			56,394	58,649	57,250	37,227	36,100 -36.9%	39,100 -31.7%	39,100 -31.7%
TOTAL REVENUE			56,394	58,649	57,250	37,227	36,100 -36.9%	39,100 -31.7%	39,100 -31.7%

			2,255	(1,399)	(21,150)	(18,150)	(18,150)
			4.00%	-2.39%	-36.94%	-31.70%	-31.70%

NET BUDGET			147,754	151,409	155,614	116,796	175,340 12.7%	166,890 7.2%	151,350 -2.7%
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			3,656	4,205	Under Budget	19,726	11,276	(4,264)
			2.47%	2.78%		12.68%	7.25%	-2.74%

Fund 10, Department 018 - Technology & Professional Services

James D. Chaousis, II, City Manager

Department Overview

The Technology & Professional Services budget is designed to take care of the City's technology needs (computer purchases, repairs, web design, web hosting, internet fees) and to provide funding for professionals to handle the in-house technology needs as well as requirements that arise for legal services for such things as personnel matters, contract negotiations, and the like.

An immediate goal of the City Manager and his staff is to upgrade the technology in all City departments in an effort to provide citizens excellent service, and to improve internal financial controls. To support this cause purchases will be required for capital expenses (computers, high-speed fiber optics, etc.) and expert assistance may be needed.

Mission

The Mission of the Technology & Professional Services budget is to provide up to date technology for all City departments; to improve the quality of the work provided to the public. Professional services shall be utilized to enhance the City's efforts in planning, engineering, and legal services to ensure the public's goods and properties are protected to the highest degree possible for the benefit of the City and the public it serves.

Staffing

There are no staff members associated with the Technology & Professional Services budget; requirements are through outside hired professionals.

SUMMARY

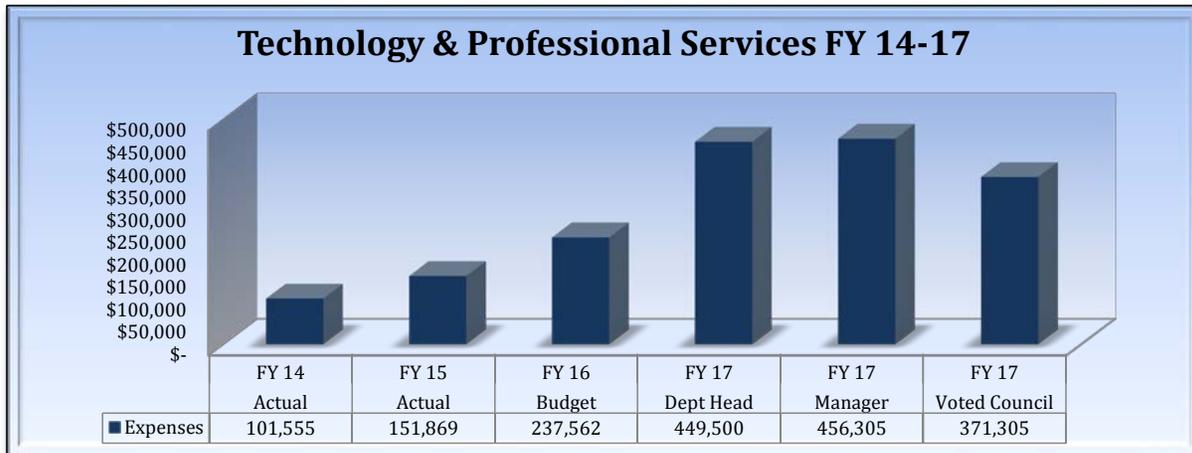
APPROPRIATIONS

Department 0018	Old Line	New Line	TECHNOLOGY & PROFESSIONAL SERVICES	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED			
				FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17				
04000 Personnel Services				314	573	950	-	-	-	-			
04100 Employees' Benefits				-	-	9,120	-	-	-	-			
05000 Contractual Services				74,531	125,729	181,112	127,162	218,000	20.4%	354,305	95.6%	269,305	48.7%
06000 Supplies				2,332	5,059	21,380	1,747	2,000	-90.6%	2,000	-90.6%	2,000	-90.6%
07000 Capital Outlay				24,377	20,508	25,000	-	229,500	818.0%	100,000	300.0%	100,000	300.0%
TOTAL APPROPRIATIONS				101,555	151,869	237,562	128,909	449,500	89.2%	456,305	92.1%	371,305	56.3%

REVENUE

Department 0018	Old Line	New Line	TECHNOLOGY & PROFESSIONAL SERVICES	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
				FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
03000 Revenue				-	-	-	-	-	25,000	-
TOTAL REVENUE				-	-	-	-	-	25,000	-

NET BUDGET				101,555	151,869	237,562	128,909	449,500	89.2%	431,305	81.6%	371,305	56.3%
				50,314	85,693	211,938	218,743	133,743					
				49.54%	56.43%	89.21%	92.08%	56.30%					



City of Rockland, Maine

Fund 10, Department 019 - City Hall Office Building

James D. Chaousis, II., City Manager

The City Hall office building comprises the offices of City Manager, Assistant City Manager/Community Development Director, Assessing, Code Enforcement, City Attorney, City Clerk, Tax Collector, and Finance Director.

The City Council holds all of its meeting in the council chambers located in the basement of the City Hall building, located at the back of the building - 270 Pleasant Street Rockland.

The normal office hours of City Hall are Monday through Friday, 8 a.m. until 4:30 p.m., excluding holidays.

SUMMARY

Appropriations

Department 0019 Old Line	New Line	CITY HALL	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		04000 Personnel Services	-	-	-	-	-	-	-
		04100 Employees' Benefits	-	-	-	-	-	-	-
		05000 Contractual Services	30,191	34,146	29,945	17,460	45,900 53.3%	51,340 71.4%	51,340 71.4%
		06000 Supplies	23,175	25,329	24,346	12,419	28,500 17.1%	26,900 10.5%	26,900 10.5%
		07000 Capital Outlay	-	-	-	-	-	-	-
TOTAL APPROPRIATIONS			53,366	59,475	54,291	29,880	74,400 37.0%	78,240 44.1%	78,240 44.1%

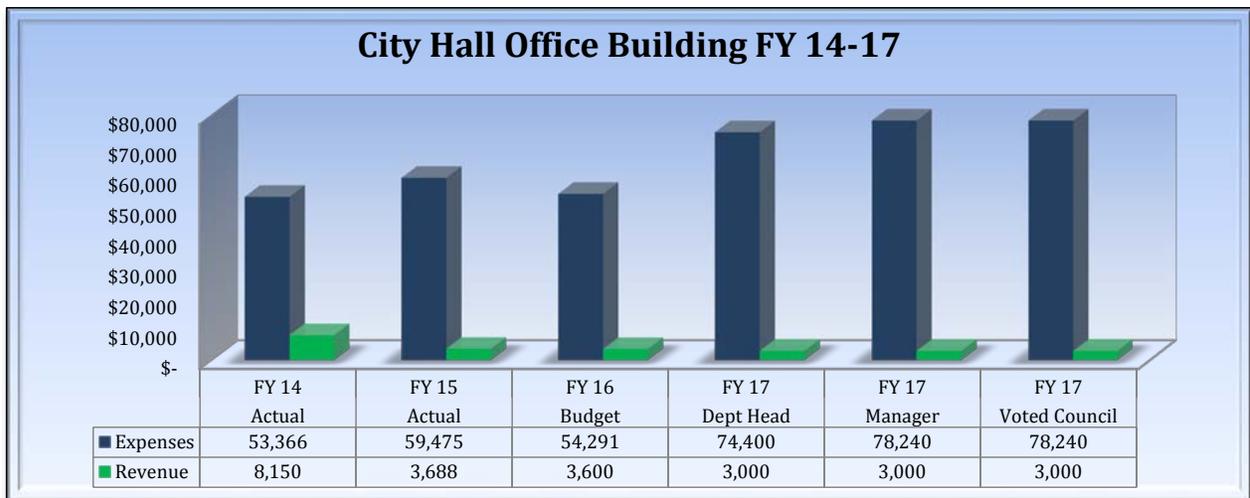
			6,109	(5,184)			20,109	23,949	23,949
			11.45%	-8.72%			37.04%	44.11%	44.11%

Department 0019 Old Line	New Line	CITY HALL	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		03000 Revenue	8,150	3,688	3,600	231	3,000 -16.7%	3,000 -16.7%	3,000 -16.7%
TOTAL REVENUE			8,150	3,688	3,600	231	3,000 -16.7%	3,000 -16.7%	3,000 -16.7%

			(4,462)	(88)			(600)	(600)	(600)
			-54.75%	-2.39%			-16.67%	-16.67%	-16.67%

NET BUDGET			45,216	55,787	50,691	29,649	71,400 40.9%	75,240 48.4%	75,240 48.4%
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			10,571	(5,096)			20,709	24,549	24,549
			23.38%	-9.13%			40.85%	48.43%	48.43%



City of Rockland, Maine

Fund 10, Department 019 - CITY HALL Office Building

James D. Chaousis, II., City Manager

It is the objective of the City Manager and the administrative staff to provide a clean, safe, and professional working environment; one that is welcoming to the public and is a place in which employees enjoy working. The City Hall building shall be maintained in a clean manner; maintenance shall be conducted periodically to ensure the public's investment in the building is maintained.

APPROPRIATIONS

Department 0019	New Line	CITY HALL	Actual FY 14	Actual FY 15	02/29/16		Department Head	City Manager	City Council APPROVED	
					Budget FY 16	YTD				Proposed FY 17
04000 Personnel Services										
10019										
04100 Employees Benefits										
10019										
05000 Contractual Services										
10019	05001	Telephone	3,676	3,680	3,688	2,248	3,800	3.0%	3,800	3.0%
10019	05002	Electricity	7,146	6,573	6,658	4,156	7,000	5.1%	7,000	5.1%
10019	05003	Water and Sewer	1,015	1,413	1,099	639	1,100	0.1%	1,100	0.1%
10019	05013	Equipment Repairs & Maintenance							3,600	3,600
10019	05027	Copy Machine Lease							5,840	5,840
10019	05035	Building Repairs & Maintenance	18,354	22,481	18,500	10,418	20,000	8.1%	16,000	-13.5%
10019	05302	Cleaning Service							10,400	10,400
10018	05006	05358 Postage Meter Lease							3,600	3,600
			30,191	34,146	29,945	17,460	45,900	53.3%	51,340	71.4%
06000 Supplies										
10019	06000	Copy Machine Supplies					1,500		1,500	1,500
10019	06003	Heating Fuel	6,278	10,067	7,346	2,201	7,000	-4.7%	5,400	-26.5%
10018	05006	06012 Postage	16,898	15,262	17,000	10,219	20,000	17.6%	20,000	17.6%
			23,175	25,329	24,346	12,419	28,500	17.1%	26,900	10.5%
07000 Capital Outlay										
10019										
TOTAL APPROPRIATIONS			53,366	59,475	54,291	29,880	74,400	37.0%	78,240	44.1%
				6,109	(5,184)		20,109		23,949	23,949
				11.45%	-9.71%		37.04%		44.11%	44.11%

REVENUE

Department 0019	New Line	CITY HALL	Actual FY 14	Actual FY 15	02/29/16		Department Head	City Manager	City Council APPROVED	
					Budget FY 16	YTD				Proposed FY 17
10019										
10009	03120	Miscellaneous	3,000	38	-	156				
10009	03125	City Hall Building Rental	50	50	-	75				
10096	03135	City Lease Revenue	5,100	3,600	3,600	-	3,000		3,000	3,000
			8,150	3,688	3,600	231	3,000	-16.67%	3,000	0.0%
TOTAL REVENUE			8,150	3,688	3,600	231	3,000	-16.67%	3,000	-16.7%
				(4,462)	(88)		(600)		(600)	(600)
				-54.75%	-2.39%		-16.67%		-16.67%	-16.67%
NET BUDGET			45,216	55,787	50,691	29,649	71,400	41%	75,240	48.4%
				10,571	(5,096)	Under Budget	20,709		24,549	24,549
				23.38%	-9.13%		40.85%		48.43%	48.43%

City of Rockland, Maine

Fund 10, Department 020 - Insurance,Benefits, and Reimbursements (New)

James D. Chaousis, II, City Manager

The Insurance, Former Employee's Benefits budget takes into account various insurance obligations of the City, as well as obligations to former employees of the municipality. Many of the "employees' benefits" are either for former employees or are group benefits that are only used when necessary. Accrued benefits are paid an employee upon their departure; unemployment benefits are only paid when someone becomes unemployed through no fault of their own (the City is a direct reimbursement employer). These items were once catagorized as "unclassified".

SUMMARY

Appropriations

Department 020 Old Line	New Line	INSURANCE and BENEFITS	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		04000 Personnel Services							
		04100 Employees' Benefits	78,783	108,622	83,932	126,566	82,000 -2.3%	72,000 -14.2%	72,000 -14.2%
		05000 Contractual Services	261,602	222,124	259,047	172,987	269,710 4.1%	211,735 -18.3%	211,735 -18.3%
		06000 Supplies							
		07000 Capital Outlay							
TOTAL APPROPRIATIONS			340,385	330,745	342,979	299,553	351,710 2.5%	283,735 -17.3%	283,735 -17.3%

			(9,639)		12,234		8,731	(59,244)	(59,244)
			-2.83%		3.70%		2.55%	-17.27%	-17.27%

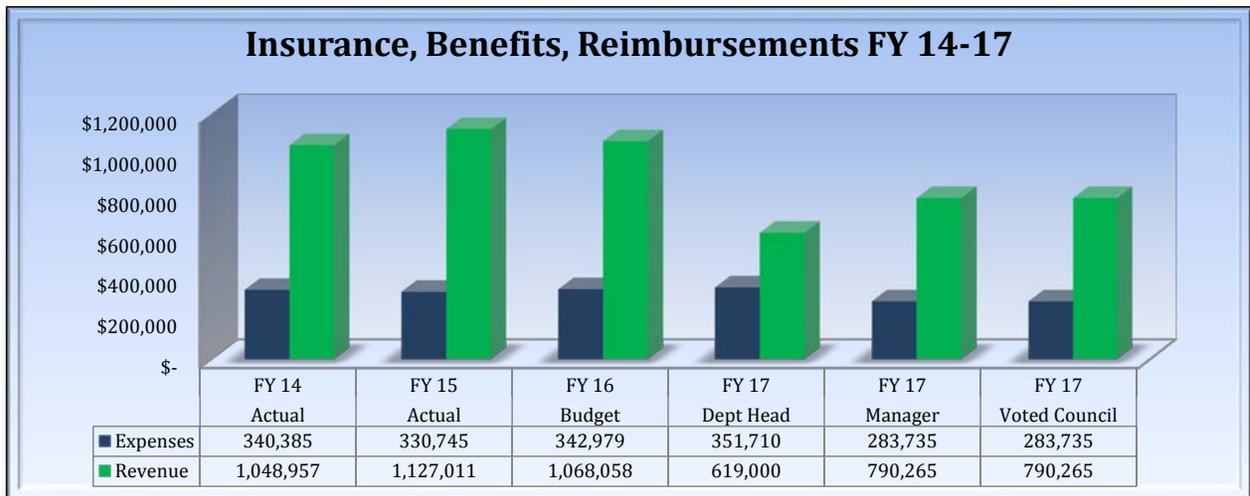
REVENUE

Department 020 Old Line	New Line	INSURANCE and BENEFITS	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		03000 Revenue	1,048,957	1,127,011	1,068,058	844,881	619,000 -42.0%	790,265 -26.0%	790,265 -26.0%
			1,048,957	1,127,011	1,068,058	844,881	619,000 -42.0%	790,265 -26.0%	790,265 -26.0%
TOTAL REVENUE			1,048,957	1,127,011	1,068,058	844,881	619,000 -42.0%	790,265 -26.0%	790,265 -26.0%

			78,054		(58,953)		(449,058)	(277,793)	(277,793)
			7.44%		-5.23%		-42.04%	-26.01%	-26.01%

NET BUDGET			(708,573)	(796,266)	(725,079)	(545,328)	(267,290) -63.1%	(506,530) -30.1%	(506,530) -30.1%
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			(87,693)		71,187	Over Budget	457,789	218,549	218,549
			12.38%		-8.94%		-63.14%	-30.14%	-30.14%
			(9,639)		12,234		8,731	(59,244)	(59,244)
					3.59%		2.55%	-17.27%	-17.27%



City of Rockland, Maine

Fund 10, Department 020 - Insurance, Benefits, and Reimbursements (New)

James D. Chaousis, II, City Manager

Formerly Unclassified, and various other budgets...

APPROPRIATIONS

Department 0020	New Line	INSURANCE and BENEFITS	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED				
			Old Line	FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17				
04100 Employees' Benefits													
10071	05095	04101	Accrued Leave/Benefits	28,807	56,065	35,000	100,063	35,000	0.00%	25,000	-28.6%	25,000	-28.6%
10071	05085	04120	Retiree Health Insurance	32,566	33,267	27,000	14,993	27,000	0.00%	27,000	-	27,000	-
10071	05081	04123	ICMA Vantage Care	13,189	15,932	15,932	10,703	16,000	0.43%	16,000	0.43%	16,000	0.43%
10071	05087	04141	Unemployment Reimbursement	4,220	3,357	6,000	806	4,000	-33.33%	4,000	-33.3%	4,000	-33.3%
				78,783	108,622	83,932	126,566	82,000	-2.30%	72,000	-14.2%	72,000	-14.2%
05000 Contractual Services													
10071	05020		Drug & Physical Screening	3,038	4,210	7,000	2,216	-	-	-	-	-	-
10072	05080		Restructure Plan	62,977	-	-	-	-	-	-	-	-	-
45000	05094	05258	Insurance-Deductible WM	-	5,779	2,500	-	4,000	60.0%	-	-	-	-
10072	05094	05258	Insurance-Deductible	2,669	2,990	8,000	1,831	5,000	-37.5%	-	-	-	-
45000	05093	05260	Property & Liability Insurance WM	3,664	8,424	7,475	-	-	-	-	-	-	-
10034	05093	05260	Property & Liability Ins-Pier	11,639	12,344	12,344	12,644	13,410	17.5%	14,500	17.5%	14,500	17.5%
10072	05093	05260	Property & Liability Insurance	177,615	188,377	194,758	156,296	210,000	7.8%	169,935	-12.7%	169,935	-12.7%
10020		05262	Benefits Administration (Gen Dynamics)	-	-	-	-	2,300	-	2,300	-	2,300	-
10020		05264	Cyber Liability Insurance	-	-	-	-	10,000	-	-	-	-	-
10020		05265	Health Reimbursement Account	-	-	-	-	25,000	-	25,000	-	25,000	-
10072	05081	05680	Contingency	-	-	26,970	-	-	-	-	-	-	-
				261,602	222,124	259,047	172,987	269,710	4.1%	211,735	-18.3%	211,735	-18.3%
TOTAL APPROPRIATIONS				340,385	330,745	342,979	299,553	351,710	2.5%	283,735	-17.3%	283,735	-17.3%
				(9,639)		12,234		8,731		(59,244)		(59,244)	
				-2.83%		3.59%		2.55%		-17.27%		-17.27%	

REVENUE

Department 0020	New Line	INSURANCE and BENEFITS	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED				
			Old Line	FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17				
10096	03120		Miscellaneous	3,000	38	-	6	-	-	-	-	-	-
10013	03126		Veterans' Reimbursement	11,394	11,394	10,000	11,887	10,000	0.0%	12,000	20.0%	12,000	
10013	03127		Tree Growth Reimbursement	11,390	11,390	11,000	12,209	11,000	0.0%	13,000	18.18%	13,000	
10013	03128		Homestead Exemption*	132,856	133,305	133,000	149,711	-	-	-	-	-	-
10013	03129		BETE Reimbursement	169,075	215,162	180,000	279,685	-	-	-	-	-	-
	03102		Payments in lieu of Taxes	59,656	82,875	60,000	41,280	60,000	0.0%	82,000	36.67%	82,000	36.67%
10096	03115		Cable Franchise Fee	68,840	68,790	70,000	5	68,000	-2.9%	70,000	2.9%	70,000	0.00%
01009	03120		Miscellaneous	-	-	-	4,149	-	-	-	-	-	-
10071	03211		Workers' Comp Dividend	10,082	-	-	-	-	-	-	-	-	-
10096	03470		State Revenue Sharing	443,164	464,558	464,558	345,950	470,000	1.2%	473,765	0.80%	473,765	0.80%
10008	03700		Use of Fund Balance	139,500	139,500	139,500	-	-	-	139,500	-	139,500	-
				1,048,957	1,127,011	1,068,058	844,881	619,000	-42.0%	790,265	27.7%	790,265	27.7%
TOTAL REVENUE				1,048,957	1,127,011	1,068,058	844,881	619,000	-42.0%	790,265	-26.0%	790,265	-26.0%
				78,054		(58,953)		(449,058)		(277,793)		(277,793)	
				7.44%		-5.23%		-42.04%		-26.01%		-26.01%	
NET BUDGET				(708,573)	(796,266)	(725,079)	(545,328)	(267,290)	-63.14%	(506,530)	-30.1%	(506,530)	-30.1%
				(87,693)		71,187	Over Budget	457,789		218,549		218,549	
				12.38%		-8.94%		-63.14%		-30.14%		-30.14%	

*Homestead Exemption

2015 State reimburses 50% of \$10,000 Exemption

2016 State reimburses 50% of \$15,000 Exemption

2017 State reimburses 50% of the first \$10,000; 75% of the second \$10,000; total Exemption \$20,000

*Homestead Exemption and BETE Reimbursements are calculated as part of the commitment process and are not budgeted or estimated revenue.

Economic Development

028 Community Development

Fund 10, Department 028 - Economic and Community Development

Audra Caler-Bell, Community Development Director

(formerly Department 37)

Department Overview

By Code the Economic & Community Development Department is charged with "fostering economic development" and "facilitating the construction or rehabilitation of public and private buildings, facilities, and infrastructure". The Community Development Director has the responsibility of "preserving and fostering the economic vitality" of the City.

The City's Code also establishes the Economic Development Advisory Committee, comprised of seven (7) members. The Committee is charged with advising the Director and the Council on "all matters pertaining to economic development in the City".

Mission

The mission of Economic and Community Development is to foster a business friendly environment; and environment that promotes retention and expansion of local businesses; welcomes new business; improves the overall business climate; and fosters beneficial relationships between the city and all local business.

Staffing

The Economic and Community Development office is staffed by the Community Development Director. A proposed new position is that of the Volunteer Coordinator. This position will help coordinate volunteers willing to assist the city in a variety of endeavors.

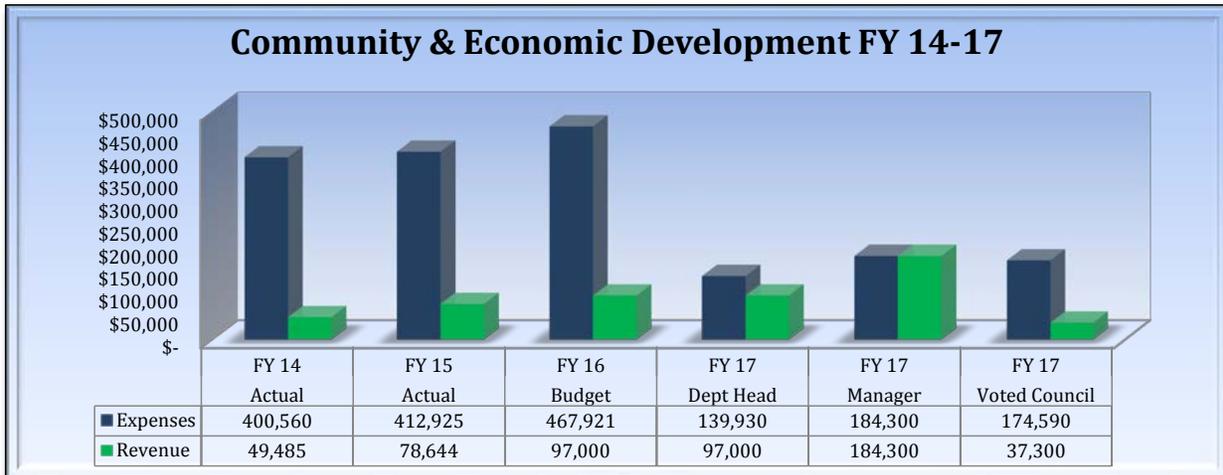
SUMMARY

Appropriations

Department 0028	Old Line	New Line	ECONOMIC and COMMUNITY DEVELOPMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED			
				FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17				
				67,197	62,918	62,000	40,501	63,300	2.1%	112,500	81.5%	62,060	0.1%
				27,922	25,709	29,834	24,080	25,230	-15.4%	50,900	70.6%	26,630	-10.7%
				12,672	19,777	29,000	14,351	40,400	39.3%	20,400	-29.7%	85,400	194.5%
				46,090	34,417	11,000	288	11,000	0.0%	500	-95.5%	500	-95.5%
				-	-	-	-	-	-	-	-	-	-
				246,680	270,103	336,087	303,227	-	-	-	-	-	-
TOTAL APPROPRIATIONS				400,560	412,925	467,921	382,447	139,930	-70.1%	184,300	-60.6%	174,590	-62.7%

REVENUE													
Department 0028	Old Line	New Line	ECONOMIC and COMMUNITY DEVELOPMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED			
				FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17				
				49,485	78,644	97,000	96,540	97,000	0.0%	184,300	90.0%	134,300	38.5%
TOTAL REVENUE				49,485	78,644	97,000	96,540	97,000	0.0%	184,300	90.0%	134,300	38.5%

				29,159	18,356	-	87,300	37,300				
				58.93%	23.34%	0.00%	90.00%	38.45%				
NET BUDGET				351,076	334,281	370,921	285,907	42,930	-88.4%	-	40,290	-89.1%
				(16,795)	36,640	(327,991)	(330,631)					
				-4.78%	10.96%	-88.43%	-89.14%					



City of Rockland, Maine

Fund 10, Department 028 - Economic and Community Development

Audra Caler-Bell, Community Development Director

(formerly Department 37)

APPROPRIATIONS

Department 0028		ECONOMIC and COMMUNITY DEVELOPMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED			
Old Line	New Line		FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17			
04000 Personnel Services												
10028	04001	04000 Community Dev. Director	67,197	62,203	62,000	37,768	63,300	2.1%	62,060	0.1%	62,060	0.1%
		04001 Planner (New)							\$50,440		-	
10028	04004	Holiday Payroll	-	715	-	2,733	-					
			67,197	62,918	62,000	40,501	63,300	2.1%	112,500	81.5%	62,060	0.1%
04100 Employees Benefits												
10028	04105	04105 Cell Phone	40	200	-	320	410		890		410	
10028		04109 Dental Insurance	-	-	-	-	1,100		2,200		2,200	
10028	05084	04115 FICA	3,917	3,533	3,874	2,435	3,340	-13.8%	5,930	53.1%	3,280	-15.3%
10028	05085	04119 Health Insurance	19,474	16,874	21,679	16,572	13,990	-35.5%	30,440	40.4%	13,990	-35.5%
10028	05082	04123 ICMA Retirement	3,348	1,848	3,100	-	-		-		-	
10028	05083	04129 Maine Public Employees Retirement	-	2,183	-	4,040	5,120		9,090		5,020	
10028	05089	04131 Medicare	924	826	906	569	790	-12.8%	1,390	53.4%	770	-15.0%
10028	05092	04145 Workers Compensation	219	245	275	144	330	20.0%	660	140.0%	660	140.0%
10028		04146 Vision Insurance	-	-	-	-	150		300		300	
			27,922	25,709	29,834	24,080	25,230	-15.4%	50,900	70.6%	26,630	-10.7%
05000 Contractual Services												
10028	05001	Telephone	735	169	-	-	-		-		-	
10028	05005	Advertising	7,785	6,741	10,000	140	6,000	-40.0%	6,000	-40.0%	6,000	-40.0%
10028	05006	Travel Reimbursement	3,617	1,414	1,500	877	1,500	0.0%	1,500	0.0%	1,500	0.0%
10028	05007	Dues & Memberships	450	330	500	5,455	11,700	2240.0%	11,700	2240.0%	11,700	2240.0%
10028	05008	Training & Education	85	360	1,000	3,000	1,000	0.0%	1,000	0.0%	1,000	0.0%
10028	05010	Professional Services (planner)	-	10,764	16,000	4,879	20,000	25.0%	-		65,000	306.3%
10014	05662	Electronic Subscriptions (Adobe)	-	-	-	-	200		200		200	
			12,672	19,777	29,000	14,351	40,400	39.3%	20,400	-29.7%	85,400	194.5%
06000 Supplies												
10028	06001	Office Supplies	481	43	500	288	500	0.0%	500	0.0%	500	0.0%
10028	06008	Harbor Trail	-	24,000	-	-	-		-		-	
10028	06017	Economic Development	45,609	10,374	10,500	-	10,500	0.0%	-		-	
			46,090	34,417	11,000	288	11,000	0.0%	500	-95.5%	500	-95.5%
07000 Capital Outlay												
10028			-	-	-	-	-		-		-	
08500 Tax Increment Financing												
10095	08572	TIF-Tillson Redevelopment	193,652	215,336	281,320	281,320						
10095	08575	Fisher TIF Payment	31,817	32,860	32,860	-	-		-		-	
10095	08576	Fisher TIF-City Share	21,211	21,907	21,907	21,907	-		-		-	
			246,680	270,103	336,087	303,227						
TOTAL APPROPRIATIONS			400,560	412,925	467,921	382,447	139,930	-70.1%	184,300	-60.6%	174,590	-62.7%

REVENUE 12,365 3.09% 54,996 13.32% (327,991) -70.10% (283,621) -60.61% (293,331) -62.69%

Department 0028		ECONOMIC and COMMUNITY DEVELOPMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED			
Old Line	New Line		FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17			
03000 REVENUE												
10028	03240	CDBG Grant Administration	-	-	5,000	4,540	5,000	0.0%	5,000	0.0%	5,000	0.0%
10028	03245	Brownfields Administration	14,485	16,083	-	-	-		-		-	
10028	03255	Housing Program Admin.	5,000	-	-	-	-		-		-	
10028	03265	TIF Revenue	30,000	24,000	92,000	92,000	92,000	0.0%	179,300	94.9%	129,300	40.5%
	03595	Van Balen TIF Revenue	-	38,561	-	-	-		-		-	
			49,485	78,644	97,000	96,540	97,000	0.0%	184,300	90.0%	134,300	38.5%
TOTAL REVENUE			49,485	78,644	97,000	96,540	97,000	0.0%	184,300	90.0%	134,300	38.5%

			29,159	18,356			-	87,300	37,300		
			58.93%	23.34%			0.00%	90.00%	38.45%		
NET BUDGET			351,076	334,281	370,921	285,907	42,930	-88.4%	-	40,290	-89.1%
			(16,795)	36,640	Under Budget		(327,991)		(330,631)		
			-4.78%	10.96%			-88.43%		-89.14%		

Public Safety

031 Police Department

032 Fire Department

033 Fire Department - Call Division

034 Municipal Fish Pier

035 Publicly Funded Utilities

036 Code Enforcement

City of Rockland, Maine

Fund 10, Department 031 - Police Department

Bruce Boucher, Police Chief

Department Overview

The Rockland Police Department strives to provide efficient, effective, and professional law enforcement services to the community. Article XIII of the City Code establishes the City's police department, the head of which is the Police Chief - appointed by the City Manager. The Police Chief is the commanding officer of the police force and is responsible for the "enforcement of law and order".

The Police Chief directs the police work of the City; maintains and cares for all property used by the Police Department; assures that all officers are properly trained and keeps abreast of "current law enforcement techniques as well as the law and legal procedures". The members of the Rockland Police Department are committed to providing the highest level of police service. Members strive to improve the quality of life in the community by building partnerships that reduce crime, maintain order, enhance police/citizen relationships and create a safe environment while upholding the U S Constitution, Maine State laws, and City ordinances.

Mission

The mission of the Rockland Police Department is to improve the quality of life in the City by providing the highest quality of police service. This goal is accomplished through a collaborative effort between the Department and the community we are sworn to protect and serve. By working together we can maintain the peace, provide safety and security for our citizens, reduce crime and solve problems.

The successfulness of our mission requires the commitment of the Administration, every employee of the Department, other municipal Departments, as well as citizens of our City to work cooperatively.

To accomplish this mission the officers of the Rockland Police Department are committed to the prevention of crime, the protection of life and property, and will diligently seek out violators of the laws, and will enforce the laws of the United States, the State of Maine, and the ordinances of the City of Rockland while maintaining the highest standards of respect, integrity, and excellence.

SUMMARY

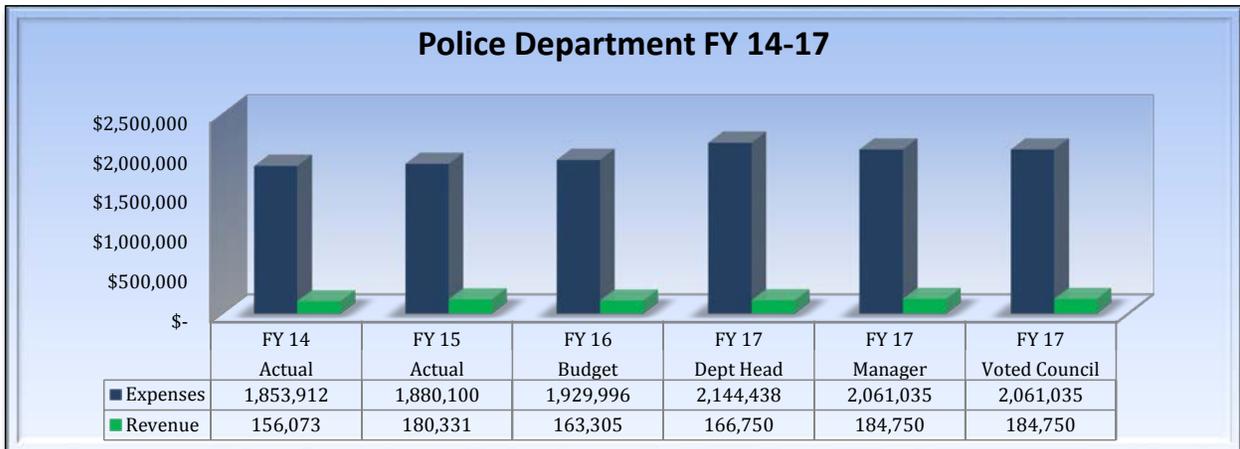
APPROPRIATIONS

Department 0031	POLICE DEPARTMENT	Actual		Budget		Department Head		City Manager		City Council APPROVED	
		FY 14	FY 15	FY 16	02/29/16 YTD	Proposed FY 17	Proposed FY 17	Proposed FY 17	Proposed FY 17	FY 17	FY 17
04000 Personnel Services		1,189,861	1,214,836	1,223,953	804,141	1,365,645	11.6%	1,320,392	7.9%	1,320,392	7.9%
04100 Employees' Benefits		485,641	488,878	527,928	358,200	574,100	8.7%	560,180	6.1%	560,180	6.1%
05000 Contractual Services		108,981	113,425	118,404	81,256	113,743	-3.9%	113,443	-4.2%	113,443	-4.2%
06000 Supplies		63,812	48,732	48,918	20,786	50,950	4.2%	58,020	18.6%	58,020	18.6%
07000 Capital Outlay		5,617	14,229	10,793	29,774	40,000	270.6%	9,000	-16.6%	9,000	-16.6%
TOTAL APPROPRIATIONS		1,853,912	1,880,100	1,929,996	1,294,156	2,144,438	11.1%	2,061,035	6.8%	2,061,035	6.8%

REVENUE

Department 0031	POLICE DEPARTMENT	Actual		Budget		Department Head		City Manager		City Council APPROVED	
		FY 14	FY 15	FY 16	02/29/16 YTD	Proposed FY 17	Proposed FY 17	Proposed FY 17	Proposed FY 17	FY 17	FY 17
03000 Revenue		156,073	180,331	163,305	130,163	166,750	2.1%	184,750	13.1%	184,750	13.1%
TOTAL REVENUE		156,073	180,331	163,305	130,163	166,750	2.1%	184,750	13.1%	184,750	13.1%

		26,188	49,896	214,442	131,039	131,039					
		1.41%	2.65%	11.11%	6.79%	6.79%					
NET BUDGET		1,697,838	1,699,769	1,766,691	1,163,993	1,977,688	11.9%	1,876,285	6.2%	1,876,285	6.2%
		1,931	66,922	210,997	109,594	109,594					
		0.11%	3.94%	11.94%	6.20%	6.20%					



City of Rockland, Maine

Fund 10, Department 031 - Police Department

Bruce Boucher, Police Chief

Staffing

The Rockland Police Department is currently comprised of seventeen sworn law enforcement officers along with three civilian positions. To provide the highest level of service possible to our citizens, the department is broken down into three divisions including Administration, Patrol Services, and Criminal Investigations.

The Administration Division consists of the Chief of Police, a Deputy Chief of Police and an Administrative Assistant/Records Clerk.

The Patrol Services Division is managed by four sergeants and 8 patrol officers, the division is broken down into three shifts; day shift, evening shift and night shift. One parking enforcement officer and one-part time Animal Control Officer (ACO). Police officers respond to all calls for service. They investigate motor vehicle accidents, investigate violations of criminal law and enforce state laws and City Ordinances.

The Criminal Investigations Division is managed by a Det. Sergeant and two detectives. Two are assigned to investigations and work Crimes Against Persons and Property Crimes; one is primarily assigned to Maine Drug Enforcement in related investigations. Investigators charge those individuals who commit serious crimes such as felonies and some misdemeanor crimes.

Investigators within this section also conduct background investigations for employment within the department.

APPROPRIATIONS

Department 0031	New Line	Police Department	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
04000 Personnel Services									
10031	04000	Department Head					73,450	73,450	\$73,450
10031	04013	Assistant to Department Head					61,130	61,130	\$61,130
10031	04001	Full-time Employees	946,073	958,834	958,326	591,070	825,420	781,690	\$781,690
10031	04015	04002 Supervisors Pay	6,240	6,060	7,280	4,080	6,240	6,240	\$6,240
10031	04003	Overtime Wages	55,958	55,216	69,000	73,076	69,000	70,000	\$70,000
10031	04004	Holiday Wages	38,037	44,576	43,288	47,164	42,365	39,842	\$39,842
10031	04005	Vacation Wages	28,133	31,339	39,108	20,103	39,110	39,110	\$39,110
10031	04006	Sick Leave Wages	18,778	30,388	25,368	15,728	25,370	25,370	\$25,370
10031	04007	Overtime-Training	29,980	6,293	23,175	6,254	23,180	23,180	\$23,180
10031	04009	Court Time Wages	6,997	9,904	9,000	2,476	9,000	9,000	\$9,000
10031	04010	Special Assignments	28,127	45,771	20,000	29,276	20,000	20,000	\$20,000
10031	04011	Overtime-Boat	-	(188)	-	-	-	-	-
10031	04012	OUI Grant	759	2,241	-	1,357	-	-	-
10031	04013	Receptionist					35,710	35,710	\$35,710
10031	04015	Civilian Officer-Parking/Ticket					43,250	43,250	\$43,250
10031	04026	Contract Employee-MDEA					71,320	71,320	\$71,320
10031	04026	MDEA Stipend	11,674	9,596	7,408	7,170			
10031	04050	Shift Differential	10,007	9,381	12,000	6,387	12,000	12,000	\$12,000
10031	04002	04053 Animal Control Officer	9,100	5,425	10,000	-	9,100	9,100	\$9,100
			1,189,861	1,214,836	1,223,953	804,141	1,365,645	1,320,392	1,320,392
04100 Employees Benefits									
10031	04105	04105 Cell Phone Stipend	4,320	4,080	-	3,000	3,840	3,840	3,840
10031	04109	Dental Insurance					1,300	1,300	1,300
10031	04110	04110 Uniform Allowance	2,025	2,025	2,025	2,025	1,350	1,350	1,350
10031	04025	04113 Education Stipend	14,530	15,400	16,900	9,685	12,740	12,740	12,740
10031	05084	04115 FICA	9,064	9,259	9,998	6,024	10,310	10,310	10,310
10031	05085	04117 Flexible Benefits					1,300	1,300	1,300
10031	05085	04119 Health Insurance	283,687	275,535	304,033	214,213	313,510	305,430	305,430
10031	04020	04121 Health Insurance Stipend	-	-	-	750	-	-	-
10031	05082	04123 ICMA Retirement	3,531	3,634	3,647	2,430	3,790	3,790	3,790
10031	04030	04127 Longevity	10,530	9,670	10,060	6,390	9,620	9,620	9,620
10031	05083	04129 Maine Public Employees Retirement	116,942	125,767	132,661	86,235	133,470	128,300	128,300
10031	05089	04131 Medicare	17,366	17,506	18,283	11,468	19,100	18,430	18,430
10031	04017	04136 Sick Leave Stipend	410	-	1,000	-	-	-	-
10031	05092	04145 Workers' Compensation	23,236	26,003	29,321	15,979	37,660	37,660	37,660
10031	04146	Vision Insurance					180	180	180
10031	04157	Contracted Employee's Benefits					25,930	25,930	25,930
			485,641	488,878	527,928	358,200	574,100	560,180	560,180
05000 Contractual Services									
10031	05001	Telephone	6,214	7,945	6,548	3,904	6,548	6,548	6,548
10031	05002	Electricity	8,437	8,643	9,673	5,352	9,700	9,700	9,700
10031	05003	Water and Sewer	72	-	-	-	-	-	-
10031	05004	Printing/Advertising	3,493	6,490	3,720	3,261	2,050	2,050	2,050
10031	05006	Travel Reimbursement	1,265	1,719	3,000	1,256	3,000	3,000	3,000
10031	05007	Dues and Membership	550	610	650	660	650	650	650
10031	05009	Training and Education	5,876	9,707	10,500	7,678	8,500	8,500	8,500
10031	05010	Professional Services	626	610	1,000	614	390	390	390
10031	05015	XXXX Concealed Weapons (State Share)	480	680	300	265	300	-	-
10031	05020	Drug & Physical Screening	-	-	-	-	200	200	200
10031	05022	Employee Testing	1,000	1,150	1,700	-	1,500	1,500	1,500

APPROPRIATIONS-continued

Department 0031	New Line	Police Department	Actual	Actual	Budget	01/00/00 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	

City of Rockland, Maine

10031 07020 05025 Condo Lease Fee-One Park Drive	15,998	15,998	15,998	15,998	16,000	0.0%	16,000	0.0%	16,000	0.0%
10031 05027 Copy Machine Lease	-	-	-	-	1,580		1,580		1,580	
10031 05030 Boat Repairs & Maintenance					2,500		2,500		2,500	
10031 05032 05032 Vehicle Repairs & Maintenance	20,791	22,884	22,000	14,803	16,000	-27.3%	16,000	-27.3%	16,000	-27.3%
10031 05033 05033 Radio Maintenance	1,797	2,009	2,750	541	2,750	0.0%	2,750	0.0%	2,750	0.0%
10031 05034 05034 Office Equipment Maintenance	7,436	8,796	11,000	7,389	5,900	-46.4%	5,900	-46.4%	5,900	-46.4%
10031 05035 05035 Building Repairs & Maintenance	9,098	9,271	11,375	5,689	8,880	-21.9%	8,880	-21.9%	8,880	-21.9%
10031 05063 Laundry Service					2,500		2,500		2,500	
10031 05017 05344 Animal Control (Vet fees, etc.)	10,712	10,973	12,250	7,905	2,040	-83.3%	2,040	-83.3%	2,040	
10031 05374 Shelter Fees (Animal Control)					10,215		10,215		10,215	
10031 07021 05375 Condo Reserve (Maint. Fees)	15,137	5,940	5,940	5,940	5,940	0.0%	5,940	0.0%	5,940	0.0%
10031 05378 Misc. Contracts					5,990		5,990		5,990	
10031 05402 Radar Repairs & Maintenance					610		610		610	
06000 Supplies	108,981	113,425	118,404	81,256	113,743	-3.9%	113,443	-4.2%	113,443	-4.2%
10031 06001 Office Supplies	3,755	3,691	4,000	3,256	2,500	-37.5%	2,500	-37.5%	2,500	-37.5%
10031 06002 Computer Supplies					1,500		1,500		1,500	
10031 06003 Heating Fuel	-	587	-	-	-		11,070		11,070	
10031 06006 Miscellaneous Supplies (flags)					1,000		1,000		1,000	
10031 06004 06101 Uniforms	14,067	11,619	15,050	5,906	12,500	-16.9%	12,500	-16.9%	12,500	-16.9%
10031 06010 Tires					1,650		1,650		1,650	
10031 06024 Statutes & Reference Books					600		600		600	
10031 06034 Ammunition Supplies					2,000		2,000		2,000	
10031 06039 Building Maintenance Supplies					500		500		500	
10031 06002 06090 Gasoline	45,990	32,836	29,868	11,624	27,000	-9.6%	23,000	-23.0%	23,000	-23.0%
10031 06095 Oil, Grease, Filters					1,700		1,700		1,700	
07000 Capital Outlay	63,812	48,732	48,918	20,786	50,950	4.2%	58,020	18.6%	58,020	18.6%
10031 07004 07250 Vehicle & Vehicle Equipment	-	-	-	25,496	31,000		-		-	
10031 07001 07300 Equipment Outlay	5,617	14,229	10,793	4,278		-100.0%	-	-100.0%	-	
10031 07335 Office Furniture					9,000		9,000		9,000	
10031 Police Equipment										
	5,617	14,229	10,793	29,774	40,000	270.6%	9,000	-16.6%	9,000	-16.6%
TOTAL APPROPRIATIONS	1,853,912	1,880,100	1,929,996	1,294,156	2,144,438	11.1%	2,061,035	6.8%	2,061,035	6.8%

		26,188	49,896	214,442	131,039	131,039
		1.41%	2.65%	11.11%	6.79%	6.79%

Department		Actual		Budget	02/29/16 YTD	Department Head		City Manager		City Council APPROVED		
0031	Police Department	FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	Proposed FY 17	Proposed FY 17	FY 17		
Old Line	New Line											
03000 REVENUE												
10031	03230	Parking Tickets	12,700	15,585	20,000	17,725	17,000	-15.0%	20,000	0.0%	20,000	0.0%
10031	03243	Court Officer & Witness	1,357	2,099	1,800	1,174	1,800	0.0%	1,800	0.0%	1,800	0.0%
10031	03244	Grant Reimbursement/OT	3,120	547	-	-	-		-		-	
10031	03245	Miscellaneous	1,896	3,900	3,500	1,183	3,500	0.0%	3,500	0.0%	3,500	0.0%
10031	03246	MDEA Reimbursement	92,684	94,337	94,905	69,375	97,250	2.5%	97,250	2.5%	97,250	2.5%
10031	03247	Police Security	40,746	61,255	40,000	39,211	45,000	12.5%	60,000	50.0%	60,000	50.0%
10031	03250	Concealed Weapon (State)	1,075	710	650	200						
10031	03255	Concealed Weapon (City)	407	278	250	75						
10031	03290	Dog/Animal Control	2,088	1,620	2,200	1,219	2,200	0.0%	2,200	0.0%	2,200	0.0%
			156,073	180,331	163,305	130,163	166,750	2.1%	184,750	13.1%	184,750	13.1%
		TOTAL REVENUE	156,073	180,331	163,305	130,163	166,750	2.1%	184,750	13.1%	184,750	13.1%
			24,258	(17,026)	3,445	21,445	21,445		21,445		21,445	
			15.54%	-9.44%	2.11%	13.13%	13.13%		13.13%		13.13%	
		NET BUDGET	1,697,838	1,699,769	1,766,691	1,163,993	1,977,688	11.9%	1,876,285	6.2%	1,876,285	6.2%
			1,931	66,922	Under Budget	210,997	109,594	109,594	109,594		109,594	
			0.11%	3.94%		11.94%	6.20%	6.20%	6.20%		6.20%	

Fund 10, Department 032 - Fire Department and Emergency Medical Response

Christopher Whytock, Fire Chief

Department Overview

By Code, the City of Rockland Fire & Emergency Response Medical Services Departments are under the direction and supervision of the Fire Chief, who is appointed by the City Manager. The Fire Chief is charged with the "prevention and extinguishment of fires, the protection of life and property against fire, the removal of fire hazards", and the "provision of emergency medical and transportation services" for the City.

It is the vision of the Rockland Fire & EMS Department to be the most respected department in the region, providing excellent service by continually improving personnel through high quality training; strengthening bonds with the community through participation and transparency; growing to meet new challenges to the community through constant hazard evaluation and introspection; all while dedicating ourselves to providing a safe and enjoyable workplace.

Mission

The City of Rockland Fire & EMS Department is committed to improving the quality of life within the City of Rockland and protecting the people, property and environment within our community.

We will be responsive to the needs of our citizens by providing rapid, professional, humanitarian services essential to the health, safety and well-being of the community.

We will accomplish our mission through prevention, public education, fire suppression, emergency medical care, hazard mitigation and other related emergency and non-emergency activities.

We shall actively participate in our community, serve as role models, and strive to effectively and efficiently utilize all of the necessary resources at our command to provide a product deemed excellent by our citizens.

We, the men and women of the Rockland Fire & EMS Department who hold duty above self, are dedicated and remain ready to serve the community in any situation.

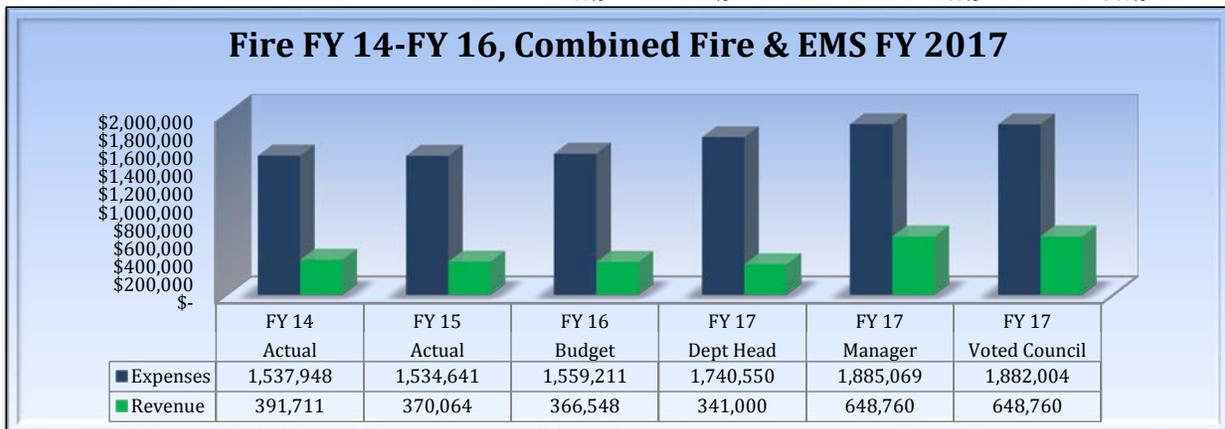
SUMMARY

APPROPRIATIONS

Department 0032	New Line	FIRE DEPARTMENT and EMS	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED			
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17				
04000 Personnel Services			985,403	979,638	955,881	641,563	1,078,500	12.8%	1,128,960	18.1%	1,126,460	17.8%
04100 Employees' Benefits			421,554	430,633	455,506	350,443	443,410	-2.7%	540,325	18.6%	539,760	18.5%
05000 Contractual Services			59,741	59,649	78,951	41,872	72,275	-8.5%	114,325	44.8%	114,325	44.8%
06000 Supplies			56,492	49,372	53,060	11,186	51,850	-2.3%	89,460	68.6%	89,460	68.6%
07000 Capital Outlay			14,758	15,349	15,813	15,000	94,515	497.7%	12,000	-24.1%	12,000	-24.1%
TOTAL APPROPRIATIONS			1,537,948	1,534,641	1,559,211	1,060,063	1,740,550	11.6%	1,885,069	20.9%	1,882,004	20.7%
				(3,307)	24,570		181,339		325,858		322,793	
				-0.22%	1.60%		11.63%		20.90%		20.70%	

REVENUE

Department 0032	New Line	FIRE DEPARTMENT and EMS	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED			
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17				
03000 Revenue			391,711	370,064	366,548	175,800	341,000	-7.0%	648,760	77.0%	648,760	77.0%
TOTAL REVENUE			391,711	370,064	366,548	175,800	341,000	-7.0%	648,760	77.0%	648,760	77.0%
				(21,647)	(3,516)		(25,548)		282,212		282,212	
				-5.53%	-0.95%		-6.97%		76.99%		76.99%	
NET BUDGET			1,146,237	1,164,577	1,192,663	884,264	1,399,550	17.3%	1,236,309	3.7%	1,233,244	3.4%
				18,339	28,086		206,887		43,646		40,581	
				1.60%	2.41%		17.35%		3.66%		3.40%	



City of Rockland, Maine

Fund 10, Department 032 - Fire Department/EMS

Adam Miceli, Fire Chief

Staffing

Staffing for the Fire Department/EMS includes a full-time Fire Chief, three Assistant Chiefs, three Lieutenants, nine Firefighter/EMTs, and two full-time EMT-Ps. Staffing also includes a call division of approximately 11 members.

APPROPRIATIONS

Department 0032	New Line	FIRE DEPARTMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED	
			Old Line	FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
04000 Personnel Services										
10032		04000 Fire Chief					74,500	74,500	\$72,000	
10032	04001	Full-time Payroll	766,251	736,191	754,834	485,745	767,840 1.7%	841,990 11.55%	\$841,990 11.5%	
10032	04002	Part-time Payroll-Call Division	39,428	36,900	35,000	23,708	35,700 2.0%	35,700 2.00%	\$35,700 2.0%	
10032	04016	FLSA 7(k) Work Cycle	15,833	15,673	17,500	10,964	21,930 25.3%	23,970 36.97%	\$23,970 37.0%	
10032	04003	Overtime Wages	107,543	132,475	90,000	65,133	110,000 22.2%	80,000 -11.1%	\$80,000 -11.1%	
10032	04004	Holiday Wages	56,348	58,398	58,547	54,654	60,730 3.7%	65,000 11.02%	\$65,000 11.0%	
10032	04017	Flexible Benefits					7,800	7,800	7,800	
10032	04025	Bonus Payroll	-	-	-	1,360	-	-	-	
			985,403	979,638	955,881	641,563	1,078,500 12.8%	1,128,960 18.1%	1,126,460 17.8%	
04100 Employees Benefits										
10032	04105	04105 Cell Phone Stipend	40	480	-	320	960	960	960	
10032	04109	Dental Insurance					14,390	16,080	16,080	
10032		04113 Education Stipend					2,080	\$4,060	\$4,060	
10032	04115	FICA					6,840	6,840	6,680	
10032	04123	ICMA					3,725	3,725	3,600	
10032	05085	04119 Health Insurance	249,098	246,098	256,545	217,873	195,665 -23.73%	214,970 -16.2%	214,970 -16.2%	
10032	04020	04121 Health Insurance Stipend			-	5,904	4,010	4,010	4,010	
10032	04030	04127 Longevity	8,350	9,150	10,245	6,810	10,920 6.59%	\$11,180 9.13%	\$11,180 9.1%	
10032	05083	04129 Maine Public Employees Retirement	102,614	105,322	109,367	72,915	94,160 -13.90%	110,580 1.11%	110,340 0.9%	
10032	05089	04131 Medicare	14,575	14,159	14,631	9,514	14,890 1.77%	16,240 11.00%	16,200 10.7%	
50000	04002	04133 EMS Stipend (Fire & EMS)						\$46,960	\$46,960	
10032	04017	04136 Sick Leave Stipend	5,510	9,129	12,517	5,908	15,190 21.35%	\$16,250 29.82%	\$16,250 29.8%	
10032	05092	04145 Workers' Compensation	41,367	46,294	52,201	31,199	78,600 50.57%	86,360 65.44%	86,360 65.4%	
10032		04146 Vision Insurance	-	-	-	-	1,980	2,110	2,110	
			421,554	430,633	455,506	350,443	443,410 -2.66%	540,325 18.62%	539,760 18.5%	
05000 Contractual Services										
10032	05001	Telephone	2,179	1,980	1,981	1,154	2,000 0.96%	2,000 1.0%	2,000 0.96%	
10032	05002	Electricity	6,954	6,992	6,744	4,260	7,000 3.80%	7,000 3.8%	7,000 3.80%	
10032	05003	Water and Sewer	1,493	1,642	1,581	957	1,600 1.20%	1,600 1.2%	1,600 1.20%	
10032	05007	Dues & Memberships	781	521	855	682	1,285 50.29%	3,585 319.3%	3,585 319.30%	
10032	05008	Training & Education	2,080	2,585	5,550	1,400	4,550 -18.02%	9,550 72.1%	9,550 72.07%	
		05020 Drug & Physical Screening	-	-	-	-	1,000	1,500	1,500	
10032	05032	Vehicle Repairs & Maintenance	18,358	21,244	25,000	20,736	26,500 6.00%	32,200 28.8%	32,200 28.80%	
10032	05033	Radios, Lights, Sirens	1,161	1,491	3,300	295	3,550 7.58%	7,100 115.2%	7,100 115.15%	
10032	05035	Building Repairs & Maintenance	15,975	13,338	22,700	5,845	13,550 -40.31%	13,550 -40.3%	13,550 -40.31%	
10032	05063	Laundry Services	1,342	1,042	1,500	608	1,500 0.00%	1,500 0.0%	1,500 0.00%	
50000	05062	Billing & Collections						25,000	25,000	
10032	05030	05406 Fire Extinguisher Maintenance	9,418	8,813	9,740	5,933	9,740 0.00%	9,740 0.0%	9,740 0.00%	
			59,741	59,649	78,951	41,872	72,275 -8.46%	114,325 44.8%	114,325 44.8%	
06000 Supplies										
10032	06001	Office Supplies	926	1,020	2,000	603	1,500 -25.00%	1,500 -25.0%	1,500 -25.00%	
10032	06003	Heating Fuel	23,017	20,618	9,839	1,575	9,500 -3.45%	8,120 -17.5%	8,120 -17.47%	
10032	07001	06011 Small Tools & Misc. Equipment	5,451	3,954	8,894	-	8,645 -2.80%	15,135 70.2%	15,135 70.17%	
50000	06006	06012 Medical Supplies						17,000	17,000	
	06002	06090 Gasoline	626	420	750	119	750 0.00%	2,250	2,250 200.00%	
10032	06004	06101 Uniforms	7,324	6,280	7,350	2,655	7,500 2.04%	15,000 104.1%	15,000 104.08%	
10032	06006	06026 Safety & Codes Publications	930	2,231	4,450	-	2,250 -49.44%	2,250 -49.44%	2,250 -49.44%	
10032	06007	Fire Prevention Supplies	1,044	1,217	1,250	1,449	1,350 8.00%	1,350 8.0%	1,350 8.00%	
10032	06010	06105 Protective Clothing, Safety Gear	11,723	9,667	13,350	3,238	15,175 13.67%	15,175 13.7%	15,175 13.67%	
50000	06040	06080 Diesel Fuel								
10032	06040	06080 Diesel Fuel	5,451	3,964	5,177	1,546	5,180 0.06%	11,680 125.6%	11,680 125.61%	
			56,492	49,372	53,060	11,186	51,850 -2.28%	89,460 68.6%	89,460 68.6%	

City of Rockland, Maine

APPROPRIATIONS

Department 0032	Old Line	New Line	FIRE DEPARTMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
				FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
07000 Capital Outlay										
50000		07001	EMS Dept. Equipment (See EMS CIP-1)					-	12,000	12,000
10032	07003	07003	Fire Dept Building Reserve	14,758	15,349	15,813	-	17,015	7.60%	-
10032		07255	Fire Vehicles (See Fire CIP-1, 2)					77,500		-
10032	07608	07374	Thermal Camera	-	-	-	15,000	-		-
				14,758	15,349	15,813	15,000	94,515	497.70%	12,000
									-24.1%	12,000
TOTAL APPROPRIATIONS				1,537,948	1,534,641	1,559,211	1,060,063	1,740,550	11.6%	1,885,069
									20.9%	1,882,004
									-24.1%	
									20.7%	
					(3,307)	24,570		181,339		322,793
					-0.22%	1.60%		11.63%		20.70%

REVENUE

Department 0032	Old Line	New Line	FIRE DEPARTMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
				FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
10032	03210		EMS Transfer	357,365	327,365	324,735	162,368	325,000	0.08%	-
10032	03211		Overtime Reimbursement	-	14,554	20,000	-	-		-
50000	03215		Ambulance Fees							500,000
10032	03218		Miscellaneous	18,755	11,399	6,000	2,494			-
50000	03230		Owls Head EMS							82,760
50000	03231		Aide to Outside Towns							50,000
10032	03247		Fringe Benefits Detail	1,952	1,431	-	-			-
10032	03487		Verizon Tower Lease	13,639	15,315	15,813	10,938	16,000	1.18%	16,000
									1.2%	16,000
									1.2%	
									77.0%	648,760
TOTAL REVENUE				391,711	370,064	366,548	175,800	341,000	-6.97%	648,760
									77.0%	648,760
									77.0%	
									77.0%	
					(21,647)	(3,516)		(25,548)		282,212
					-5.53%	-0.95%		-6.97%		76.99%
										76.99%
NET BUDGET				1,146,237	1,164,577	1,192,663	884,264	1,399,550	17.3%	1,236,309
									3.7%	1,233,244
									3.4%	
					18,339	28,086	Under Budget	206,887		43,646
					1.60%	2.41%		17.35%		3.66%
										40,581
										3.40%

City of Rockland, Maine

Fund 50, Department 033 - Emergency Medical Services

Adam Miceli, Acting Fire Chief

See Fire Department & EMS, Fund 10, Department 032

Emergency Medical Services, in accounting terms, are known as "internal service funds", which means that the expenses required to operate the EMS are offset by revenues charged for the service.

SUMMARY

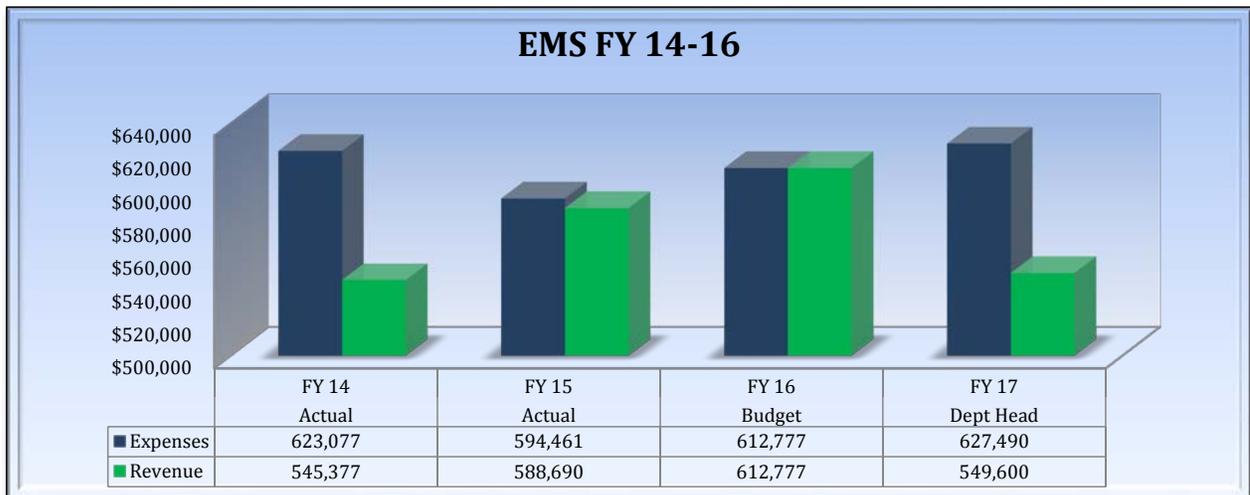
APPROPRIATIONS

Department 0033 Old Line	New Line	EMERGENCY MEDICAL SERVICES	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		04000 Personnel Services	111,016	123,114	97,683	91,997	101,410 3.8%	-	-
		04100 Employees' Benefits	78,254	67,355	97,592	34,647	88,040 -9.8%	-	-
		05000 Contractual Services	396,666	369,905	378,345	175,957	367,050 -3.0%	-	-
		06000 Supplies	37,142	34,087	39,157	16,915	38,990 -0.4%	-	-
		07000 Capital Outlay	-	-	-	-	32,000	-	-
TOTAL APPROPRIATIONS			623,077	594,461	612,777	319,515	627,490 2.4%	-	-
			(28,616) -4.59%		18,316 3.08%		14,713 2.40%		

REVENUE

Department 0033 Old Line	New Line	EMERGENCY MEDICAL SERVICES	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		03000 Revenue	545,377	588,690	612,777	255,810	549,600 -10.3%	-	-
TOTAL REVENUE			545,377	588,690	612,777	255,810	549,600 -10.3%	-	-
			43,313 7.94%		24,087 4.09%		(63,177) -10.31%		

NET BUDGET	77,700	5,771	-	63,705	77,890	-	-
	(71,929) -92.57%		(5,771) -100.00%		77,890		



City of Rockland, Maine

Fund 50, Department 033 - Emergency Medical Services

Adam Miceli, Acting Fire Chief

See Fire Department & EMS, Fund 10, Department 032

APPROPRIATIONS

Department 0033	New Line	EMERGENCY MEDICAL SERVICES	Actual FY 14	Actual FY 15	02/29/16		Department Head	City Manager	City Council APPROVED
					Budget FY 16	YTD			
04000 Personnel Services									
50000	04001	Full-time Employees	83,823	95,457	71,827	78,186	75,350	4.90%	
50000	04003	Overtime Wages	21,533	22,219	20,000	8,903	20,000	0.00%	
50000	04004	Holiday Wages	3,998	3,736	3,953	3,695	4,090	3.47%	
50000	04016	FLSA Work Cycle	1,662	1,702	1,903	1,213	1,970	3.52%	
			111,016	123,114	97,683	91,997	101,410	3.82%	-
04100 Employees Benefits									
50000	04109	Dental Insurance					1,685		
50000	04017	04136 Sick Leave Stipend	119	647	988	587	1,030	4.25%	
50000	04025	04113 Education Stipend	-	420	1,040	680	1,040	0.00%	
50000	04030	04127 Longevity	-	90	130	35	260	100.00%	
50000	05083	04129 Maine Public Employees Retirement	11,407	12,162	14,342	7,678	8,540	-40.45%	
50000	05085	04119 Health Insurance	18,225	20,925	25,029	20,404	19,310	-22.85%	
50000	05086	04131 Medicare	1,727	1,517	1,839	932	1,310	-28.77%	
50000	04002	04133 EMS Stipend (Fire & EMS)	37,544	22,382	46,956	-	46,970	0.03%	
50000	05092	04145 Workers' Compensation	9,232	9,212	7,268	4,331	7,760	6.77%	
50000	00416	Vision Insurance					135		
			78,254	67,355	97,592	34,647	88,040	-9.79%	-
05000 Contractual Services									
50000	05007	Dues & Membership	2,567	2,282	2,330	1,982	2,300	-1.29%	
50000	05008	Training & Education	1,757	2,268	5,350	1,664	5,000	-6.54%	
50000	05020	Drug & Physical Screening	-	-	-	-	500		
50000	05032	Vehicle Repairs & Maintenance	9,237	9,855	10,000	3,294	5,700	-43.00%	
50000	05033	Radio Repairs & Maintenance	1,142	1,491	3,300	295	3,550	7.58%	
50000	05062	Billing & Collections	24,598	26,644	30,000	6,354	25,000	-16.67%	
50000	07500	05081 Transfer to General Fund	357,365	327,365	324,735	162,368	325,000	0.08%	
50000	05081	05210 Contingency	-	-	2,630	-	-		
			396,666	369,905	378,345	175,957	367,050	-2.99%	-
06000 Supplies									
50000	07001	06011 Small Tools & Misc. Equipment	5,726	5,788	6,490	2,211	6,490	0.00%	
50000	06006	06012 Medical Supplies	13,486	13,725	15,000	8,618	17,000	13.33%	
50000	06040	06080 Diesel Fuel	8,592	6,811	8,815	2,784	6,500	-26.26%	
50000	06002	06090 Gasoline	2,014	1,485	1,502	478	1,500	-0.13%	
50000	06010	06101 Uniforms	7,324	6,278	7,350	2,825	7,500	2.04%	
			37,142	34,087	39,157	16,915	38,990	-0.43%	-
07000 Capital Outlay									
50000	07001	EMS Dept. Equipment (See EMS CIP-1)					12,000		
50000	07255	Fire Vehicle (See Fire CIP-2)cost share					20,000		
			-	-	-	-	32,000		-
TOTAL APPROPRIATIONS			623,077	594,461	612,777	319,515	627,490	2.40%	-

REVENUE

Department 0033	New Line	EMERGENCY MEDICAL SERVICES	Actual FY 14	Actual FY 15	02/29/16		Department Head	City Manager	City Council APPROVED
					Budget FY 16	YTD			
50000	03215	Ambulance Fees	431,277	492,872	498,515	208,419	416,840	-16.38%	
50000	03218	Miscellaneous	1,639	114	1,500	-	-		
50000	03230	Owls Head EMS	76,518	79,579	82,762	20,691	82,760	0.00%	
50000	03231	Aide to Outside Towns	31,525	16,125	30,000	26,700	50,000	66.67%	
50000	03250	Private Pay EMS	4,419	-	-	-	-		
			545,377	588,690	612,777	255,810	549,600	-10.31%	-
TOTAL REVENUE			545,377	588,690	612,777	255,810	549,600	-10.31%	-

			43,313	24,087			(63,177)		
			7.94%	4.09%			-10.31%		
NET BUDGET			77,700	5,771	-	63,705	77,890	-	-
			(71,929)	(5,771)			77,890		
			-92.57%	-100.00%					

City of Rockland, Maine

Fund 10, Department 034 - Municipal Fish Pier

Al Gourde, Fish Pier Manager

See Harbor and Waterfront - Fund 10, Department 061

The City's Code established the Municipal Fish Pier Department, headed by the Fish Pier Director, who is appointed by the City Manager. The Director is responsible for enforcing the rules and regulations as established by the City ordinance and State law; collects fees; maintains the Pier; and annually presents and updates a five-year Fish Pier maintenance and capital improvement program.

SUMMARY

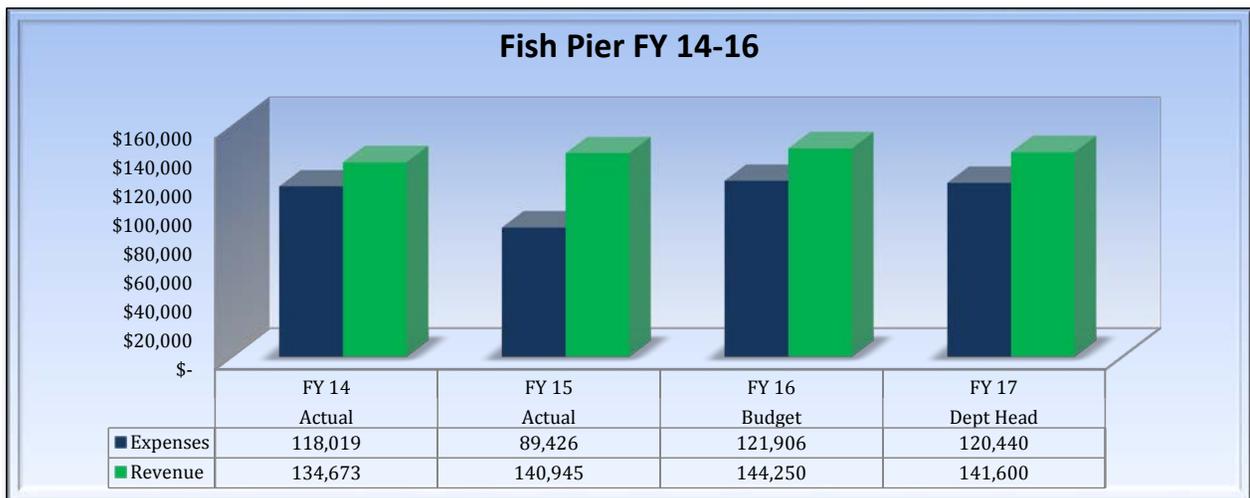
APPROPRIATIONS

Department 0034 Old Line	New Line	MUNICIPAL FISH PIER	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		04000 Personnel Services	32,817	33,812	33,698	22,258	34,380 2.0%	-	-
		04100 Employees' Benefits	6,169	6,570	7,011	5,564	14,560 107.7%	-	-
		05000 Contractual Services	11,756	13,652	21,171	9,156	40,700 92.2%	-	-
		06000 Supplies	2,926	2,832	4,525	2,511	5,800 28.2%	-	-
		07000 Capital Outlay	64,351	32,561	55,501	11,199	25,000 -55.0%	-	-
TOTAL APPROPRIATIONS			118,019	89,426	121,906	50,689	120,440 -1.2%	-	-
				(28,592) -24.23%	32,480 36.32%		(1,466) -1.20%		

REVENUE

Department 0034 Old Line	New Line	MUNICIPAL FISH PIER	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		03000 Revenue	134,673	140,945	144,250	84,157	141,600 -1.8%	-	-
TOTAL REVENUE			134,673	140,945	144,250	84,157	141,600 -1.8%	-	-
				6,272 4.66%	3,305 2.34%		(2,650) -1.84%		

NET BUDGET	(16,654)	(51,518)	(22,344)	(33,468)	(21,160)	-5.3%	-	-
		(34,864) 209.35%	29,174 -56.63%		1,184 -5.30%			



City of Rockland, Maine

Fund 10, Department 034- Municipal Fish Pier
See Harbor and Waterfront - Fund 10, Department 061

Al Gourde, Fish Pier Manager

APPROPRIATIONS

Department 0034			Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
Old Line	New Line	MUNICIPAL FISH PIER	FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17
04000 Personnel Services									
10034	04001	04013 Fish Pier Director	32,817	33,423	33,698	20,807	34,380	2.02%	
10034	04004	Holiday Wages	-	389	-	1,452	-		
			32,817	33,812	33,698	22,258	34,380	2.02%	-
04100 Employees Benefits									
10034	04105	04105 Cell Phone Stipend	-	-	-	320	480		
10034		04109 Dental Insurance	-	-	-	-	400		
10034	05084	04115 FICA	2,025	2,104	2,151	1,513	2,140	-0.51%	
10034	05085	04119 Health Insurance	1,076	1,130	1,217	549	7,340	503.12%	
10034	04020	04121 Health Insurance Stipend	-	-	-	1,404	-		
10034		04123 ICMA	-	-	-	-	1,720		
10034	04030	04127 Longevity	515	520	520	340	-		
10034	05089	04131 Medicare	477	492	503	354	500	-0.60%	
10034	05092	04145 Workers' Compensation	2,076	2,323	2,620	1,083	1,920	-26.72%	
10034		04146 Vision Insurance	-	-	-	-	60		
			6,169	6,570	7,011	5,564	14,560	107.67%	-
05000 Contractual Services									
10034	05001	Telephone	1,031	1,019	1,013	591	1,000	-1.28%	
10034	05002	Electricity	8,816	11,467	16,000	6,710	14,000	-12.50%	
10034	05003	Water and Sewer	1,712	1,161	2,158	1,800	2,200	1.95%	
10034	05035	Building Repairs & Maintenance	197	5	500	55	6,000	1100%	
10034	05036	Piers & Float Maintenance	-	-	1,500	-	1,500	0.00%	
10034		05402 General Repairs & Maintenance	-	-	-	-	16,000		
			11,756	13,652	21,171	9,156	40,700	92.24%	-
06000 Supplies									
10034	06001	Office Supplies	292	32	725	144	500	-31.03%	
10034	06004	06101 Uniforms	-	294	300	-	300	0.00%	
10034	06005	06008 Public Amenties	2,633	2,506	3,500	2,368	5,000	42.86%	
			2,926	2,832	4,525	2,511	5,800	28.18%	-
07000 Capital Outlay									
10034	07005	07005 Fish Pier Capital R&M	13,089	32,561	55,501	11,199			
10034	07001	07551 Capital Reserve	51,262	-	-	-	25,000		
			64,351	32,561	55,501	11,199	25,000	-54.96%	-
TOTAL APPROPRIATIONS			118,019	89,426	121,906	50,689	120,440	-1.20%	-
				(28,592)	32,480		(1,466)		
				-24.23%	27.52%		-1.20%		

REVENUE

Department 0034			Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
Old Line	New Line	MUNICIPAL FISH PIER	FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17
10034	03147	Vending Machines	-	1,432	750	738	750	0.00%	
10034	03218	Miscellaneous	1,500	-	-	1,053	1,100		
10034	03225	Fuel Sales	997	1,942	2,000	2,206	2,000	0.00%	
10034	03251	Permits	83,250	102,375	107,500	39,975	109,000	1.40%	
10034	03255	Product Wharfage	4,158	8,412	7,000	3,389	3,500	-50.00%	
10034	03260	Docking Fees	28,110	9,296	8,500	25,500	8,500	0.00%	
10034	03265	Utilities	12,658	14,763	16,000	8,547	14,000	-12.50%	
10034	03270	Gear Storage	4,000	2,725	2,500	2,750	2,750	10.00%	
			134,673	140,945	144,250	84,157	141,600	-1.84%	-
TOTAL REVENUE			134,673	140,945	144,250	84,157	141,600	-1.84%	-
				6,272	3,305		(2,650)		
				4.66%	2.34%		-1.84%		
NET BUDGET			(16,654)	(51,518)	(22,344)	(33,468)	(21,160)	-5.3%	-
				(34,864)	29,174	Under Budget	1,184		
				209.35%	-56.63%		-5.30%		

City of Rockland, Maine

Fund 10, Department 035 - Publicly Funded Utilities

Public funded utilities include traffic lights, street lights, and hydrant rental fees. The funding pays for the electricity to run the lights; as well as some funding for any necessary repairs. The water company charges a fees for hydrants within the city that can be used by the city in the event of an emergency where large amounts of water may be needed.

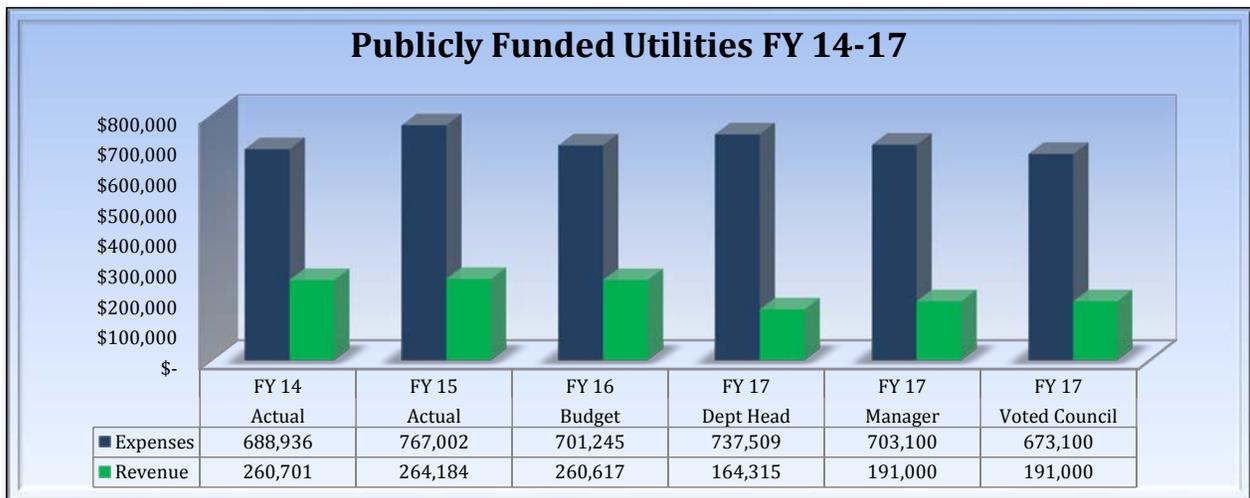
SUMMARY

APPROPRIATIONS

Department 0035 Old Line	New Line	PUBLICLY FUNDED UTILITIES	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		05000 Contractual Services	688,936	767,002	701,245	397,819	737,509 5.2%	703,100 0.3%	673,100 -4.0%
TOTAL APPROPRIATIONS			688,936	767,002	701,245	397,819	737,509 5.2%	703,100 0.3%	673,100 -4.0%
				78,067	(65,757)		36,264 5.17%	1,855 0.26%	(28,145) -4.01%

REVENUE

Department 0035 Old Line	New Line	PUBLICLY FUNDED UTILITIES	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		03000 Revenue	260,701	264,184	260,617	61,404	164,315 -37.0%	191,000 -26.7%	191,000 -26.7%
TOTAL REVENUE			260,701	264,184	260,617	61,404	164,315 -37.0%	191,000 -26.7%	191,000 -26.7%
				3,483	(3,567)		(96,302) -36.95%	(69,617) -26.71%	(69,617) -26.71%
NET BUDGET			428,234	502,818	440,628	336,415	573,194 30.1%	512,100 16.2%	482,100 9.4%
				74,584	(62,190)		132,566 30.09%	71,472 16.22%	41,472 9.41%



City of Rockland, Maine

Fund 10, Department 035- Publicly Funded Utilities

APPROPRIATIONS

Department 0035		PUBLICLY FUNDED UTILITIES		Actual FY 14	Actual FY 15	Budget FY 16	02/29/16 YTD	Department Head Proposed FY 17	City Manager Proposed FY 17	City Council APPROVED FY 17
Old Line	New Line									
05000 Contractual Services										
10035	05072	Traffic Lights - Electricity	6,750	6,949	7,057	4,015	7,100	0.6%	7,100	0.6%
10035	05073	Traffic Lights - Repairs & Maintenance	6,651	21,891	20,000	35,951	20,000	0.0%	20,000	0.0%
10035	05075	Hydrant Rentals/Fire Protection Charge	405,575	456,505	430,842	183,404	431,000	0.0%	380,000	-11.8%
10035	05074	05603 Street Lights - Electricity	186,551	198,249	159,937	132,745	196,000		196,000	
10008	08555	05625 Storm Water Maintenance	83,409	83,409	83,409	41,705	83,409	0.00%	100,000	19.89%
			688,936	767,002	701,245	397,819	737,509	5.17%	703,100	0.3%
TOTAL APPROPRIATIONS			688,936	767,002	701,245	397,819	737,509	5.2%	703,100	0.3%
				78,067	(65,757)		36,264		1,855	(28,145)
				11.33%	-9.54%		5.17%		0.26%	-4.01%

REVENUE

Department 0035		PUBLICLY FUNDED UTILITIES		Actual FY 14	Actual FY 15	Budget FY 16	02/29/16 YTD	Department Head Proposed FY 17	City Manager Proposed FY 17	City Council APPROVED FY 17
Old Line	New Line									
10009	03110	Water Company-Industrial Pk	15,084	18,567	15,000	-	11,000		11,000	-26.67%
10009	03910	Admin Fee - Sewer	153,313	153,313	153,313	38,328	153,315	0.00%	180,000	17.41%
10009	03916	Admin Fee - Solid Waste	92,304	92,304	92,304	23,076	-		-	
			260,701	264,184	260,617	61,404	164,315	-36.95%	191,000	-26.71%
TOTAL REVENUE			260,701	264,184	260,617	61,404	164,315	-36.95%	191,000	-26.7%
				3,483	(3,567)		(96,302)		(69,617)	(69,617)
				1.34%	-1.35%		-36.95%		-26.71%	-26.71%
NET BUDGET			428,234	502,818	440,628	336,415	573,194	30.1%	512,100	16.2%
				74,584	(62,190)	Under Budget	132,566		71,472	41,472
				17.42%	-12.37%		30.09%		16.22%	9.41%

City of Rockland, Maine

Fund 10, Department 036 - Code Enforcement

John A. Root, Jr., Code Enforcement Officer

Department Overview

Article IVIII established the Code Enforcement Department. The Code Enforcement Officer and the Assistant Code Enforcement Officer perform plumbing inspections, and building inspections, and issues permits. In addition the CEO is the Health Officer for the City; the CEO also attends meetings of the Planning Board and the Zoning Board of Appeals as may be necessary.

Mission

It is the mission of code enforcement to ensure that building codes are in compliance for all residential and business dwellings in the City, for the safety and security of the citizenry.

Staffing

The Code Enforcement office is staffed by the Code Enforcement Officer, an Assistant Code Enforcement Officer, and a Secretary, all of whom are appointed by the City Manager.

SUMMARY

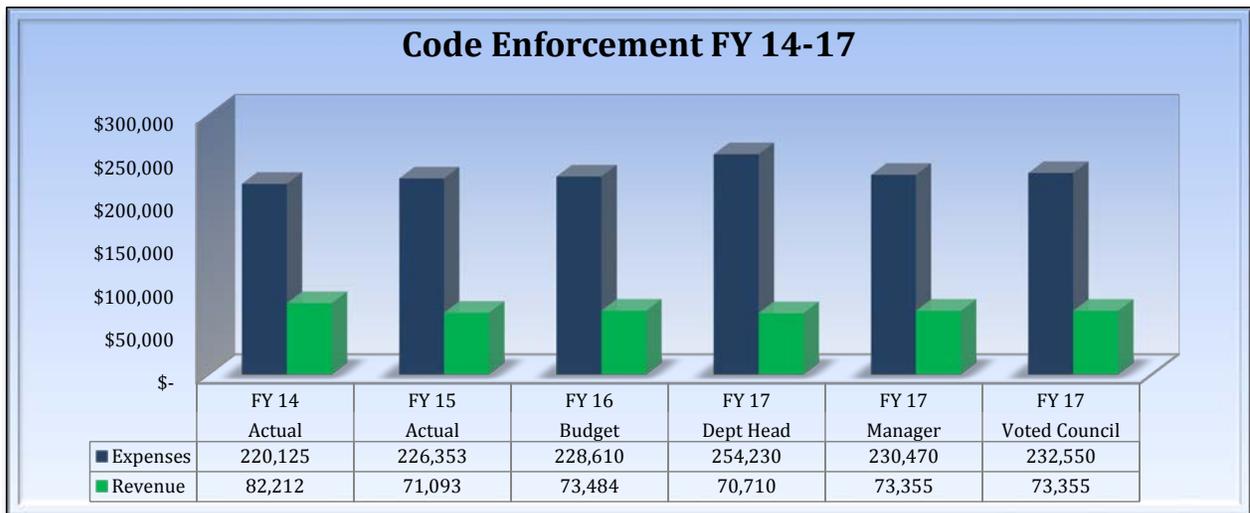
APPROPRIATIONS

Department 0036 Old Line	New Line	CODE ENFORCEMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		04000 Personnel Services	128,124	133,101	139,302	91,139	154,510 10.9%	142,970 2.6%	142,970 2.6%
		04100 Employees' Benefits	75,514	77,837	83,852	62,049	92,070 9.8%	81,850 -2.4%	83,930 0.1%
		05000 Contractual Services	3,884	3,740	4,646	1,498	3,800 -18.2%	3,800 -18.2%	3,800 -18.2%
		06000 Supplies	275	127	810	33	1,850 128.4%	1,850 128.4%	1,850 128.4%
		07000 Capital Outlay	12,328	11,548	-	-	2,000	-	-
TOTAL APPROPRIATIONS			220,125	226,353	228,610	154,719	254,230 11.2%	230,470 0.8%	232,550 1.7%
				6,228 2.83%	2,257 1.03%		25,620 11.21%	1,860 0.81%	3,940 1.72%

REVENUE

Department 0036 Old Line	New Line	CODE ENFORCEMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		03000 Revenue	82,212	71,093	73,484	45,032	70,710 -3.8%	73,355 -0.2%	73,355 -0.2%
TOTAL REVENUE			82,212	71,093	73,484	45,032	70,710 -3.8%	73,355 -0.2%	73,355 -0.2%
				(11,119) -13.52%	2,391 2.91%		(2,774) -3.77%	(129) -0.18%	(129) -0.18%

NET BUDGET			137,913	155,261	155,126	109,687	183,520 18.3%	157,115 1.3%	159,195 2.6%
				17,347 12.58%	(135) -0.10%		28,394 18.30%	1,989 1.28%	4,069 2.62%



City of Rockland, Maine

Fund 10, Department 036- Code Enforcement

John A. Root, Jr., Code Enforcement Officer

APPROPRIATIONS

Department 0036	New Line	CODE ENFORCEMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED	
			Old Line	FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
04000 Personnel Services										
10036	04000	Code Enforcement	128,124	131,574	139,302	85,506	62,400	62,400	\$62,400	
	04013	Assistant Code Enforcement Officer					57,480	57,480	\$57,480	
10036	04001	Administrative Assistant					34,630	23,090	\$23,090	
10036	04004	Holiday Wages	-	1,527	-	5,633	-	-		
			128,124	133,101	139,302	91,139	154,510	10.9%	142,970	-7.5%
									142,970	0.0%
04100 Employees Benefits										
10036	04020	04020 Flexible Benefits	-	-	-	819	1,900	1,900	1,900	
10036	04105	04105 Cell Phone Stipend	480	480	-	160	960	960	960	
10036	04109	Dental Insurance	-	-	-	-	2,590	2,590	2,590	
10036	05084	04115 FICA	7,840	7,815	9,016	6,275	13,150	12,430	12,430	
10036	05085	04119 Health Insurance	52,593	54,177	58,137	41,330	49,180	43,760	43,760	
10036	05082	04123 ICMA Retirement	5,132	5,365	5,298	4,799	3,120	3,120	3,120	
10036	04030	04127 Longevity	1,040	1,090	1,310	815	-	-	-	
10036	05083	04129 Maine Public Employees Retirement	1,790	2,249	3,084	2,298	8,760	6,930	6,930	
10036	05089	04131 Medicare	1,860	1,828	2,108	1,468	2,250	-	2,080	
10036	04100	04139 Travel Allowance	4,320	4,320	4,320	2,880	4,320	4,320	4,320	
10036	05092	04145 Workers' Compensation	458	513	579	1,204	4,480	4,480	4,480	
10036	04146	Vision Insurance	-	-	-	-	1,360	1,360	1,360	
			75,514	77,837	83,852	62,049	92,070	9.8%	81,850	-11.1%
									83,930	2.5%
05000 Contractual Services										
10036	05001	Telephone/Cell Phone	363	367	-	723	1,200	1,200	1,200	
10036	05006	Travel Reimbursement	802	373	1,000	-	800	800	800	
10036	05007	Dues & Memberships	260	300	300	330	300	300	300	
10036	05009	Training & Education	934	575	1,000	445	1,000	1,000	1,000	
10036	05016	XXXX State Plumbing Fees	1,525	2,125	2,146	-	No Longer Appropriating Taxes for State Fees.			
10036	05034	Office Equipment R & M	-	-	200	-	-	-	-	
10036	05088	Computer Repairs & Mainenance	-	-	-	-	500	500	500	
			3,884	3,740	4,646	1,498	3,800	-18.2%	3,800	0.0%
									3,800	0.0%
06000 Supplies										
10036	06001	Office Supplies	275	127	300	24	200	200	200	
10036	06002	Computer Supplies	-	-	-	-	1,400	1,400	1,400	
10036	06005	06011 Smalls Tools & Misc. Equipment	-	-	100	9	150	150	150	
10036	06008	06022 Publications	-	-	410	-	100	100	100	
			275	127	810	33	1,850	128.4%	1,850	0.0%
									1,850	0.0%
07000 Capital Outlay										
10036	07002	Computer Equipment	7,402	7,573	-	-	1,500	-	-	
10036	07004	Computer Software	4,926	3,976	-	-	500	-	-	
			12,328	11,548	-	-	2,000	-	-	
TOTAL APPROPRIATIONS			220,125	226,353	228,610	154,719	254,230	11.2%	230,470	-9.3%
									232,550	0.9%

			6,228	2,257			25,620	1,860	3,940
			2.83%	1.03%			11.21%	0.81%	1.72%

REVENUE

Department 0036	New Line	CODE ENFORCEMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED	
			Old Line	FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
10036	03250	Site Plan Revenue	5,917	2,797	4,874	2,045	4,180	-14.24%	4,180	0.0%
10036	03251	Building Permits	49,115	43,842	42,056	27,217	42,650	1.41%	42,650	0.0%
10036	03252	Street Opening Permits	2,840	1,920	2,570	660	2,355	-8.37%	5,000	112.3%
10036	03253	Plumbing Permits	10,373	8,014	10,154	6,239	7,460	-26.53%	7,460	0.0%
10036	03254	Electrical Permits	9,327	9,850	9,119	6,306	9,365	2.70%	9,365	0.0%
10036	03255	Sewer Inspections	1,020	1,020	960	660	980	2.08%	980	0.0%
10036	03256	Miscellaneous	590	975	473	340	640	35.31%	640	0.0%
10036	03257	Zoning Board	320	-	360	160	240	-33.33%	240	0.0%
10036	03258	Signs	2,710	2,675	2,918	1,405	2,840	-2.67%	2,840	0.0%
10036	03261	Demo Permit Fees	-	-	-	-	-	-	-	-
			82,212	71,093	73,484	45,032	70,710	-3.77%	73,355	3.7%
TOTAL REVENUE			82,212	71,093	73,484	45,032	70,710	-3.77%	73,355	3.7%

			(11,119)	2,391			(2,774)	(129)	(129)
			-13.52%	3.36%			-3.77%	-0.18%	-0.18%

NET BUDGET			137,913	155,261	155,126	109,687	183,520	18.3%	157,115	-14.4%
									159,195	1.3%
				17,347	(135)	Under Budget	28,394	1,989	4,069	
				12.58%	-0.09%		18.30%	1.28%	2.62%	

Public Services

041 Public Works
042 Waste Management

Fund 10, Department 041- Public Services

David St. Laurent, Public Services Director

Formerly Public Works; Public Works and Waste Management departments combined FY 16

Department Overview

Article XIV of the City's Code establishes the Department of Public Services. The Director of Public Services is appointed by the City Manager and is responsible for the combined departments of Public Works and Waste Management. The Director is charged with the "construction, maintenance, and operation of the City's infrastructure", the management of the Solid Waste Facility, and supervision and management of City employees within the two divisions of Public Services. In addition, the Director is required to annually prepare and submit a capital improvement program for the repair, replacement, or construction of City equipment and/or infrastructure.

Mission

The Public Services Department provides essential services to the citizens of Rockland in a prompt, courteous, safe, efficient, and cost-effective manner. Public Services, through its dedicated employees, strives to plan, design, build, maintain, and operate public infrastructure in a manner that respects the environment and the ability of government to adequately preserve these assets for succeeding generations.

Staffing

Staffing for Public Services includes a Director, an Assistant Director, four Heavy Equipment Operators, two Mechanics, a Stockman, six Light Equipment Operators, three Laborers, a Truck Driver, and a Gate Attendant. An Administrative Assistant is shared with the Code Enforcement department.

SUMMARY

APPROPRIATIONS

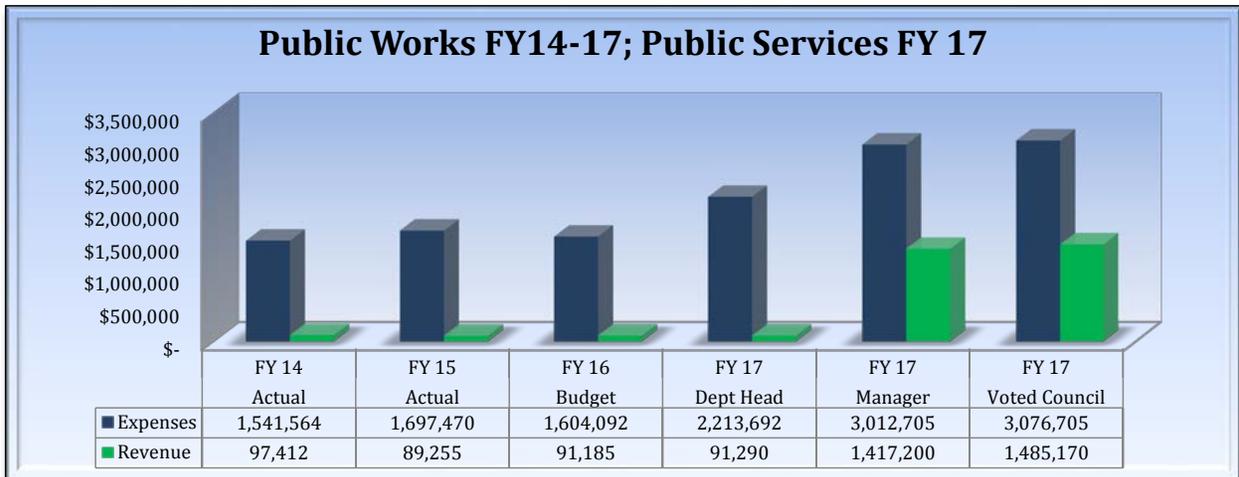
Department 0041 Old Line	New Line	PUBLIC WORKS	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED			
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17				
			623,334	680,826	633,654	434,973	853,252	34.7%	938,820	48.2%	938,820	48.2%
		04000 Personnel Services										
		04100 Employees' Benefits	345,712	373,716	371,124	268,868	517,715	39.5%	577,020	55.5%	577,020	55.5%
		05000 Contractual Services	175,040	267,584	208,532	142,034	228,325	9.5%	997,965	378.6%	997,965	378.6%
		06000 Supplies	324,731	284,960	290,782	172,131	389,400	33.9%	498,900	71.6%	548,900	88.8%
		07000 Capital Outlay	72,747	90,384	100,000	94,258	225,000	125.0%	-		14,000	-86.0%
TOTAL APPROPRIATIONS			1,541,564	1,697,470	1,604,092	1,112,264	2,213,692	38.0%	3,012,705	87.8%	3,076,705	91.8%
				155,905	(93,378)		609,600		1,408,613		1,472,613	
				10.11%	-5.50%		38.00%		87.81%		91.80%	

REVENUE

Department 0041 Old Line	New Line	PUBLIC WORKS	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED			
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17				
		03000 Revenue	97,412	89,255	91,185	90,481	91,290	0.1%	1,417,200	1454.2%	1,485,170	1528.7%
TOTAL REVENUE			97,412	89,255	91,185	90,481	91,290	0.1%	1,417,200	1454.2%	1,485,170	1528.7%
				(8,157)	1,930		105		1,326,015		1,393,985	
				-8.37%	2.16%		0.12%		1454.20%		1528.74%	

NET BUDGET	1,444,152	1,608,214	1,512,907	1,021,783	2,122,402	40.3%	1,595,505	5.5%	1,591,535	5.2%
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	164,062	(95,307)	609,495	82,598	78,628
	11.36%	-5.93%	40.29%	5.46%	5.20%



City of Rockland, Maine

Fund 10, Department 041- PUBLIC SERVICES

David St. Laurent, Public Services Director

Formerly Public Works; Public Works and Waste Management departments combined FY 16

Department 0041			Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
Old Line	New Line	PUBLIC WORKS	FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17
APPROPRIATIONS									
04000 Personnel Services									
10041	04000	Public Services Director	-	-	-	-	43,980	82,700	\$82,700
10041	04013	Asst Public Services Director	-	-	-	-	32,130	63,000	\$63,000
10041	04001	Full-time Wages	575,897	601,411	588,654	370,563	731,242	753,120	\$753,120
10041	04003	Overtime Wages	47,437	73,082	45,000	37,915	45,900	40,000	\$40,000
10041	04004	Holiday Wages	-	6,333	-	25,655	-	-	-
10041	04050	Shift Differential	-	-	-	841	-	-	-
			623,334	680,826	633,654	434,973	853,252	938,820	938,820
04100 Employees Benefits									
10041	04105	04105 Cell Phone Stipend	960	880	-	560	720	960	960
10041	04109	Dental Insurance	-	-	-	-	1,300	2,590	2,590
10041	04110	Clothing Allowance	4,225	4,225	4,225	3,575	5,525	5,850	\$5,850
10041	05084	04115 FICA	37,296	41,301	40,202	27,225	49,580	57,540	\$57,540
10041	05085	04119 Health Insurance	209,808	219,170	212,197	163,156	309,190	331,070	\$331,070
10041	05082	04123 ICMA Retirement	7,585	8,980	6,474	3,938	5,640	8,730	\$8,730
10041	04030	04127 Longevity	8,520	8,780	9,580	11,735	19,080	18,560	\$18,560
10041	05083	04129 Maine Public Employees Retirement	32,846	40,933	44,212	31,430	73,150	78,220	\$78,220
10041	05089	04131 Medicare	8,945	9,689	9,402	6,411	12,220	14,000	\$14,000
10041	04100	04137 Travel Allowance	-	-	-	750	1,800	1,800	\$1,800
10041	05092	04145 Workers' Compensation	35,527	39,759	44,832	20,088	39,330	57,350	\$57,350
10041	04146	Vision Insurance	-	-	-	-	180	350	350
			345,712	373,716	371,124	268,868	517,715	577,020	577,020
05000 Contractual Services									
10041	05001	Telephone/Cell Phone	2,697	2,596	1,638	2,533	2,650	7,615	7,615
10041	05002	Electricity	5,020	4,865	4,282	2,503	4,945	23,470	23,470
10041	05003	Water	3,136	4,195	4,712	2,452	3,670	112,710	112,710
10041	05005	Printing/Advertising	822	547	750	101	685	685	685
45000	05005	Recycling Educations						1,605	1,605
10041	05006	Travel Reimbursement						1,000	1,000
10041	05007	Dues & Memberships	75	8	150	-	150	7,150	7,150
10041	05009	Training & Education	113	64	500	124	500	3,500	3,500
10041	05010	License & Permit Fees	3,300	2,932	3,700	2,687	865	28,550	28,550
10041	05011	Professional Services	388	3,500	2,500	4,089	3,500	28,500	28,500
10041	05012	Mowing	19,818	20,740	20,000	13,277	20,000	20,000	20,000
45000	05014	Brush/Pallet Chipping Service						2,000	2,000
10041	05020	Drug & Physical Screening	-	-	-	-	500	1,000	1,000
10041	05021	Equipment Rental	13,742	33,636	32,200	9,643	33,460	135,740	135,740
10041	05025	Outside Services						-	-
10041	05031	Snow Equipment Maintenance	17,220	34,267	24,000	23,707	35,000	24,000	24,000
10041	05032	Vehicle Repairs & Maintenance	67,368	115,236	70,000	66,785	75,000	70,000	70,000
10041	05033	Radio Maintenance	129	2,170	1,500	384	1,500	1,500	1,500
10041	05034	Office Equipment Maintenance	382	386	600	382	600	600	600
10041	05035	Building Repairs & Maintenance	10,120	7,536	12,500	6,762	10,000	10,000	10,000
10041	05038	Street Sweeping	8,514	13,407	6,000	2,417	7,150	7,150	7,150
10041	05039	Road Striping	16,436	15,128	16,000	623	16,000	15,000	15,000
10041	05087	Computer Repairs & Maint.	-	-	-	-	500	1,000	1,000
10041	05088	Computer Services	-	-	-	-	4,150	4,150	4,150
45000	05059	05420 Grounds Maintenance-Landfill						-	-
45000	05074	05400 Appliance Prep						1,500	1,500
10041	05059	05402 Trees, Lawns, Parks Maintenance	5,760	6,371	7,500	3,565	7,500	7,500	7,500
45000	05018	05404 Hazardous Waste Collection						-	-
45000	05016	05405 Discharge Monitoring						2,500	2,500
45000	05015	05407 Environmental Monitoring						17,425	17,425
45000	05032	05408 Equipment Maintenance						30,000	30,000
45000	05078	05415 Odor Control						-	-
45000	05076	05417 Tire Disposal						2,000	2,000
45000	05065	05419 Refuse Disposal						417,915	417,915
45000	05062	05422 Vector Control						1,200	1,200
45000	05034	05430 Trailer Maintenance						5,000	5,000
45000	05081	05680 Contingency						5,000	5,000
45000	05011	05623 Universal Waste Expense						1,000	1,000
			175,040	267,584	208,532	142,034	228,325	997,965	997,965

City of Rockland, Maine

Department 0041			Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
Old Line	New Line	PUBLIC WORKS	FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17
06000 Supplies									
10041	06001	Office Supplies	1,533	1,522	1,500	2,261	2,000 33.33%	3,000 100.00%	3,000 100.0%
10041		06002 Computer Supplies					200 #DIV/0!	400	400
10041	06003	Heating Fuel	14,306	14,244	7,232	6,001	6,500 -10.12%	16,690 130.78%	16,690 130.8%
10041		06004 Postage					-	-	-
10041	06004	06101 Uniforms/Clothing	5,510	5,011	4,550	3,305	4,550 0.00%	4,550 0.00%	4,550 0.0%
10041	05040	06005 Small Tools & Misc. Equipment	11,737	13,328	13,000	15,006	13,000 0.00%	16,000 23.08%	16,000 23.1%
10041	06006	Miscellaneous Supplies	2,316	2,938	3,000	3,025	3,000 0.00%	3,000 0.00%	3,000 0.0%
45000	06007	Payment Expenses/Bags						5,000	5,000
10041	06060	06115 Safety Equipment	4,383	4,777	5,000	6,628	6,000 20.00%	6,000 20.00%	6,000 20.0%
10041	06031	Sand	25,970	27,980	28,000	26,502	20,000 -28.57%	20,000	20,000
10041	06032	Salt	75,894	64,270	65,000	27,338	65,000 0.00%	65,000	65,000
10041	06033	06035 Cold Patch	902	2,383	-	-	2,000	20,000	20,000
10041	06036	Street Signs	8,250	7,433	12,000	8,399	12,000 0.00%	12,000	12,000
10041	06010	06081 Tires	6,542	7,603	8,000	5,593	11,650 45.63%	11,650	11,650
10041	06041	06080 Diesel Fuel	82,141	77,581	79,000	15,078	79,000 0.00%	79,000 0.00%	79,000 0.0%
10041	06043	06090 Gasoline	7,243	6,843	7,500	3,139	7,500 0.00%	10,335 37.80%	10,335 37.8%
10041	06020	06095 Oil, Grease, Filters	8,232	4,701	5,000	1,064	5,000 0.00%	5,000	5,000
45000	06004	06101 Uniforms/Clothing						1,415	1,415
45000	06060	06115 Safety Equipment						1,000	1,000
45000	06075	06053 Chemicals						55,360	55,360
45000	06041	06080 Diesel Fuel						35,000	35,000
45000	06036	06044 General Maint (Bailing Wire)						2,000	2,000
10041	07005	06201 Projects	68,101	42,652	50,000	46,229	150,000 200.00%	120,000	170,000
45000	06006	06310 Miscellaneous Supplies						4,500	4,500
10041	07003	06310 Holiday Decorations	1,670	1,696	2,000	2,565	2,000 0.00%	2,000 0.00%	2,000
07000 Capital Outlay			324,731	284,960	290,782	172,131	389,400 33.91%	498,900 71.57%	548,900 88.8%
10041	07004	07605 Road/Paving Construction	72,747	90,384	100,000	94,258	225,000 125.00%	-	-
10041		07466 Parks							14,000
			72,747	90,384	100,000	94,258	225,000 125.00%	-	14,000
TOTAL APPROPRIATIONS			1,541,564	1,697,470	1,604,092	1,112,264	2,213,692 38.00%	3,012,705 87.81%	3,076,705 91.8%
				155,905	(93,378)		609,600	1,408,613	1,472,613
				10.11%	-5.50%		38.00%	87.81%	91.80%

City of Rockland, Maine

Department 0041		PUBLIC WORKS	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
Old Line	New Line		FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
REVENUE									
45000	03170	DEP Fee Reimbursement						27,000	27,000
10041	03245	Public Works Services	1,652	2,896	2,500	2,130	2,500 0.00%	2,500 0.00%	2,500 0.0%
10041	03250	FEMA	1,418	-	-	-	-	-	-
10011	03265	TIF Revenue						50,000	117,970
10041	03294	MDOT Block Grant	93,516	85,684	85,685	86,788	86,790 1.29%	86,790 1.29%	86,790
45000	03295	Miscellaneous						5,000	5,000
10041	03295	Miscellaneous	826	675	3,000	1,563	2,000 -33.33%	2,000 -33.33%	2,000
45000	03420	Compost Sales						1,000	1,000
45000	03421	Pallet Fees						5,000	5,000
45000	03440	Universal Waste						6,815	6,815
45000	03445	Nonresident Contractor Permits						100	100
45000	03446	Solid Waste Stickers						144,000	144,000
45000	03448	PERC Perf Credit						91,260	91,260
45000	03450	Cardboard Disposal						10,000	10,000
45000	03455	Payment Bag						15,000	15,000
45000	03460	Tires						1,760	1,760
45000	03465	White Goods & Metals Fees						3,810	3,810
45000	03466	White Goods & Metals Sales						18,790	18,790
45000	03467	Metal Can Bundles						1,000	1,000
45000	03470	Landfill						470,000	470,000
45000	03480	Plastics						5,500	5,500
45000	03492	Mixed Paper						3,000	3,000
45000	03495	Returnables Cans/Bottles						1,500	1,500
45000	03496	MSW Tipping Fee						465,375	465,375
			97,412	89,255	91,185	90,481	91,290 -32.04%	1,417,200	1,485,170 1528.7%
TOTAL REVENUE			97,412	89,255	91,185	90,481	91,290 0.12%	1,417,200 1454.20%	1,485,170 1528.7%
			(8,157)	1,930			105	1,326,015	1,393,985
			-8.37%	2.16%			0.12%	1454.20%	1528.74%
NET BUDGET			1,444,152	1,608,214	1,512,907	1,021,783	2,122,402 40.29%	1,595,505 5.46%	1,591,535 5.2%
				164,062	(95,307)		609,495	82,598	78,628
				11.36%	-5.93%		40.29%	5.46%	5.20%

City of Rockland, Maine

Fund 10, Department 042- Waste Management

David St. Laurent, Public Services Director

Transferred to Public Services, Fund 10, Department 041

Waste Management is now under the umbrella of Public Services. The Public Services Director, by Code, is responsible for both Public Works/Services and Waste Management. The FY 17 budget may combine both Public Works and Waste Management into one budget document. This will be decided as the budget process progresses.

SUMMARY

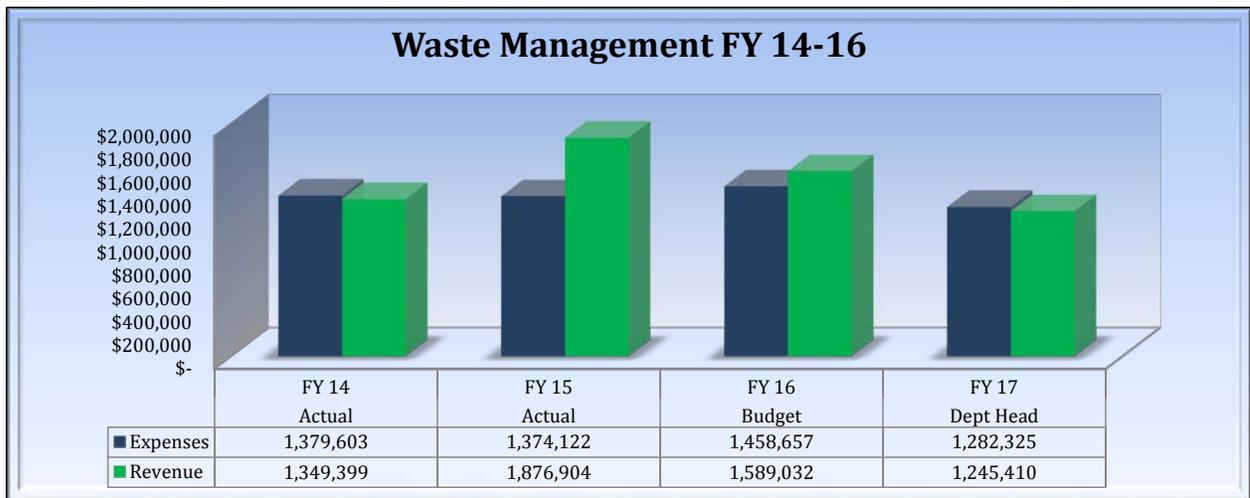
APPROPRIATIONS

Department 0042 Old Line	New Line	WASTE MANAGEMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		04000 Personnel Services	308,730	287,958	295,526	162,597	249,900 -15.4%	-	-
		04100 Employees' Benefits	169,002	160,404	174,695	102,270	119,300 -31.7%	-	-
		05000 Contractual Services	671,173	724,135	757,457	377,784	786,640 3.9%	-	-
		06000 Supplies	135,359	107,113	133,675	29,365	126,485 -5.4%	-	-
		07000 Capital Outlay	95,338	94,512	97,304	50,034	-	-	-
TOTAL APPROPRIATIONS			1,379,603	1,374,122	1,458,657	722,050	1,282,325 -12.1%	-	-
				(5,481) -0.40%	84,535 6.15%		(176,332) -12.09%		

REVENUE

Department 0042 Old Line	New Line	WASTE MANAGEMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		03000 Revenue	1,349,399	1,876,904	1,589,032	1,170,859	1,245,410 -21.6%	-	-
TOTAL REVENUE			1,349,399	1,876,904	1,589,032	1,170,859	1,245,410 -21.6%	-	-
				527,505 39.09%	(287,872) -15.34%		(343,622) -21.62%		

NET BUDGET			30,204	(502,782)	(130,375)	(448,809)	36,915 -128.3%	-	-
				(532,986) -1764.62%	372,407 -74.07%		167,290 -128.31%		



City of Rockland, Maine

Fund 10, Department 042- Waste Management

David St. Laurent, Public Services Director

Transferred to Public Services, Fund 10, Department 041

APPROPRIATIONS

Department 0042		Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
Old Line	New Line	WASTE MANAGEMENT	WASTE MANAGEMENT	WASTE MANAGEMENT	WASTE MANAGEMENT	WASTE MANAGEMENT	WASTE MANAGEMENT	WASTE MANAGEMENT
		FY 14	FY 15	FY 16	FY 16	Proposed FY 17	Proposed FY 17	FY 17
10042 04000 Personnel Services								
10042	04000	Public Services Director	-	-	-	-	43,980	
10042	04013	Asst Public Services Director	-	-	-	-	32,130	
45000	04001	Full-time Wages	302,059	278,724	289,526	152,479	167,670	-42.09%
45000	04003	Overtime Wages	6,671	6,841	6,000	4,166	6,120	2.00%
45000	04004	Holiday Wages	-	2,393	-	5,952	-	
		308,730	287,958	295,526	162,597	249,900	-15.44%	
04100 Employees Benefits								
45000	04110	Clothing Allowance	1,950	1,950	1,950	1,950	1,300	-33.33%
45000	05084 04115	FICA	18,322	15,975	18,798	9,603	11,120	-40.84%
45000	05085 04119	Health Insurance	106,309	101,607	103,774	65,299	65,060	-37.31%
45000	05082 04123	ICMA Retirement	3,330	1,979	166	-	-	
45000	04030 04127	Longevity	5,125	5,605	5,720	3,470	4,160	-27.27%
45000	05083 04129	Maine Public Employees Retirement	14,587	13,704	23,224	10,423	17,040	-26.63%
45000	05089 04131	Medicare	4,371	3,754	4,396	2,250	2,600	-40.86%
45000	04100 04137	Travel Allowance	1,800	1,050	-	-	-	
45000	05092 04145	Workers' Compensation	13,207	14,780	16,667	9,275	18,020	8.12%
		169,002	160,404	174,695	102,270	119,300	-31.71%	
05000 Contractual Services								
45000	05001	Telephone/Cell Phone	5,092	4,646	5,144	2,981	4,965	-3.48%
45000	05002	Electricity	19,690	18,814	17,063	9,459	18,525	8.57%
45000	05003	Water	102,598	105,416	109,038	64,238	109,040	0.00%
45000	05005	Recycling Educations	998	2,509	1,300	-	1,605	23.46%
45000	05006	Travel Reimbursement	888	672	1,000	-	1,000	0.00%
45000	05007	Dues & Memberships	6,687	6,888	7,153	1,099	7,000	-2.14%
45000	05008	Equipment Repairs & Maint.	-	-	-	-	-	
45000	05009	Training & Education	32	2,500	5,000	885	3,000	-40.00%
45000	05010	License & Permit Fees	22,880	66,115	51,684	34,806	27,685	-46.43%
45000	05010 05011	Professional Services	26,114	15,356	25,230	6,727	25,000	-0.91%
45000	05014	Brush/Pallet Chipping Service	1,063	1,800	2,000	-	2,000	0.00%
	05020	Drug & Physical Screening	-	-	-	-	500	
45000	05021	Equipment Rental	2,063	4,879	2,000	(15,475)	102,280	5014.00%
45000	05035	Building Repairs & Maintenance	12,424	9,097	12,500	8,274	-	
	05066	Transportation Costs	-	-	-	-	500	
45000	05087	Computer Repairs & Maint.	-	-	-	-	-	
45000	05088	Computer Services	-	-	-	-	-	
45000	05059 05420	Grounds Maintenance-Landfill	22,313	20,987	25,000	3,292	-	
45000	05074 05400	Applicance Prep	804	1,429	2,000	1,590	1,500	-25.00%
45000	05018 05404	Hazardous Waste Collection	-	-	4,000	-	-	
45000	05016 05405	Discharge Monitoring	2,400	2,030	3,000	1,772	2,500	-16.67%
45000	05015 05407	Environmental Monitoring	12,997	13,906	17,000	10,232	17,425	2.50%
45000	05032 05408	Equipment Maintenance	29,074	50,966	30,000	6,202	30,000	0.00%
45000	05078 05415	Odor Control	-	-	2,000	-	-	
45000	05076 05417	Tire Disposal	921	2,382	1,950	531	2,000	2.56%
45000	05065 05419	Refuse Disposal	394,704	388,328	417,600	239,999	417,915	0.08%
45000	05062 05422	Vector Control	1,036	876	1,200	599	1,200	0.00%
45000	05034 05430	Trailer Maintenance	6,394	4,540	5,000	573	5,000	0.00%
45000	05081 05680	Contingency	-	-	8,595	-	5,000	-41.83%
45000	05011 05623	Universal Waste Expense	-	-	1,000	-	1,000	0.00%
		671,173	724,135	757,457	377,784	786,640	3.85%	
06000 Supplies								
45000	06001	Office Supplies	280	859	1,000	685	1,000	0.00%
45000	06002	Computer Supplies	-	-	-	-	200	
45000	06003	Heating Fuel	10,551	14,418	13,251	7,535	12,740	-3.86%
45000	05040 06005	Small Tools & Misc. Equipment	2,884	2,023	3,500	1,569	3,000	-14.29%
45000	06007	Payment Expenses/Bags	2,541	4,845	4,000	2,205	5,000	25.00%
45000	06004 06101	Uniforms/Clothing	1,225	1,407	1,600	484	1,415	-11.56%
45000	06060 06115	Safety Equipment	686	1,101	1,300	434	1,000	-23.08%
45000	06075 06053	Chemicals	64,072	37,004	65,000	9,160	55,360	-14.83%
45000	06041 06080	Diesel Fuel	42,154	34,804	35,009	5,198	35,000	-0.03%
45000	06043 06090	Gasoline	3,572	2,409	2,515	764	2,835	12.72%
45000	06036 06044	General Maint (Bailing Wire)	1,997	1,338	2,000	1,135	2,000	0.00%
45000	06006 06310	Miscellaneous Supplies	5,396	6,907	4,500	198	6,935	54.11%
		135,359	107,113	133,675	29,365	126,485	-5.38%	

City of Rockland, Maine

APPROPRIATIONS

Department 0042	New Line	WASTE MANAGEMENT	Actual	Actual	02/29/16		Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	Budget FY 16	YTD			
07000 Capital Outlay									
45000	07001	Small Equipment	3,034	2,208	5,000	3,882			
45000	07002	Apportioned Cost	92,304	92,304	92,304	46,152			
			95,338	94,512	97,304	50,034	-	-	-
TOTAL APPROPRIATIONS			1,379,603	1,374,122	1,458,657	722,050	1,282,325	-12.09%	-
			(5,481)		84,535		(176,332)		
			-0.40%		6.15%		-12.09%		

REVENUE

Department 0042	New Line	WASTE MANAGEMENT	Actual	Actual	02/29/16		Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	Budget FY 16	YTD			
45000	03170	DEP Fee Reimbursement	15,025	-	45,420	-	27,000	-40.55%	
45000	03295	Miscellaneous	5,439	3,211	8,453	1,582	5,000	-40.85%	
45000	03365	Transfer from Reserve	-	18,119	-	-	-		
45000	03420	Compost Sales	-	-	2,000	60	1,000	-50.00%	
45000	03421	Pallet Fees	5,932	5,784	5,151	3,917	5,000	-2.93%	
45000	03440	Universal Waste	7,021	6,875	6,536	4,920	6,815	4.27%	
45000	03445	Nonresident Contractor Permits	40	-	200	-	100	-50.00%	
45000	03446	Solid Waste Stickers	88,258	106,869	152,625	14,053	144,000	-5.65%	
45000	03448	PERC Perf Credit	124,240	115,194	90,945	79,299	91,260	0.35%	
45000	03450	Cardboard Disposal	21,247	14,156	21,462	8,244	10,000	-53.41%	
45000	03455	Payment Bag	8,466	17,782	8,750	10,996	15,000	71.43%	
45000	03460	Tires	1,692	1,468	2,110	1,340	1,760	-16.59%	
45000	03465	White Goods & Metal Fees	3,822	3,790	5,648	2,806	3,810	-32.54%	
45000	03466	White Goods & Metals Sales	20,377	17,199	24,733	7,036	18,790	-24.03%	
45000	03467	Metal Can Bundles	1,387	2,524	3,044	564	1,000	-67.15%	
45000	03470	Landfill	619,698	1,081,960	732,002	744,815	441,000	-39.75%	
45000	03480	Plastics	4,960	5,504	7,967	5,509	4,000	-49.79%	
45000	03492	Mixed Paper	8,641	5,527	9,804	2,776	3,000	-69.40%	
45000	03495	Returnables Cans/Bottles	1,698	1,789	1,933	709	1,500	-22.40%	
45000	03496	MSW Tipping Fee	411,455	469,153	460,249	282,232	465,375	1.11%	
			1,349,399	1,876,904	1,589,032	1,170,859	1,245,410	-21.62%	-
TOTAL REVENUE			1,349,399	1,876,904	1,589,032	1,170,859	1,245,410	-21.62%	-
				527,505	(287,872)		(343,622)		
				39.09%	-15.34%		-21.62%		
NET BUDGET			30,204	(502,782)	(130,375)	(448,809)	36,915	-128.31%	-
				(532,986)	372,407		167,290		
				-1764.62%	-74.07%		-128.31%		

Public Assistance

052 General Assistance

City of Rockland, Maine

Fund 10, Department 052 - General Assistance

Sandy Billington, General Assistance Administrator

Department Overview

The General Assistance program is legislated and mandated by State Statute and the Department of Health and Human Services. The purpose of GA is to provide basic necessities such as, food, shelter, utilities, fuel, clothing, and certain other items. It is not intended to provide total support to people and it is the last resort, meaning they shall have exhausted all other programs before they are assisted by the City. The State of Maine reimburses 70% (formerly 50%) of all costs associated with basic necessity expenditures; but administrative costs for running the program are not reimbursable.

Mission

It is the mission of the City's General Assistance program to provide short-term public assistance for our citizens that need help with shelter, food, or basic necessities in a professional, caring, and courteous manner.

Staffing

Nine hours each week is devoted to the benefit of public assistance, by the City's General Assistance Administrator.

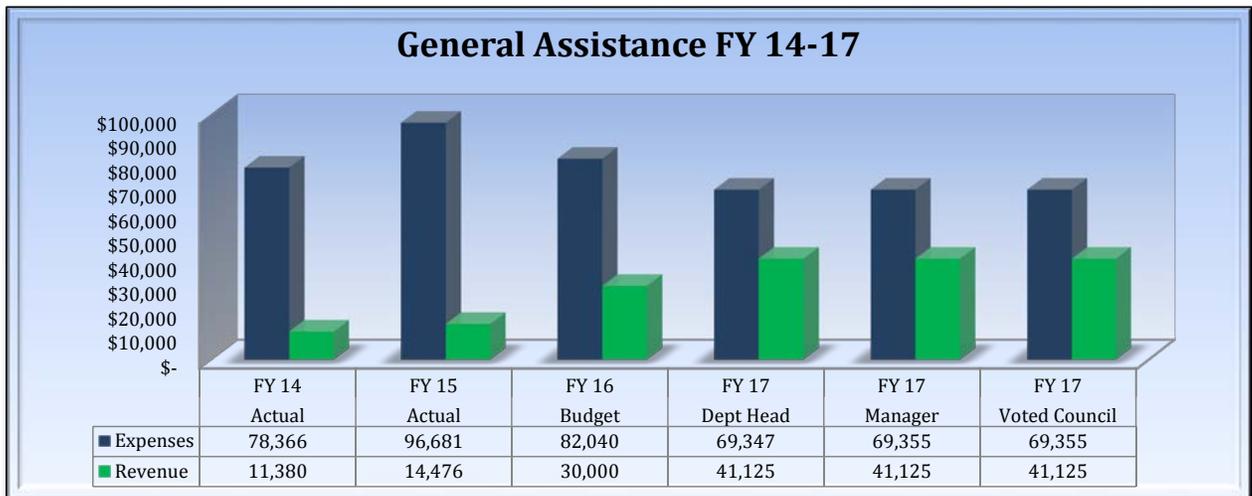
SUMMARY

APPROPRIATIONS

Department 0052 Old Line	New Line	GENERAL ASSISTANCE	Actual		Budget		02/29/16 YTD		Department Head	City Manager	City Council APPROVED	
			FY 14	FY 15	FY 16	FY 16	Proposed FY 17	Proposed FY 17	Proposed FY 17			
04000 Personnel Services			19,427	19,686	19,684	6,443	9,070	-53.9%	9,070	-53.9%	9,070	-53.9%
04100 Employees' Benefits			1,513	1,614	1,626	392	1,172	-27.9%	1,180	-27.4%	1,180	-27.4%
05000 Contractual Services			7,034	275	430	159	53,505	12343.0%	53,505	12343.0%	53,505	12343.0%
06000 Supplies			50,391	75,107	60,300	44,733	5,600	-90.7%	5,600	-90.7%	5,600	-90.7%
07000 Capital Outlay			-	-	-	-	-		-		-	
TOTAL APPROPRIATIONS			78,366	96,681	82,040	51,727	69,347	-15.5%	69,355	-15.5%	69,355	-15.5%
				18,315	(14,641)		(12,693)		(12,685)		(12,685)	
					-18.68%		-15.47%		-15.46%		-15.46%	

REVENUE

Department 0052 Old Line	New Line	GENERAL ASSISTANCE	Actual		Budget		02/29/16 YTD		Department Head	City Manager	City Council APPROVED	
			FY 14	FY 15	FY 16	FY 16	Proposed FY 17	Proposed FY 17	Proposed FY 17			
03000 Revenue			11,380	14,476	30,000	6,269	41,125	37.1%	41,125	37.1%	41,125	37.1%
TOTAL REVENUE			11,380	14,476	30,000	6,269	41,125	37.1%	41,125	37.1%	41,125	37.1%
				3,096	15,524		11,125		11,125		11,125	
					136.41%		37.08%		37.08%		37.08%	
NET BUDGET			66,986	82,205	52,040	45,458	28,222	-45.8%	28,230	-45.8%	28,230	-45.8%
				15,219	(30,165)		(23,818)		(23,810)		(23,810)	
					-45.03%		-45.77%		-45.75%		-45.75%	



City of Rockland, Maine

Fund 10, Department 052- General Assistance

Sandy Billington, General Assistance Administrator

APPROPRIATIONS

Department 0052		GENERAL ASSISTANCE		02/29/16		Department Head	City Manager	City Council APPROVED
Old Line	New Line	Actual FY 14	Actual FY 15	Budget FY 16	YTD	Proposed FY 17	Proposed FY 17	FY 17
04000 Personnel Services								
10052	04001		151	-	-	-	-	-
10052	04002		19,277	19,534	19,684	6,368	9,070 -53.9%	9,070 -53.9%
10052	04004		-	151	-	76	-	-
		19,427	19,686	19,684	6,443	9,070	9,070	9,070
04100 Employees Benefits								
10052	05084	04115	FICA	1,147	1,220	1,220	294	562 -53.9%
10052		04123	ICMA	-	-	-	-	460 -53.3%
10052	05089	04131	Medicare	270	285	285	69	130 -54.4%
10052	05092	04145	Workers' Compensation	96	108	121	29	20 -83.5%
		1,513	1,614	1,626	392	1,172	1,180	1,180
05000 Contractual Services								
10052	05006		-	95	125	79	75 -40.0%	75 -40.0%
10052	05007		30	30	30	30	30 0.0%	30 0.0%
10052	05009		75	150	275	50	150 -45.5%	150 -45.5%
10052	07515	05100	Telephone	46	-	-	-	-
10052	07505	05101	Burial/Funeral Assistance	-	-	-	2,000	2,000
10052	07502	05102	Electricity Assistance	771	-	-	3,000	3,000
10052	07507	05108	Medical Assistance	-	-	-	-	-
10052	07509	05110	Rental/Mortgage Assistance	4,023	-	-	44,250	44,250
10052	07510	XXXXX	RENT	186	-	-	-	-
10052	07511	XXXXX	MOTELS/SPECIAL	1,903	-	-	4,000	4,000
10052	07513	05114	Utilities	-	-	-	-	-
		7,034	275	430	159	53,505	53,505	53,505
06000 Supplies								
10052	06001	06001	Office Supplies	188	87	150	-	100 -33.3%
10052	07001		Equipment Outlay	-	-	150	-	-100.0%
10052	07503	06303	Food Assistance	100	-	-	500	500
10052	07504	06304	Heating Fuel Assistance	-	-	-	3,000	3,000
10052	07500	06305	Household/Personal Supplies	49,867	75,020	60,000	44,733	2,000 -96.7%
10052	07501	06305	Clothing	50	-	-	-	-
10052	07508	06310	MISCELLANEOUS	186	-	-	-	-
		50,391	75,107	60,300	44,733	5,600	5,600	5,600
07000 Capital Outlay								
		-	-	-	-	-	-	-
TOTAL APPROPRIATIONS		78,366	96,681	82,040	51,727	69,347	69,355	69,355

Note: Highlighted is reimburseable.

18,315	(14,641)	(12,693)	(12,685)	(12,685)
23.37%	-18.68%	-15.47%	-15.46%	-15.46%

REVENUE

Department 0052		GENERAL ASSISTANCE		02/29/16		Department Head	City Manager	City Council APPROVED
Old Line	New Line	Actual FY 14	Actual FY 15	Budget FY 16	YTD	Proposed FY 17	Proposed FY 17	FY 17
10052	03220		11,380	13,934	30,000	6,269	41,125 37.1%	41,125 37.08%
10052	03225		-	542	-	-	-	-
		11,380	14,476	30,000	6,269	41,125	41,125	41,125
TOTAL REVENUE		11,380	14,476	30,000	6,269	41,125	41,125	41,125
			3,096	15,524		11,125	11,125	11,125
			27.21%	107.24%		37.08%	37.08%	37.08%
NET BUDGET		66,986	82,205	52,040	45,458	28,222	28,230	28,230
			15,219	(30,165)	Under Budget	(23,818)	(23,810)	(23,810)
			22.72%	-36.69%		-45.77%	-45.75%	-45.75%

Culture and Recreation

**061 Harbor, Waterfront,
Fish Pier
062 Library
063 Recreation**

"Physical fitness is not only one of the most important keys to a healthy body; it is the basis of dynamic and creative intellectual activity." – John F. Kennedy

Fund 10, Department 061 - Harbor and Waterfront

Matthew Ripley, Harbormaster

Department Overview

Article VII of the City's Code established the Harbor and Waterfront Department. The Harbormaster, appointed by the City Manager, is charged with the care of the Harbor and the "vessels and rafts therein". The Harbormaster is required to board vessels or steamers as soon as possible upon their arrival in Rockland's Harbor, to relay the regulations of the port and to direct the vessels to where they may anchor. The Harbormaster is also responsible for removal of watercraft as may be necessary.

In addition, the Harbormaster enforces rules and regulations of the City set by ordinance, or as established by law, including Channel Rules of the Harbor.

Mission

It is the Harbormaster's mission to provide a safe, clean, and friendly environment for the boating public, both for commercial and private boaters. The Harbormaster endeavors to maintain the city's mooring areas; to keep a clean and environmentally friendly public areas; to retain an effective municipal/commercial fish pier; to provide assistance to local and visiting boaters in use of the city's Harbor; to enforcement of state and federal laws; and to respond to emergencies whenever may be necessary.

Staffing

Staffing includes a full-time Harbormaster, a Deputy Harbormaster, and seasonal employees during summer months.

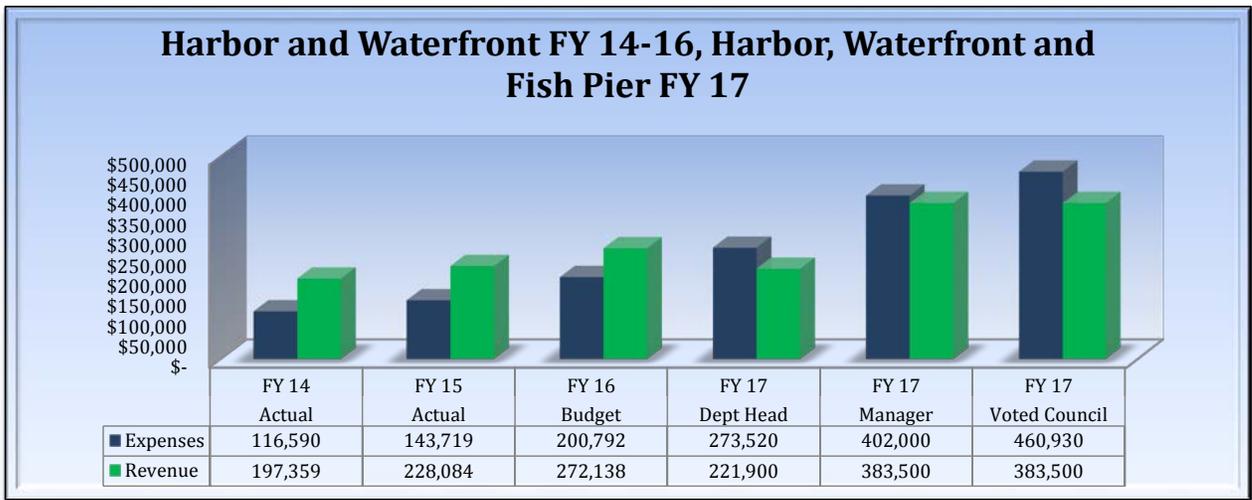
SUMMARY

APPROPRIATIONS

Department 0061 Old Line	New Line	Harbor and WATERFRONT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		04000 Personnel Services	23,192	27,819	78,158	46,800	81,000 4%	133,150 70%	139,650 79%
		04100 Employees' Benefits	23,589	24,788	27,655	13,993	31,960 16%	37,350 35%	61,780 123%
		05000 Contractual Services	51,744	55,818	54,010	31,144	116,360 115%	157,060 191%	157,060 191%
		06000 Supplies	13,186	14,144	14,287	11,055	19,200 34%	24,440 71%	24,440 71%
		07000 Capital Outlay	4,880	21,150	26,682	-	25,000 -6%	50,000 87%	78,000 192%
TOTAL APPROPRIATIONS			116,590	143,719	200,792	102,991	273,520 36%	402,000 100%	460,930 130%
				27,128	57,073		72,728	201,208	260,138
					48.95%		36.22%	100.21%	129.56%

REVENUE

Department 0061 Old Line	New Line	Harbor and WATERFRONT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
		03000 Revenue	197,359	228,084	272,138	219,103	221,900 -18%	383,500 40.9%	383,500 0
TOTAL REVENUE			197,359	228,084	272,138	219,103	221,900 -18%	383,500 40.9%	383,500 0
				30,725	44,054		(50,238)	111,362	111,362
					22.32%		-18.46%	40.92%	40.92%
NET BUDGET			(80,769)	(84,366)	(71,346)	(116,112)	51,620 -172%	18,500 -125.9%	77,430 -209%
				(3,597)	13,020		122,966	89,846	148,776
					-16.12%		-172.35%	125.93%	-208.53%



City of Rockland, Maine

Fund 10, Department 061 - Harbor , Waterfront, and Fish Pier

Matthew Ripley, Harbormaster

APPROPRIATIONS

Department 0061		HARBOR and WATERFRONT		Actual FY 14	Actual FY 15	Budget FY 16	02/29/16 YTD	Department Head Proposed FY 17	City Manager Proposed FY 17	City Council APPROVED FY 17
04000 Personnel Services										
10061	04000	Harbormaster				52,158	26,072	55,000	56,710 8.73%	56,710 8.73%
		04013 Deputy Harbormaster							50,440	\$50,440
10061	04002	Part-time Wages	23,192	27,217	26,000	18,894		26,000 0.00%	\$26,000 0.00%	\$32,500 25.00%
10061	04004	Holiday Wages	-	602	-	1,834		-		
			23,192	27,819	78,158	46,800		81,000 3.64%	133,150 70.36%	139,650 78.68%
04100 Employees Benefits										
10061	04105	Cell Phone Stipend	-	-	-	-		480	960	960
10061	04109	Dental Insurance	-	-	-	-		1,300	1,300	2,590
10061	05084	04115 FICA	4,809	4,816	5,269	2,907	5,030 -4.54%	4,740 -10.04%	4,740	5,150 -2.26%
10061	05085	04119 Health Insurance	8,486	8,795	9,445	6,015	16,460 74.27%	16,460 74.27%	16,460	34,530 265.59%
10061		04121 Health Insurance Stipend							2,200	2,200
10061	05082	04123 ICMA Retirement	2,549	2,634	2,634	1,446	2,750 4.40%	-	-	2,840 7.82%
10061	04030	04127 Longevity	340	520	520	280	-	-	-	-
		04129 MPERS							4,800	4,800
10061	05089	04131 Medicare	1,135	1,126	1,232	680	1,180 -4.22%	380 -69.16%	380	2,030 64.77%
10061	05020	04139 Travel Stipend	916	906	1,800	-	-	-	-	-
10061	05092	04145 Workers' Compensation	5,353	5,991	6,755	2,666	4,410 -34.72%	6,330 -6.29%	6,330	\$6,330 -6.29%
10061		04146 Vision Insurance	-	-	-	-	350	180	180	350
			23,589	24,788	27,655	13,993		31,960 15.57%	37,350 35.06%	61,780 123.40%
05000 Contractual Services										
10061	05001	Telephone	1,105	1,136	712	612	810 13.76%	1,810 154.21%	1,810	1,810 154.21%
10061	05002	Electricity	4,636	6,570	7,382	4,059	7,000 -5.17%	21,000 184.48%	21,000	21,000 184.48%
10061	05003	Water & Sewer	4,592	6,319	8,166	4,585	84,000 928.66%	86,200 955.60%	86,200	86,200 955.60%
10061	05006	Travel Reimbursement	92	97	250	92	250 0.00%	250 0.00%	250	250 0.00%
10061	05009	Training & Education	250	450	300	-	300 0.00%	300 0.00%	300	300 0.00%
10061	05016	xxxxx Boat Registrations-State	9,973	9,429	9,000	2,253				
10061	05017	xxxxx Boat Sales Tax-State Share	6,627	6,380	5,200	4,358				
10061		05020 Drug & Physical Screening	-	-	-	-	1,000	1,000	1,000	1,000
10061	05029	Mooring Maintenance	1,986	1,700	2,000	127	2,000 0.00%	2,000 0.00%	2,000	2,000 0.00%
10061	05031	05030 Boat Repairs & Maintenance	129	1,327	1,000	-	1,000 0.00%	1,000 0.00%	1,000	1,000 0.00%
10061	05035	Building Repairs & Maintenance	5,237	7,554	5,000	3,758	5,000 0.00%	11,000 120.00%	11,000	11,000 120.00%
10061	05036	Piers & Float Maintenance	15,968	14,432	13,000	10,133	13,000 0.00%	14,500 11.54%	14,500	14,500 11.54%
10061	05040	Cruise Ship Expense	-	-	1,000	255	1,000 0.00%	1,000 0.00%	1,000	1,000 0.00%
10061	07007	05375 State Lease Fee	1,148	424	1,000	912	1,000 0.00%	1,000 0.00%	1,000	1,000 0.00%
10034		05402 General Repairs & Maintenance							16,000	16,000
			51,744	55,818	54,010	31,144		116,360 115.44%	157,060 190.80%	157,060 190.80%
06000 Supplies										
10061	06001	Office Supplies	1,343	1,631	1,750	328	1,750 0.00%	2,250 28.57%	2,250	2,250 28.57%
10061	06002	06090 Gasoline	249	206	183	127	200 9.29%	200 9.29%	200	200 9.29%
10061	06003	Heating Fuel	1,363	2,235	1,509	236	1,400 -7.22%	840 -44.33%	840	840 -44.33%
10061	06005	06008 Public Amenities	10,231	9,728	9,995	10,363	15,000 50.08%	20,000 100.10%	20,000	20,000 100.10%
10061	06004	06101 Uniforms/Clothing	-	344	600	-	600 0.00%	900 50.00%	900	900 50.00%
10061	06006	06310 Miscellaneous Supplies	-	-	250	-	250 0.00%	250 0.00%	250	250 0.00%
			13,186	14,144	14,287	11,055		19,200 34.39%	24,440 71.06%	24,440 71.06%
07000 Capital Outlay										
10061	07015	Port Development Reserve	4,880	21,150	26,682	-	25,000 -6.30%	25,000 -6.30%	25,000	25,000 -6.30%
10034	07001	07551 Fish Pier Capital Reserve CIP-1							25,000	25,000
10061		07467 Harbor Park Redesign								28,000
			4,880	21,150	26,682	-		25,000 -6.30%	50,000 87.39%	78,000 192.33%
TOTAL APPROPRIATIONS			116,590	143,719	200,792	102,991		273,520 36.22%	402,000 100.21%	460,930 129.56%
				27,128	57,073		72,728	201,208	260,138	
				23.27%	48.95%		36.22%	100.21%	129.56%	

City of Rockland, Maine

REVENUE

Department 0061	New Line	HARBOR and WATERFRONT	Actual		Budget		02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17				
10034	03147	Vending Machines							750	750 0.0%
10061	03155	Boat Excise Tax	13,780	19,543	17,000	4,899	17,000	19,000	19,000	19,000
10061	03156	Boat Registration Fee	10,909	10,515	10,000	2,665	10,000	10,500	10,500	10,500 5.00%
10061	03157	Boat Sales Tax	6,627	6,380	5,200	4,358	5,500	6,000	6,000	6,000 15.38%
10034	03218	Miscellaneous						1,100	1,100	1,100
10034	03225	Fuel Sales						2,000	2,000	2,000
10034	03251	Permits						109,000	109,000	109,000
10034	03255	Product Wharfage						3,500	3,500	3,500
10034	03260	Docking Fees						8,500	8,500	8,500
10034	03265	Utilities						14,000	14,000	14,000
10034	03270	Gear Storage						2,750	2,750	2,750
10061	03340	Mooring Permits	49,055	50,286	60,000	19,616	55,000	60,000	60,000	60,000 0.00%
10061	03341	Mooring Rental	16,789	16,595	15,000	16,129	16,000	17,000	17,000	17,000 13.33%
10061	03342	Docking Fees	58,544	57,457	55,000	58,047	57,000	60,000	60,000	60,000 9.09%
10061	03343	Miscellaneous Harbor	1,831	912	-	7,150	1,000	1,000	1,000	1,000
10061	03344	Waterfront Events	11,700	28,736	25,550	28,198	26,000	28,000	28,000	28,000 9.59%
10061	03345	Cruise Ship Fees	10,144	12,480	35,576	34,440	15,000	15,000	15,000	15,000 -57.84%
10061	03347	Cruise Ship Fees-Port Development	5,014	4,992	26,682	19,530	-	-	-	-
10061	03360	Waterfront Lease	5,864	10,173	12,130	13,590	10,000	14,000	14,000	14,000 15.42%
10061	03380	Public Amenties	4,513	7,562	7,500	9,412	7,500	9,000	9,000	9,000 20.00%
10061	03385	Mooring Block Sales	200	400	500	-	400	400	400	400 -20.00%
10061	03400	Snow Marine Park Events	2,390	2,055	2,000	1,070	1,500	2,000	2,000	2,000 0.00%
			197,359	228,084	272,138	219,103	221,900	383,500	383,500	0
TOTAL REVENUE			197,359	228,084	272,138	219,103	221,900	383,500	383,500	40.92%
				30,725	44,054		(50,238)	111,362	111,362	
				15.57%	19.31%		-18.46%	40.92%	40.92%	
NET BUDGET			(80,769)	(84,366)	(71,346)	(116,112)	51,620	18,500	77,430	-208.53%
				(3,597)	13,020	Under Budget	122,966	89,846	148,776	
				4.45%	-15.43%		-172.35%	-125.93%	-208.53%	

Fund 10, Department 062 - Library

Amy Levine, Library Director

Department Overview

Article X of the City's Code established the City's Library Department managed by the Library Director. The Library serves the citizens of Rockland by providing the community with a comprehensive collection of materials and programs in a variety of formats, which educate, enlighten and engage; they organize the resources for ease of access, and offer guidance in their usage; they support easy access to library collections throughout Maine and beyond. The Library staff is responsive to the changing requirements and requests of the public. They maintain a safe, beautiful and inspiring facility with an infrastructure that supports its collections, programs, services, current and future technology, as well as providing a meeting place to local organizations and entities, and the Library is staffed with friendly, professional, knowledgeable people who offer excellent service.

The Mayor appoints and the Council confirms a five member Library Advisory Committee. The duties of the Committee are to advise the City Council on library policy, encourage citizen participation, cooperate with the Director in adult education programming; and to assist in obtaining public donations for support of the library.

Mission

The mission of the Rockland Public Library is to foster community & connection; offer resources to inspire & encourage ideas; and provide the tools to explore our past, enrich our present, and empower our future.

Staffing

The library is staffed by a Director, a Deputy Director, Children's/Youth Librarian, and three (3) Library Techs. In addition to these full-time positions, the library employs seven part-time and on-call part-time staff, who, combined, regularly contribute an additional 24.5 hours of service each week.

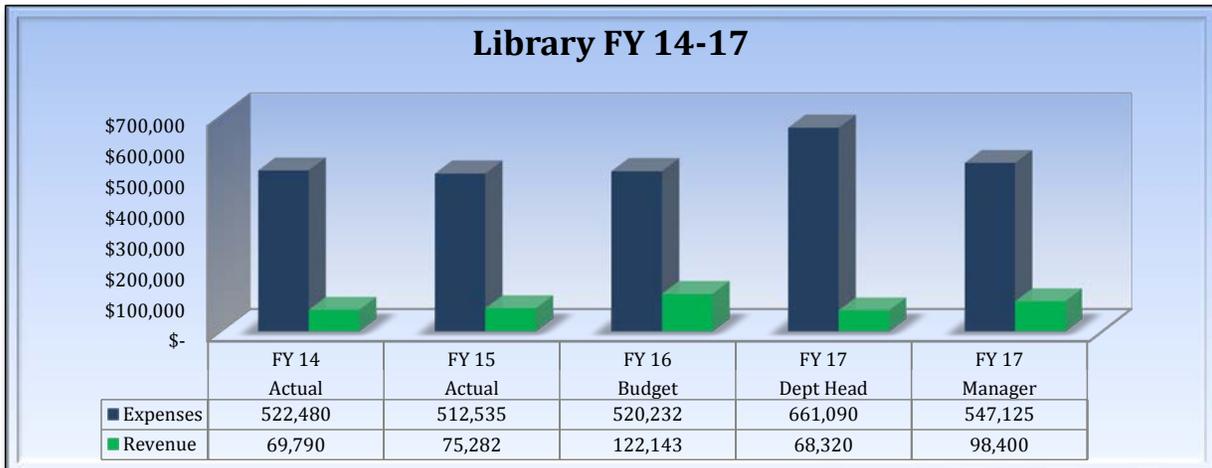
SUMMARY

Appropriations

Department 0062	LIBRARY	Actual		Budget		02/29/16 YTD		Department Head		City Manager		City Council APPROVED	
		Old Line	New Line	FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	Proposed FY 17	Proposed FY 17	FY 17	FY 17	
04000 Personnel Services				259,403	253,651	258,809	168,342	317,755	22.78%	270,880	4.7%	270,880	4.7%
04100 Employees' Benefits				123,627	121,297	145,246	102,560	171,380	17.99%	147,290	1.4%	147,290	1.4%
05000 Contractual Services				55,258	58,898	58,579	25,388	72,555	23.86%	71,555	22.2%	71,555	22.2%
06000 Supplies				84,192	78,689	57,598	33,330	81,650	41.76%	57,400	-0.3%	57,400	-0.3%
07000 Capital Outlay				-	-	-	-	17,750	-	-	0.0%	-	-
TOTAL APPROPRIATIONS				522,480	512,535	520,232	329,620	661,090	27.08%	547,125	27.9%	547,125	27.9%
				(9,945)		7,697		140,858		26,893		26,893	
							1.47%			27.08%	5.17%		5.17%

Revenue

Department 0062	LIBRARY	Actual		Budget		02/29/16 YTD		Department Head		City Manager		City Council APPROVED	
		Old Line	New Line	FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	Proposed FY 17	Proposed FY 17	FY 17	FY 17	
03000 Revenue				69,790	75,282	122,143	85,362	68,320	-44.1%	98,400	-19.4%	98,400	-19.4%
TOTAL REVENUE				69,790	75,282	122,143	85,362	68,320	-44.1%	98,400	-19.4%	98,400	-19.4%
					5,492	46,861		(53,823)		(23,743)		(23,743)	
						67.15%		-44.07%		-19.44%		-19.44%	
NET BUDGET				452,691	437,253	398,089	244,258	592,770	48.9%	448,725	12.7%	448,725	12.7%
				(15,437)		(39,164)		194,681		50,636		50,636	
							-8.65%			48.90%	12.72%		12.72%



City of Rockland, Maine

Fund 10, Department 062- Library

Amy Levine, Library Director

APPROPRIATIONS

Department 0062	New Line	ROCKLAND PUBLIC LIBRARY	Actual FY 14	Actual FY 15	02/29/16		Department Head	City Manager		City Council APPROVED FY 17		
					Budget FY 16	YTD		Proposed FY 17	Proposed FY 17			
04000 Personnel Services												
10062		04000 Library Director					62,420		62,420	\$62,420		
10062		04013 Deputy Library Director					41,480		41,480	\$41,480		
10062	04001	Full-time Wages	227,574	218,423	240,013	147,003	180,380	-24.85%	147,230	\$147,230 -38.66%		
10062	04002	Part-time Wages	31,802	32,350	18,046	10,952	32,725	81.34%	19,000	\$19,000 5.29%		
10062	04003	Overtime Wages	27	170	750	152	750	0.00%	750	\$750 0.00%		
10062	04004	Holiday Wages	-	2,708	-	10,235	-			-		
			259,403	253,651	258,809	168,342	317,755	22.78%	270,880	4.7%	270,880	4.66%
04100 Employees Benefits												
10062		04105 Cell Phone					480		480	480		
10062		04109 Dental Insurance	-	-	-	-	680		680	680		
10062	04025	04113 Educational Stipend	3,310	4,390	5,980	3,910	5,980		5,980	5,980		
10062	05084	04115 FICA	16,186	15,887	17,384	9,870	20,290	16.72%	17,290	-0.5%	17,290	-0.54%
10062	05085	04119 Health Insurance	86,615	82,221	98,413	74,253	114,040	15.88%	97,770	-0.7%	97,770	-0.65%
10062	05082	04123 ICMA Retirement	3,479	4,213	7,381	3,628	5,770	-21.83%	5,770	-21.8%	5,770	-21.83%
10062	04030	04127 Longevity	2,460	1,500	1,560	1,815	2,600	66.67%	2,600	66.7%	2,600	66.67%
10062	05083	04129 Maine Public Employees Retirement	6,579	8,043	9,013	6,085	16,480	82.85%	11,880	31.8%	11,880	31.81%
10062	05089	04131 Medicare	3,849	3,757	4,066	2,362	4,210	3.54%	3,510	-13.7%	3,510	-13.67%
10062	05092	04145 Workers' Compensation	1,149	1,285	1,449	636	1,220	-15.80%	1,220		1,220	
10062		04146 Vision Insurance	-	-	-	-	110		110	110		
			123,627	121,297	145,246	102,560	171,380	17.99%	147,290	1.4%	147,290	1.41%
05000 Contractual Services												
10062	05001	Telephone	1,527	1,674	1,671	973	1,400	-16.2%	1,400	-16.2%	1,400	-16.22%
10062	05002	Electricity	15,219	15,122	14,997	10,399	16,000	6.7%	16,000	6.7%	16,000	6.69%
10062	05003	Water & Sewer	2,173	573	1,286	1,398	1,825	41.9%	1,825	41.9%	1,825	41.91%
10062	05004	Printing/Advertising	82	266	-	-	-		-	-		
10062	05005	Postage	-	-	475	42	500	5.3%	500	5.3%	500	5.26%
10062	05006	Travel Reimbursement	844	308	-	-	700		700	700		
10062	05007	Dues & Memberships	175	175	-	-	175		175	175		
10062	05008	Training & Education	462	430	-	-	500		500	500		
10062		05012 Mowing & Landscaping					2,000		2,000	2,000		
10062	05034	Office Equip Repairs & Maint	1,600	1,340	1,650	1,186	1,650	0.0%	1,650	0.0%	1,650	0.00%
10062	05035	Building Repairs & Maint	26,846	32,330	38,500	7,132	5,320	-86.2%	5,320	0.0%	5,320	-86.18%
10062	05088	Computer Repairs & Maint	6,330	6,682	-	57	5,800		5,800	5,800		
10062		05162 Statewide Catalog (Minerva)	-	-	-	4,200	4,500		4,500	4,500		
10062		05302 Cleaning Service	-	-	-	-	17,280		17,280	17,280		
10062		05402 Snow Removal	-	-	-	-	1,000		-	-		
10062		05409 Electrical Repairs & Maintenance	-	-	-	-	3,200		3,200	3,200		
10062		05406 HVAC Repairs & Maintenance	-	-	-	-	9,000		9,000	9,000		
10062		05410 Elevator Repairs & Maintenance	-	-	-	-	1,705		1,705	1,705		
			55,258	58,898	58,579	25,388	72,555	23.9%	71,555	22.2%	71,555	22.15%
06000 Supplies												
10062	06001	Office Supplies	5,158	5,067	4,900	1,550	5,000	2.0%	5,000	2.0%	5,000	2.04%
10062		06002 Computer Supplies	-	-	-	-	850		850	850		
10062	06003	Heating Fuel	18,665	19,217	15,042	4,670	15,000	-0.3%	10,750	-28.5%	10,750	-28.53%
10062		06039 Building Maintenance Supplies					500		500	500		
10062		06052 Restroom Supplies					1,000		1,000	1,000		
10062	06020	Books	40,584	33,968	19,756	10,997	40,000	102.5%	20,000	1.2%	20,000	1.24%
10062	06006	06310 Miscellaneous Supplies	2,158	2,300	1,800	768	1,800	0.0%	1,800	0.0%	1,800	0.00%
10062	06023	Magazines & Newspapers	4,004	4,097	2,100	774	3,500	66.7%	3,500	66.7%	3,500	66.67%
10062	07003	06315 Supplies-rmsmnt "Donated Fnds"	13,623	14,040	14,000	14,572	14,000	0.0%	14,000	0.0%	14,000	0.00%
			84,192	78,689	57,598	33,330	81,650	41.8%	57,400	-0.3%	57,400	-0.34%
07000 Capital Outlay												
10062		07310 Telephone System Upgrade					6,000			-		
10062		07355 Smoke Detection System					11,750			-		
			-	-	-	-	17,750		-	-		
TOTAL APPROPRIATIONS			522,480	512,535	520,232	329,620	661,090	27.1%	547,125	5.2%	547,125	5.2%
				(9,945)		7,697		140,858		26,893		26,893
				-1.90%		1.47%		27.08%		5.17%		5.17%

City of Rockland, Maine

Fund 10, Department 062- Library

Amy Levine, Library Director

REVENUE

10062	ROCKLAND PUBLIC LIBRARY	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
10062 03147	Donations	2,594	5,456	39,500	15,845	5,000 -87%	5,000 -87.3%	5,000 -87.34%
10062 03150	Restricted Donations	15,836	13,408	31,000	39,645	14,000 -55%	14,000 -54.8%	14,000 -54.84%
10062 03160	Trust Funds	8,200	7,200	8,443	2,371	7,200 -15%	7,200 -14.7%	7,200 -14.72%
10062 03170	North Haven Interlocal	10,000	10,000	-	-	-	-	-
10062 03225	Non-resident Cards	12,502	17,594	22,000	13,964	22,000 0%	22,000 0.0%	22,000 0.00%
10062 03230	Copies	6,142	6,580	7,500	4,450	7,500 0%	7,500 0.0%	7,500 0.00%
10062 03235	Room Rental	2,941	4,316	2,500	2,361	2,500 0%	2,500 0.0%	2,500 0.00%
10062 03260	Overdue Books	10,086	9,770	10,000	6,128	9,000 -10%	9,000 -10.0%	9,000 -10.00%
10062 03265	TIF Revenue						30,000	30,000
10062 03265 03267	Books	1,489	958	1,200	598	1,120 -7%	1,200 0.0%	1,200 0.00%
		69,790	75,282	122,143	85,362	68,320 -44%	98,400 -19.4%	98,400 -19.44%
TOTAL REVENUE		69,790	75,282	122,143	85,362	68,320 -44%	98,400 -19.4%	98,400 -19.44%
			5,492 7.87%	46,861 62.25%		(53,823) -44.07%	(23,743) -19.44%	(23,743) -19.44%
NET BUDGET		452,691	437,253	398,089	244,258	592,770 49%	448,725 12.7%	448,725 12.72%
			(15,437) -3.41%	(39,164) -8.96%	Under Budget	194,681 48.90%	50,636 12.72%	50,636 12.72%

City of Rockland, Maine

Fund 10, Department 063 - Recreation

James D. Chaousis II., City Manager

APPROPRIATIONS

Department 0062		RECREATION	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED			
Old Line	New Line		FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17			
04000 Personnel Services												
10063	04001	Full-time Wages	103,571	105,133	25,720	61,607						
10063	04002	Part-time Wages	101,696	106,693	25,247	45,387						
10063	04003	Overtime Wages	-	-	-	-						
10063	04004	Holiday Wages	-	1,187	-	1,715						
			205,267	213,013	50,967	108,709	-	-	-			
04100 Employees Benefits												
10063	04105	Cell Phone Stipend	960	960	-	400						
10063	05084	04115 FICA	11,723	12,712	3,160	9,298						
10063	05085	04119 Health Insurance	35,668	35,362	9,320	18,160						
10063	05082	04123 ICMA Retirement	5,765	5,205	1,286	6,505						
10063	04030	04127 Longevity	1,340	1,205	350	480						
10063	05089	04131 Medicare	2,773	2,973	739	2,174						
10063	05092	04145 Workers' Compensation	4,283	4,793	1,350	1,293						
			62,513	63,210	16,205	38,310	-	-	-			
05000 Contractual Services												
10063	05001	Telephone	1,942	1,942	1,943	1,134	1,600	-17.65%	1,600	-17.7%	1,600	-17.7%
10063	05002	Electricity	8,329	8,404	8,239	4,743	7,200	-12.61%	7,200	-12.6%	7,200	-12.6%
10063	05003	Water & Sewer	2,290	1,861	1,993	1,619	2,000	0.35%	225	-88.7%	225	-88.7%
10063	07004	05010 Day Camp Bus Driver	-	-	2,500	-	-	-	-	-	-	-
10063	05014	Recreation Programs	15,870	15,985	10,000	66,802	-	-	-	-	-	-
10063	05034	Equipment Repairs & Maint.	2,720	2,032	1,100	529	1,000	-9.09%	1,000	-9.1%	1,000	-9.1%
10063	05035	Building Repairs & Maint.	40,614	19,887	25,000	13,604	50,000	100.0%	50,000	100.0%	50,000	100.0%
10063	05036	Public Restroom Maint.	-	1,795	-	6,349	-	-	-	-	2,000	-
10063	05037	Park Maintenance	13,500	4,001	7,000	1,229	3,000	-57.14%	3,000	-57.1%	3,000	-57.1%
10063	05099	Parks Commission	-	3,999	6,500	-	6,500	0.00%	6,500	0.0%	6,500	0.0%
10063	05010	05378 Misc. Contract-YMCA	-	-	97,500	-	130,000	33.33%	130,000	33.3%	130,000	33.3%
10063	07010	05670 Senior Programs	995	-	-	-	-	-	-	-	-	-
			86,259	59,907	161,775	96,009	201,300	24.43%	199,525	23.3%	201,525	24.6%
06000 Supplies												
10063	06001	Office Supplies	275	54	250	16	-	-	-	-	-	-
10063	06003	Heating Fuel	30,667	30,565	16,559	6,459	8,000	-51.69%	11,800	-28.7%	11,800	-28.7%
10063	06002	06090 Gasoline	-	295	300	-	-	-	-	-	-	-
10063	06004	06101 Uniforms/Clothing	-	-	200	-	-	-	-	-	-	-
10063	06007	06320 Merchandise Sold	6,833	9,081	-	1,080	-	-	-	-	-	-
			37,774	39,996	17,309	7,555	8,000	-53.8%	11,800	-31.8%	11,800	-31.8%
07000 Capital Outlay												
			-	-	-	-	-	-	-	-	-	-
TOTAL APPROPRIATIONS			391,814	376,126	246,256	250,583	209,300	-15.01%	211,325	-14.2%	213,325	-13.37%

			(15,687)	(129,870)	(36,956)	(34,931)	(32,931)
			-4.00%	-33.15%	-15.01%	-14.18%	-13.37%

REVENUE

Department 0062		RECREATION	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
Old Line	New Line		FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17
10063	03140	Rentals	5,018	3,240	-	2,188	-	-	-
10063	03143	After School Program	7,109	5,004	-	-	-	-	-
10063	03144	Summer Day Camp	23,504	21,103	27,000	22,465	-	-	-
10063	03145	Programs	9,725	12,227	4,500	2,827	-	-	-
10063	03146	Memberships	75	995	-	25	-	-	-
10063	03147	Vending & Concessions	3,122	18,997	-	157	-	-	-
			48,553	61,566	31,500	27,662	-	-	-
TOTAL REVENUE			48,553	61,566	31,500	27,662	-	-	-

			13,013	(30,066)			
			26.80%	-48.84%			

NET BUDGET			343,260	314,560	214,756	222,921	209,300	-2.54%	211,325	-1.6%	213,325	-0.7%
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			(28,700)	(99,804)	Over Budget	(5,456)	(3,431)	(1,431)
			-8.36%	-31.73%		-2.54%	-1.60%	-0.67%

Debt Services

081 Debt
Short and Long Term
Municipal Debt

City of Rockland, Maine

Fund 10, Department 081 - Debt

Virginia B. Lindsey, Finance Director

MRSA § 5702 (in part)

"No municipality may incur debt which would cause its total debt outstanding at any time, exclusive of debt incurred for school purposes, for storm or sanitary sewer purposes, for energy facility purposes or for municipal airport purposes to exceed 7 1/2% of its last full state valuation, or any lower percentage or amount that a municipality may set. A municipality may incur debt for school purposes to an amount outstanding at any time not exceeding 10% of its last full state valuation, or any lower percentage or amount that a municipality may set, for storm or sanitary sewer purposes to an amount outstanding at any time not exceeding 7 1/2% of its last full state valuation, or any lower percentage or amount that a municipality may set, and for municipal airport and special district purposes to an amount outstanding at any time not exceeding 3% of its last full state valuation, or any lower percentage or amount that a municipality may set; provided, however, that in no event may any municipality incur debt which would cause its total debt outstanding at any time to exceed 15% of its last full state valuation, or any lower percentage or amount that a municipality may set."

"For the purposes of this section, full state valuation shall mean the state valuation most recently certified by the State Tax Assessor pursuant to Title 36, section 381, adjusted to 100%."

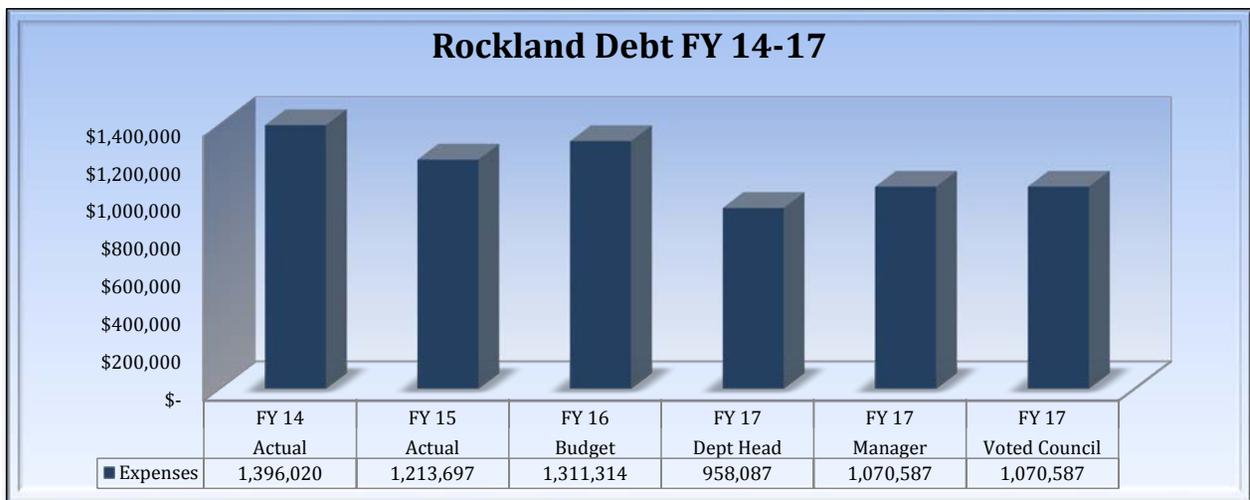
MRSA § 5703 (in part)

Limitations on municipal debt shall not apply to funds received in trust, any loan which has been funded or refunded, notes issued for federal or state aid, or revenue sharing money, tax anticipation loans, notes maturing in the current fiscal year, indebtedness of entities other than the municipality, or any indebtedness to any municipality to the Maine School Building Authority debt issued under chapter 213, obligations paid from revenues in the current municipal year or from revenues previously appropriated or committed, and the state reimbursable portion of any school debt.

SUMMARY

Appropriations

Department 0081	New Line	DEBT	Actual		Budget		02/29/16 YTD		Department Head		City Manager		City Council APPROVED	
			FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	Proposed FY 17	Proposed FY 17	FY 17			
			984,336	856,728	882,619	581,214	636,707	-28%	636,707	-27.9%	636,707	-27.9%	636,707	-27.9%
			-	-	2,500	-	2,500	0%	2,500	0.0%	2,500	0.0%	2,500	0.0%
			411,685	356,969	426,195	343,286	318,880	-25%	431,380	1.2%	431,380	1.2%	431,380	1.2%
TOTAL APPROPRIATIONS			1,396,020	1,213,697	1,311,314	924,500	958,087	-27%	1,070,587	-18.4%	1,070,587	-18.4%	1,070,587	-18.4%
				(182,323)	97,617		(353,227)		(240,727)		(240,727)		(240,727)	
					6.99%		-26.94%		-18.36%		-18.36%		-18.36%	
NET BUDGET			1,396,020	1,213,697	1,311,314	924,500	958,087	-26.94%	1,070,587	-18.4%	1,070,587	-18.4%	1,070,587	-18.4%
				(182,323)	97,617		(353,227)		(240,727)		(240,727)		(240,727)	
				-13.06%	8.04%		-26.94%		-18.36%		-18.36%		-18.36%	



City of Rockland, Maine

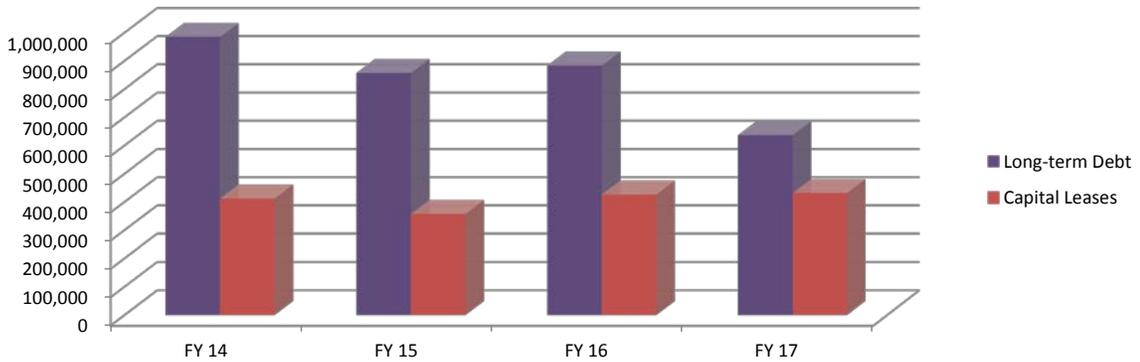
Fund 10, Department 081 - Debt

Virginia B. Lindsey, Finance Director

APPROPRIATIONS

Department 0012		DEBT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
Old Line	New Line		FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17
08000 Debt Payments									
45000	08011	Prin & Intrst-Waste Management	155,182	88,097	120,400	24,947			
10081	08011	Principal on Bonds	641,743	594,047	573,642	460,223	443,491	443,491	443,491
10081	08012	Interest on Bonds	187,410	174,585	188,577	96,043	193,216	193,216	193,216
			984,336	856,728	882,619	581,214	636,707	636,707	636,707
08010 Interest Payments									
10081	08010	TAN Interest	-	-	2,500	-	2,500	2,500	2,500
			-	-	2,500	-	2,500	2,500	
08020 Capital Lease Payments									
10081	08021	Equipment Lease Payments	411,685	291,296	359,053	343,286	318,880	318,880	318,880
10081	08022	CIP Projects-Infrastructure 10 Years						33,000	33,000
10081	08022	CIP Projects-Equipment	-	65,673	67,142	-		79,500	79,500
			411,685	356,969	426,195	343,286	318,880	431,380	431,380
TOTAL APPROPRIATIONS			1,396,020	1,213,697	1,311,314	924,500	958,087	1,070,587	1,070,587
				(182,323) -13.06%	97,617 8.04%	Under Budget	(353,227) -26.94%	(240,727) -18.36%	(240,727) -18.36%

Debt Payments FY 14-17



City of Rockland, Maine

CITY LONG-TERM DEBT Fund 10, 10081-

Lending Institution	Department	Purpose	Origination Date	Final Payment Date	Original Amount	Current Payments Due FYE 2017		
						Principal 08011	Interest 08012	Total
MMBB 2016-estimate	Public Works	Old County Road Reconstruction	05/20/16	02/28/04	\$1,600,000	\$0	\$40,800	\$40,800
Key Bank 2014	Rockland Recreation	Water Intrusion Project	07/18/14	06/15/03	\$675,000	\$67,500	\$10,098	\$77,598
Bar Harbor Bank & Trust 2014	Public Services	PenBay Acres Storm Water Improvements	07/18/14	05/08/00	\$50,000	\$5,000	\$1,036	\$6,036
Key Bank 2013	Public Services	Library Chimney, Sewer Camera, Sewer Summer St.	02/01/13	06/13/01	\$173,400	\$34,680	\$1,061	\$35,741
US Rural Development 2012*	WWTF	City-wide Improvements (Big Dig)	09/07/12	12/20/09	\$2,438,500	\$62,251	\$63,771	\$126,022
Key Bank 2012	Library	Renovations	06/25/12	12/13/00	\$93,126	\$18,626	\$348	\$18,974
Popular Equipment Finance	Fire Department	Pumper Truck	02/05/08	02/05/23	\$315,125	\$21,559	\$7,662	\$29,222
MMBB 2007*	City/WWTF	Shared Costs w/ WWTF	11/01/07	03/15/11	\$2,150,000	\$46,283	\$24,992	\$71,275
MMBB 2006	Fire Department	Ladder Truck	11/01/06	01/04/01	\$600,000	\$40,000	\$6,206	\$46,206
US Rural Development 2006*	City/WWTF	Pump Station, Sewer Upgrades	03/08/06	10/10/16	\$2,200,000	\$32,513	\$33,240	\$65,753
MMBB 2003*	City/WWTF	Shared Costs w/ WWTF	10/01/03	12/17/08	\$7,729,371	\$115,078	\$4,002	\$119,080
						\$443,491	\$193,216	\$636,707

*Costs shared between City and WWTF

CAPITAL IMPROVEMENT PLAN (CIP), Fund 10, 10081-

Lending Institution	Department	Purpose	Origination Date	Final Payment Date	Original Amount	Current Payments Due FYE 2017		
						Principal	Interest	Total
CIP 2016-estimate		EMS Ambulance(s)	06/01/16	06/30/20	\$218,000	\$42,402	\$4,861	\$47,263
Lease-5 Years	City-wide	Document Records Management	02/25/16	02/25/21	\$37,480	\$6,939	\$1,449	\$8,388
CIP 2015	Various	Misc. Equipment (see list)	08/01/15	04/15/19	\$342,383	\$66,612	\$4,554	\$71,166
CIP 2014	None							
CIP 2013	Various	Misc. Equipment (see list)	10/17/12	09/01/17	\$475,000	\$96,408	\$3,365	\$99,773
CIP 2012	Various	Misc. Equipment (see list)	02/29/12	10/29/16	\$434,239	\$90,186	\$2,100	\$92,285
						\$302,550	\$16,330	\$318,880

Total Long-Term Debt and CIP debt: \$955,582

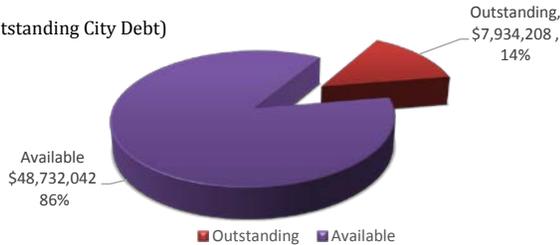
Rockland State Valuation	7.50%	10.00%
\$ 755,550,000	of Value	of Value
	\$ 56,666,250	\$ 75,555,000
	Available Debt	Available Debt

Municipal

Outstanding Debt as of 3/9/16

\$ 39,144	US Bank
\$ 585,441	Key Bank 2014
\$ 38,626	Bar Harbor Bank & Trust 2014
\$ 35,211	Key Bank 2013
\$ 3,276,572	US Rural Development 2012
\$ 18,630	Key Bank 2012 (last year)
\$ 174,807	Popular Equipment Finance 2009
\$ 772,814.00	MMBB 2007*
\$ 252,276	MMBB 2006
\$ 983,436	US Rural Development 2006*
\$ 356,934	MMBB 2003*
\$ 208,410	CIP 2015
\$ 194,484	CIP 2013
\$ 90,186	CIP 2012 (FY 17 last year)
\$ 7,026,971	
\$ 907,237	Rockland's Share Knox County Debt
\$ 7,934,208	
\$ 48,732,042	Additional Available Debt City

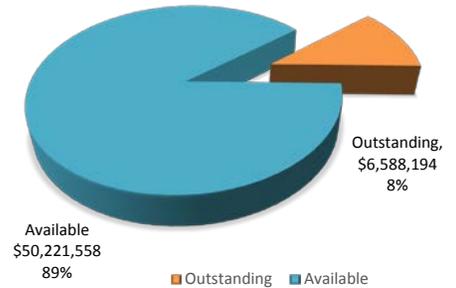
(7.5% of State Value less Outstanding City Debt)



Wastewater Facility

Outstanding Debt as of 3/9/16

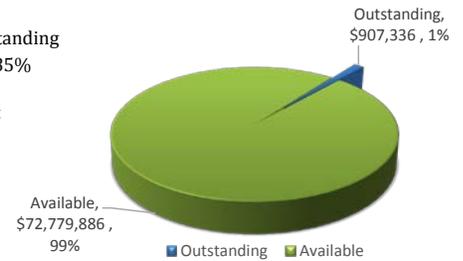
\$ 3,007,048	US Rural Development 2012
\$ 907,216.59	MMBB 2007*
\$ 1,299,397	US Rural Development 2006*
\$ 1,231,030	MMBB 2003*
\$ 6,444,692	
\$ 50,221,558	Available Debt WW



Regional School Unit #13

Outstanding Debt as of 3/9/16

\$ 2,775,114	Net School Debt Outstanding
\$ 907,336	Rockland's Share 41.85%
\$ 72,779,886	Available School Debt
	(10% of State Value less Total School Debt)



**Capital Improvement Plan FY 17
Five-Year Lease/Purchase**

EQUIPMENT 10081-08022

Appropriation Number	Department	Item	Purchase Price	CIP
10018-07002	Technology	Network Upgrades	\$ 160,000	Technology CIP - 1
10031-07250	Police Dept.	Police Vehicle w/ Equipment	\$ 31,000	Police CIP - 2
10032-07255	Fire Dept	Fire Command Vehicle	\$ 57,500	Fire CIP - 1
10041-07001	Public Services	Diesel Straw Blower	\$ 28,000	Public Services CIP-3
10041-07001	Public Services	Compressor	\$ 14,700	Public Services CIP-4
10041-07001	Public Services	Loader/sidewalk snow blower	\$70,000	Public Services CIP-5
			\$ 361,200	

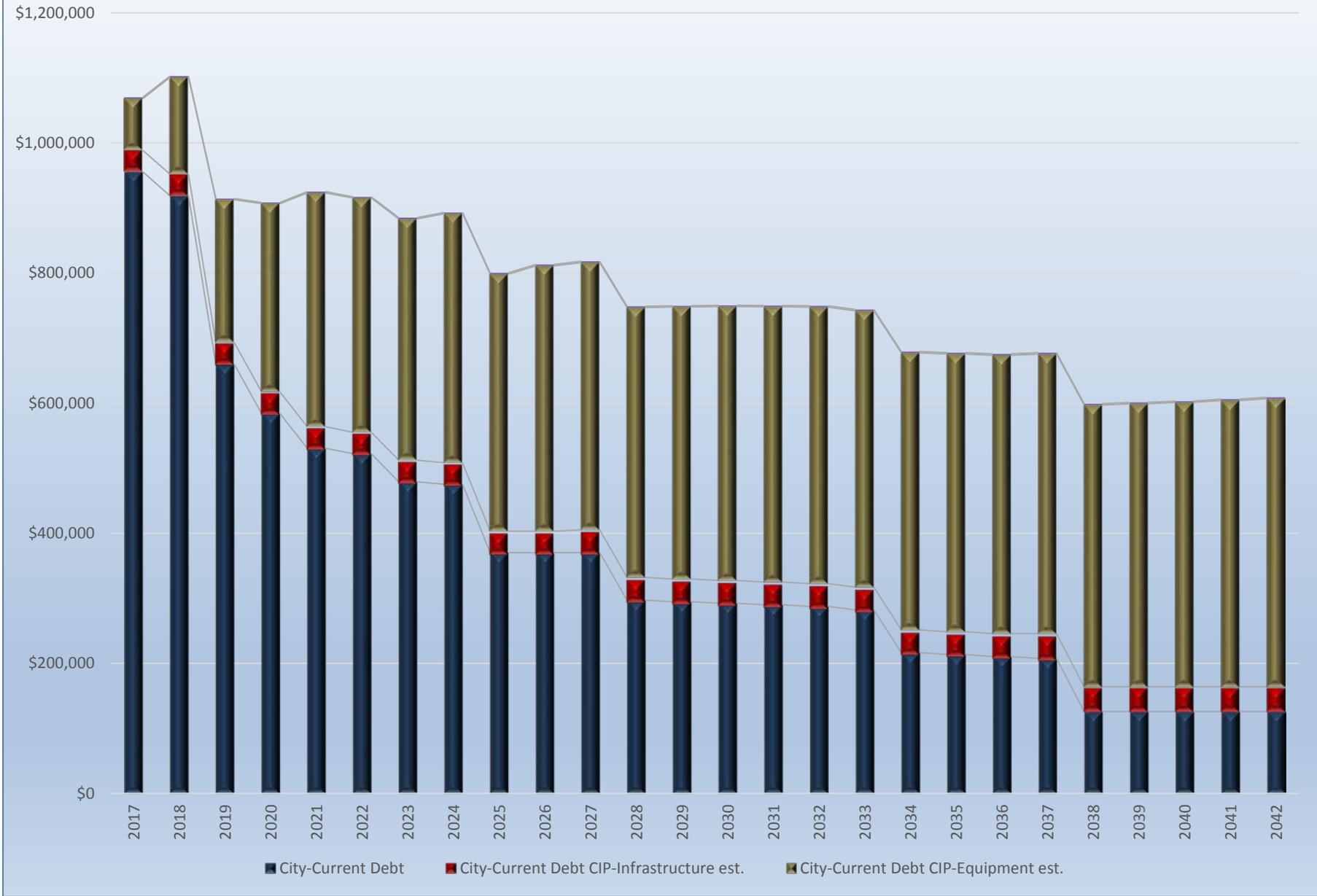
5 Year CIP Note	
Principal	\$ 361,200
Rate	3.25%
Annual Payment	\$79,500 estimate
	10081-08022

EQUIPMENT 10081-08022

Appropriation Number	Department	Item	Purchase Price	CIP
10041-07205	Public Services	Salt & Sand Shed	\$275,000	Public Services CIP-1
			\$275,000	

10 Year Loan	
Principal	\$ 275,000
Rate	3.25%
Annual Payment	\$33,000
	10081-08022

City of Rockland - FY 17 Budget Current and Proposed Debt



Inter- Governmental

**091 County Fees
092 School Appropriations**

City of Rockland, Maine

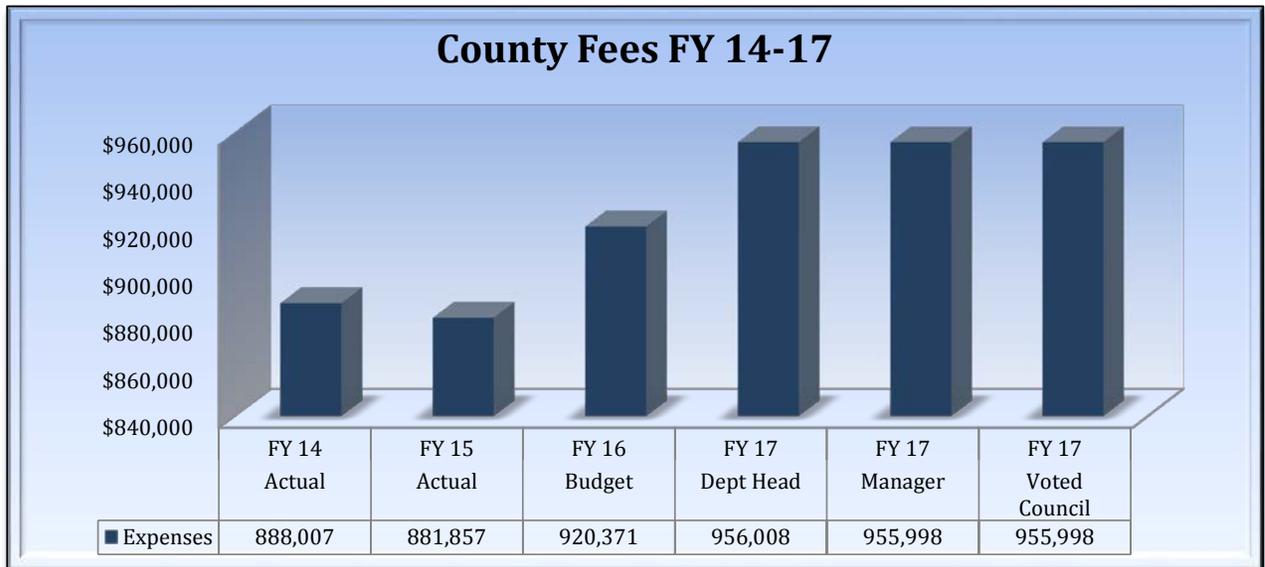
Fund 10, Department 091 - County Fees

A County tax is apportioned on municipalities within the County according to 30-A MRSA § 706, using the most recent state valuations. The sum raised by the County cannot exceed 2% of the County budget; County Commissioners must state the reason for the overlay. The County tax assessment is due by September 1st of each year; however, no late fees are assessed until November 1st. The County currently operates on a calendar year.

SUMMARY

APPROPRIATIONS

Department 0091 Old Line	New Line	County FEES & TAXES	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17	
			888,007	881,857	920,371	920,371	956,008 3.87%	955,998 3.87%	955,998 3.87%
05000 Contractual Services									
TOTAL APPROPRIATIONS			888,007	881,857	920,371	920,371	956,008 3.87%	955,998 3.87%	955,998 3.87%
				(6,150)	38,514 4.34%		35,637 3.87%	35,627 3.87%	35,627 3.87%
NET BUDGET			888,007	881,857	920,371	920,371	956,008 3.87%	955,998 3.87%	955,998 3.87%
				(6,150)	38,514 4.34%		35,637 3.87%	35,627 3.87%	35,627 3.87%



City of Rockland, Maine

Fund 10, Department 091 - County Fees

Andrew Hart, County Administrator

APPROPRIATIONS

Department 0091			COUNTY FEES & TAXES	Actual FY 14	Actual FY 15	Budget		Department Head		City Manager		City Council APPROVED	
Old Line	New Line	02/29/16 YTD				FY 16	Proposed FY 17	Proposed FY 17	Proposed FY 17	Proposed FY 17	FY 17	FY 17	
05000 Contractual Services													
10091	08522	05201	County Tax	703,789	692,633	730,052	730,052	763,857	4.63%	763,847	4.6%	763,847	4.6%
			County Dispatch										
10030	05010	05204	and E-911	184,218	189,224	190,319	190,319	192,151	0.96%	192,151	1.0%	192,151	1.0%
				888,007	881,857	920,371	920,371	956,008	3.87%	955,998	3.9%	955,998	3.9%
TOTAL APPROPRIATIONS				888,007	881,857	920,371	920,371	956,008	3.87%	955,998	3.9%	955,998	3.9%
				(6,150)		38,514	Under Budget	35,637		35,627		35,627	
				-0.69%		4.37%		3.87%		3.87%		3.87%	

City of Rockland, Maine

Fund 10, Department 092 - Regional School Unit #13

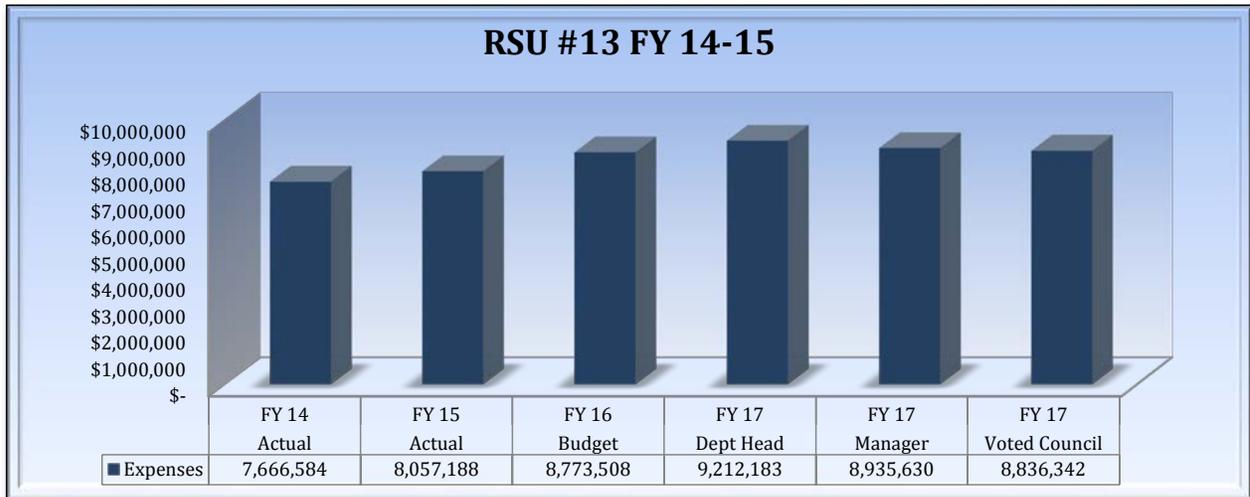
The school system for the area comprising Regional School Unit #13 includes the municipalities of Cushing, Owls Head, Rockland, South Thomaston, and Thomaston. St. George withdrew from the RSU and formed their own school system.

The schools include the Oceanside East High School for grades 10-12; Oceanside West High School for grades 8-9; Rockland District Middle School, Cushing Community School, Gilford Butler School (South Thomaston), Lura Libby School (Thomaston), Owls Head Central School, and Thomaston Grammar School.

SUMMARY

Appropriations

Department 0092 Old Line	New Line	REGIONAL SCHOOL UNIT 13	Actual	Actual	Budget	02/29/16 YTD	RSU #13		APPROVED			
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17				
			7,666,584	8,057,188	8,773,508	5,849,005	9,212,183	5.00%	8,935,630	1.85%	8,836,342	-1.85%
TOTAL APPROPRIATIONS			7,666,584	8,057,188	8,773,508	5,849,005	9,212,183	5.00%	8,935,630	1.85%	8,836,342	-1.85%
				390,604	716,320		438,675		162,122		62,834	
					9.34%		5.00%		1.85%		0.72%	
NET BUDGET			7,666,584	8,057,188	8,773,508	5,849,005	9,212,183	5.00%	8,935,630	1.85%	8,836,342	-1.85%
				390,604	716,320		438,675		162,122		62,834	
					9.34%		5.00%		1.85%		0.72%	



City of Rockland, Maine

Fund 10, Department 092 - Regional School Unit #13

APPROPRIATIONS

Department 0092				Actual	Actual	Budget		Department Head		City Manager		City Council APPROVED	
Old Line	New Line	RUS 13		FY 14	FY 15	02/29/16 YTD FY 16		Proposed FY 17		Proposed FY 17		FY 17	
05000 Contractual Services													
10092	08521	05206	RSU 13	7,666,584	8,057,188	8,773,508	5,849,005	9,212,183	5%	8,935,630	1.8%	8,836,342	0.7%
				7,666,584	8,057,188	8,773,508	5,849,005	9,212,183	5%	8,935,630	1.8%	8,836,342	0.7%
TOTAL APPROPRIATIONS				7,666,584	8,057,188	8,773,508	5,849,005	9,212,183	5%	8,935,630	1.8%	8,836,342	0.7%
				390,604	716,320	Under Budget		438,675		162,122		62,834	
				5.09%	8.89%			5.00%		1.85%		0.72%	

Capital Improvement Plan

The purpose of the **CIP** is to systematically identify, plan, schedule, finance, track and monitor capital infrastructure and assets to ensure cost-effectiveness as well as conformance to polices. The policies require the City to operate and maintain its infrastructure and assets in a manner that protects the public investment and ensures achievement of their maximum useful life.

Capital Infrastructure items cost more than **\$50,000** and have a useful (depreciable life) of five years or more. Generally, Capital Infrastructure is any expenditure for physical improvements, including costs for: acquisition of existing buildings, land, or interests in land; construction of new buildings or other structures, including additions and major alterations; construction of streets, highway, sidewalks, parking lots, or utilities; acquisition of fixed equipment; landscaping; and similar expenditures. It may mean any change, alteration, rearrangement or addition to existing facilities. It is also new construction, acquisition or improvements to sites, parking lots, buildings, or service systems.

A **Capital Asset** has a value of more than **\$5,000**, either individually, or collectively, and has an estimated useful life of more than one year. Capital Assets may include, but are not limited to computer equipment, office furniture, fiber optics, fleet vehicles, public works vehicles and machinery, and emergency response vehicles, to name a few.

City of Rockland, Maine Capital Improvement Plan

Fiscal Year End June 30, 2017 - Five Year CAPITAL IMPROVEMENT PLAN

Future plans of the City Manager and Finance Director include the development and implementation of a formal CIP plan that will help identify needed capital improvements over a period of three to five years, the costs associated with those improvements, a life-time expectancy of the improvement, and how to fund the projects. Purchasing items could mean raising money through appropriation/taxation, grant funding, gifts, loans or bonds, or other means. Some capital item requests can be found in the budget proposal for FY 16-17.

The Capital Improvement Plan has been updated from the FYE 06/30/2016 budget. Bonds and leases represented in subsequent years may be estimates only, pending current market conditions at time of bonding.

Project Schedule	Project	Rating	Description	Type	Projected Costs	FYE 06/30/2017							FYE 06/30/2018	FYE 06/30/2019	FYE 06/30/2020	FYE 06/30/2021
						Previous Budget	Proposed Budget	TIF	Lease/Purchase/Loan	Reserved	Bonds	Grants				
Facility																
	Library Building	4	Replace carpeting	Building	\$113,000		\$0						Initial Planning/All Library Facility Needs		\$113,000	
	Library Building	2	Renovations per engineering study	Building	\$1,100,000		\$0				\$1,100,000		\$28,050	\$83,000	\$83,000	\$83,000
	Library Building	3	Painting of Walls	Building	\$99,000	\$0	\$0						\$22,000	\$22,000	\$22,000	\$22,000
	Library Building	3	Exterior and Interior Lighting	Building	\$50,000		\$0						\$25,000	\$25,000		
2017	Salt & Sand Shed	2	Building approved by voters	Building	\$275,000	\$0	\$34,000				\$275,000		\$34,000	\$34,000	\$34,000	\$34,000
Equipment																
	Chillers	2	Replace chillers	Equipment	\$50,000		\$ -								\$ 50,000	
	Five (5) new Tazers	2	Improve safety with use of Tazers	Equipment	\$5,615		\$ -									
	Police Mobile Units Upgrade	2	Continue to upgrade software in vehicles	Equipment	\$22,950		\$ -						\$22,950	\$5,615		
	Boiler Replacement	4	Replace boiler w/ 2 energy efficient models	Equipment	\$65,000		\$ -							\$65,000		
	Fire Utility Vehicle	2	Vehicle has exceeded life expectancy	Vehicles	\$40,000		\$ -						\$ 40,000			
	Telephone System	2	Library	Equipment	\$6,000				\$ 6,000							
	Smoke Detection System	2	Library	Equipment	\$11,750		\$ -		\$ 11,750							
2017	Replace Police Cruiser	2	Maintain safe police fleet.	Vehicles	\$31,000		\$ -		\$ 31,000				\$ 26,000	\$ 26,000	\$ 27,000	\$ 27,000
2017	Replace PD Office Furniture	3	Outdated office furniture	Furniture/Fixtures	\$9,000		\$9,000									
2017	Fire Command Vehicle	1	Vehicle has exceeded life expectancy	Vehicles	\$57,500		\$ -		\$ 57,500							
2017	EMS Stryker Stretcher; Stair Chair	1	Replacement of medical equipment	Equipment	\$12,000		\$12,000									
2017	Update Accounting Software	2	Improve Internal Controls; better system	Equipment	\$150,000	\$ 39,320	\$38,400						\$ 9,500			
2017	IT Network Improvements	1	IT network upgrade, professionally managed	Equipment	\$190,000	\$ -	\$ -		\$ 190,000				\$ -	\$ -	\$ -	\$ -
2017	Computer Replacements	2	Computer Replacement Schedule	Equipment	\$4,000		\$4,000						\$ 4,000	\$ 4,000	\$ 4,000	\$ 4,000
2017	Diesel Straw Blower	1	Trailer mounted hay mulcher	Equipment	\$28,000				\$ 28,000							
2017	Compressor	1	Mobile diesel engine compressor	Equipment	\$14,700				\$ 14,700							
2017	Wacker Loader with Snow Blower	1	Mini loader and sidewalk snow removal	Equipment	\$70,000				\$ 70,000							
2016	Council Chambers Video Equipment	3	Improve Video for meetings	Equipment	\$30,000	\$ 30,000										
2015	PD Cruiser	1	Replacement Vehicle	Equipment	\$30,000	\$ 25,600										
2015	PD Detective Vehicle	1	Replacement Vehicle	Equipment	\$30,000	\$ 30,000										
2015	PW Plow Truck	3	Replacement Vehicle	Equipment	\$150,000	\$ -										
2016	EMS Ambulance	1	Replacement Vehicle	Equipment	\$190,000	\$ 190,000							\$ 43,300	\$ 43,300	\$ 43,300	\$ 43,300
2014	Munis Software add module		Update Payroll/Accounting Software	Equipment	\$117,909	\$ 39,303	\$ -						\$ 9,500			
Municipal Grounds																
2016-2017	Fish Pier Resurfacing	2	Improvements to Fish Pier	Parks & Recreation	\$1,000,000	\$ 22,000	\$25,000			\$ 78,000		\$ 900,000				
2016	Harbor Pilings	2	Improvements to Harbor	Parks & Recreation	\$31,500	\$ 31,500										
Right of Way Infrastructure																
	Camden Street	2	Reconstruction of Camden Street	Roads & Infrastructure		\$ -	\$ -				\$ 4,200,000	\$ 550,000	Initial Planning			
2017	Thompson Meadow Bridge	2	Reconstruct Bridge	Roads & Infrastructure	\$80,000	\$ -	\$ 20,000					\$ 60,000				
2016	Old County Road	6	Reconstruction of Old County Road	Roads & Infrastructure	\$2,600,000	\$ -	\$40,800				\$ 1,600,000	\$ 1,000,000	\$ 120,280	\$ 119,200	\$ 118,040	\$ 116,800
2015	Elm, Museum, School Street	6	Improve sidewalks & storm water mgmnt	Roads & Infrastructure	\$85,000	\$ 85,000										
2015	Downtown Street Light Project	6	Improve streetlights in the downtown area	Roads & Infrastructure	\$90,000	\$ 90,000										
2015	Thorndike Parking Lot	6	Improve parking lot in shopping district	Roads & Infrastructure	\$17,000	\$ 17,000										
TOTALS					\$6,855,924	\$ 599,723	\$183,200	\$ -	\$ 408,950	\$ 78,000	\$ 7,175,000	\$ 2,510,000	\$ 384,580	\$ 427,115	\$ 494,340	\$ 330,100

- 1= Urgent
- 2 = Necessary
- 3 = Desirable
- 4 = Deferrable
- 5 = Not Needed
- 6 = Underway

Previous Budget	Proposed Budget	TIF	Lease/Purchase/Loan	Reserved	BONDS	GRANTS
FYE 06/30/2017						

Capital Improvement Plan FY 17

Department	014 <small>Number</small>	Finance Department <small>Name</small>	Estimated Annual Cost	\$2,000
Type of Improvement	Asset	Infrastructure	Funding Source(s)	See "D" below.
Description of Improvement		Org Code - Object Code: 10081 08022		

Estimated Loan Amount	N/A	CIP program	Annual Payment	combined w/ other CIP
Estimated Interest	N/A		Priority	N/A
Loan Period in Years	N/A		Useful Life (years)	N/A
Number of Payments per Year	N/A		Banking Institution	N/A

Current	2	3	4	5
FY 17	FY 18	FY 19	FY 20	FY 21
\$2,000				

A. Cost Estimate

Brief Explanation of Cost:

Purchase new computer and software for staff; equipment is more than five (5) years old.

B. Statement of Need

Replacement schedule suggests replacement of in-office computers every 3 to 5 years.

C. Project Description

Computer replacement program city-wide to be developed. IT professionals recommend computer replacements every 3 years.

D. Funding Sources:

Source	Amount	Explanation
Budget	\$2,000	Replacement of outdated computer and software equipment
TOTAL	\$2,000	

Capital Improvement Plan FY 17

Department	014 <small>Number</small>	Finance Department <small>Name</small>	Estimated Annual Cost	\$38,400
Type of Improvement	Asset	Infrastructure	Funding Source(s)	See "D" below.
Description of Improvement		Org Code - Object Code: 10018 07002		

Estimated Loan Amount	N/A		Annual Payment	\$38,400.00
Estimated Interest	N/A		Priority	2-Necessary
Loan Period in Years	N/A		Useful Life (years)	1-Sep-17
Number of Payments per Year	N/A		Banking Institution	

Current	2	3	4	5
FY 17	FY 18	FY 19	FY 20	FY 21
\$38,400				

A. Cost Estimate

Brief Explanation of Cost:

There is an agreement with MUNIS through September 2017. After that date the city will either stay with MUNIS or opt for another software accounting program that is more beneficial. The updated MUNIS or new software will incorporate the City Clerk and Motor Vehicle into the system.

B. Statement of Need

The accounting software currently used by the finance office does NOT include the module for Motor Vehicle. This requires the city clerk's staff to type motor vehicle information on forms; the use of typewriters is outdated and is not a "best practice" for accounting for the city's funds received at the front office. Update to include Motor Vehicle anticipated May 2016.

C. Project Description

The agreement with MUNIS runs through September 2017. The proposal would be to bring the City Clerk's office on line with Motor Vehicle registrations, etc. as soon as possible, and continue with MUNIS through September 2017. During the early part of 2017 the finance director and her staff will consider other accounting software sources to make sure the city is getting the best possible software at a reasonable cost.

D. Funding Sources:

Source	Amount	Explanation
Budget	\$38,400	Ongoing lease w/ MUNIS; Finance Director may explore other accounting software options prior to expiration of current MUNIS agreement.
TOTAL	\$38,400	

City of Rockland, Maine

Capital Improvement Plan FY 17

Department	018 <small>Number</small>	Technology <small>Name</small>	Estimated Annual Cost	\$160,000
Type of Improvement	Asset	Infrastructure	Funding Source(s)	See "D" below.
Description of Improvement		Org Code - Object Code: 10081 08022		

Estimated Loan Amount	N/A	CIP program	Annual Payment	combined w/ other CIP
Estimated Interest	N/A		Priority	N/A
Loan Period in Years	N/A		Useful Life (years)	N/A
Number of Payments per Year	N/A		Banking Institution	N/A

Current	2	3	4	5
FY 17	FY 18	FY 19	FY 20	FY 21
\$160,000				

A. Cost Estimate

Brief Explanation of Cost:

Information Technology (IT) Survey; Upgrade, Installation - Overhaul IT operating system.

B. Statement of Need

The current system includes equipment and software that is outdated. Need to improve on security, reliability, and updates for a fully functional informations technology system.

C. Project Description

Much needed upgrade to a safe, secure IT network system, professionally maintained. IT system provider will also be able to assist in purchasing and installation of all computer equipment for the city's municipal needs.

D. Funding Sources:

Source	Amount	Explanation
10018-07002	\$160,000	Replacement of outdated IT network; providing Cloud storage as well as upgrading existing software to include Microsoft Office 365, internet, fiber connectivity, etc..
TOTAL	\$160,000	

Capital Improvement Plan FY 17

Department	031 <small>Number</small>	Police <small>Name</small>	Estimated Annual Cost	\$9,000
Type of Improvement	Asset	Infrastructure	Funding Source(s)	See "D" below.
		<small>Cross out whichever option is not applicable.</small>		
Description of Improvement	Office Furniture		Org Code - Object Code:	10031 7335

Estimated Loan Amount			Annual Payment	
Estimated Interest			Priority	
Loan Period in Years			Useful Life (years)	10
Number of Payments per Year			Banking Institution	

Current	2	3	4	5
FY 17	FY 18	FY 19	FY 20	FY 21
\$9,000				

A. Cost Estimate

Brief Explanation of Cost:

See budget request.

B. Statement of Need

The current office furniture is 15 + years old. All items were donated or hand downs.

C. Project Description

Replace all used, worn out and broken office furniture within the PD Building

D. Funding Sources:

Source	Amount	Explanation
Budget expense	\$9,000	Replacement of outdated furniture.
TOTAL	\$9,000	

City of Rockland, Maine

Capital Improvement Plan FY 17

Department	031 <small>Number</small>	Police <small>Name</small>	Estimated Annual Cost	\$31,000
Type of Improvement	Asset	Infrastructure	Funding Source(s)	See "D" below.
		<small>Cross out whichever option is not applicable.</small>		
Description of Improvement	Police Cruiser		Org Code - Object Code:	10081 07250

Estimated Loan Amount	\$31,000.00	CIP program	Annual Payment	combined w/ other CIP
Estimated Interest			Priority	High
Loan Period in Years			Useful Life (years)	3
Number of Payments per Year			Banking Institution	

Current	2	3	4	5
FY 17	FY 18	FY 19	FY 20	FY 21
\$31,000				

A. Cost Estimate

Brief Explanation of Cost:

Replacement of one (1) cruiser each year to maintain safe fleet; including vehicle equipment.

B. Statement of Need

A regular rotation of police cruisers helps maintain a safe work vehicle for staff, and also helps better protect the public.

C. Project Description

Replace oldest cruiser in the fleet. Regular annual maintenance of fleet.

D. Funding Sources:

Source	Amount	Explanation
Budget expense	\$31,000	Replacement of aged police cruiser; plus equipment.
TOTAL	\$31,000	

Capital Improvement Plan FY 17

Department	032 Fire Department/EMS <small>Number Name</small>	Estimated Annual Cost	\$57,500
Type of Improvement	Asset Infrastructure <small>Cross out whichever option is not applicable.</small>	Funding Source(s)	See "D" below.
Description of Improvement	Replacement of Command Vehicle (Car 1)	Org Code - Object Code:	10032 07255

Estimated Loan Amount	N/A	Annual Payment	N/A
Estimated Interest	N/A	Priority	Moderate/High
Loan Period in Years	N/A	Useful Life (years)	10
Number of Payments per Year	N/A	Banking Institution	N/A

Current	2	3	4	5
FY 17	FY 18	FY 19	FY 20	FY 21
\$57,500				

A. Cost Estimate

Brief Explanation of Cost:

B. Statement of Need

Car (1) is utilized by the ranking chief officer. It carried pre-plan information, extra tools and equipment, and those items necessary to command most incidents. It is also used as the day to day vehicle by the Fire Chief and as a vehicle to safely deliver paramedics to mutual aid calls. The current Car (1) has undergone in-house body work to correct significant corrosion to the rocker-panel areas; the body work is not a long term solution. Replacing this vehicle will ensure the safety of personnel using it as an emergency response vehicle.

C. Project Description

Purchase a new full size SUV and have it outfitted with radios, audible and visual warning devices, as well as equipment it with SCBA, TIC and command cabinets to ensure the Incident Commander has the requisite tools to command emergency incidents. The cost for the vehicle is to be shared by both Fire and EMS equally.

D. Funding Sources:

Source	Amount	Explanation
Budget expense	\$57,500	
TOTAL	\$57,500	

Capital Improvement Plan FY 17

Department	033 <small>Number</small>	EMS <small>Name</small>	Estimated Annual Cost	\$12,000
Type of Improvement	Asset	Infrastructure	Funding Source(s)	See "D" below.
Description of Improvement		Stryker-Stretcher and Stair Chair	Org Code - Object Code:	10033- 07001

Estimated Loan Amount	N/A		Annual Payment	N/A
Estimated Interest	N/A		Priority	N/A
Loan Period in Years	N/A		Useful Life (years)	N/A
Number of Payments per Year	N/A		Banking Institution	N/A

Current	2	3	4	5
FY 17	FY 18	FY 19	FY 20	FY 21
\$12,000				

A. Cost Estimate

Brief Explanation of Cost:

B. Statement of Need

The EMS stretchers and stair chairs are utilized to safely remove and transport medical patients. These tools get a significant amount of use and wear out. We need to keep these in functioning shape to ensure we do not injure our staff or any patient. The departments three (3) ambulances have varying ages of stretchers and stair chairs. The department wishes to begin a replacement plan to minimize risks.

C. Project Description

Purchase one (1) new Stryker Stretcher and (1) Stryker Stair Chair as replacements.

D. Funding Sources:

Source	Amount	Explanation
Budget expense	\$12,000	One (1) Stretcher; One (1) stair chair
TOTAL	\$12,000	

Capital Improvement Plan FY 17

Department	036 <small>Number</small>	Code Enforcement <small>Name</small>	Estimated Annual Cost	\$2,000
Type of Improvement	Asset	Infrastructure	Funding Source(s)	See "D" below.
Description of Improvement		Org Code - Object Code: 10036 07002		

Estimated Loan Amount	N/A		Annual Payment	N/A
Estimated Interest	N/A		Priority	N/A
Loan Period in Years	N/A		Useful Life (years)	N/A
Number of Payments per Year	N/A		Banking Institution	N/A

Current	2	3	4	5
FY 17	FY 18	FY 19	FY 20	FY 21
\$2,000				

A. Cost Estimate

Brief Explanation of Cost:

Purchase new computer and software for staff; equipment is more than five (5) years old.

B. Statement of Need

Replacement schedule suggests replacement of in-office computers every 3 to 5 years. Outdated equipment is usually passed on to other departmental personnel.

C. Project Description

Computer replacement program city-wide to be developed; outdated computers in office can be handed over to a department that uses computers less rigorously.

D. Funding Sources:

Source	Amount	Explanation
Budget expense	\$2,000	Replacement of outdated computer and software equipment
TOTAL	\$2,000	

Note: Transferred to CIP FY17

Capital Improvement Plan FY 17

Department	041 <small>Number</small>	Public Services <small>Name</small>	Estimated Annual Cost	\$33,000
Type of Improvement	Asset	Infrastructure	Funding Source(s)	See "D" below.
		<small>Cross out whichever option is not applicable.</small>		
Description of Improvement	Sand & Salt Building		Org Code - Object Code:	10081 08022

Estimated Loan Amount	\$275,000		Annual Payment	\$33,000
Estimated Interest	3.25%		Priority	High
Loan Period in Years	10		Useful Life (years)	10
Number of Payments per Year	1		Banking Institution	

Current	2	3	4	5
FY 17	FY 18	FY 19	FY 20	FY 21
\$33,000				

A. Cost Estimate

Brief Explanation of Cost:

A large majority of the sand that is purchased is not usable due to being out in the weather in the winter months. Salt is a better tool for snow and ice control in most situations and has a less expensive life cycle in terms of use. Salt needs to be housed out of the weather to protect it and prevent runoff. A building is necessary and should be in place.

B. Statement of Need

The town of Bath has purchased this exact building and it worked out very well. The interior walls are 3' concrete, I am proposing 5' walls for additional storage and much of the ground work would be done by Public Services.

C. Project Description

Voters approved a sand and salt building in 2014

D. Funding Sources:

Source	Amount	Explanation
Budget expense	\$33,000	Annual loan payment; 10 years
TOTAL	\$33,000	

Capital Improvement Plan FY 17

Department	041 <small>Number</small>	Public Services <small>Name</small>	Estimated Annual Cost	\$80,000
Type of Improvement	Asset	Infrastructure	Funding Source(s)	See "D" below.
		<small>Cross out whichever option is not applicable.</small>		
Description of Improvement	Thompson Meadow Bridge		Org Code - Object Code:	10041 06201

Estimated Loan Amount	N/A	Possible Grant	Annual Payment	N/A
Estimated Interest	N/A		Priority	High
Loan Period in Years	N/A		Useful Life (years)	10
Number of Payments per Year	N/A		Banking Institution	

Current	2	3	4	5
FY 17	FY 18	FY 19	FY 20	FY 21
\$20,000				

A. Cost Estimate

Brief Explanation of Cost:

Back in 2011 the Thompson Meadow Bridge was bid out to be replaced due to impending failure.

B. Statement of Need

The bridge is unsafe and has been identified as needing to be replaced by DOT.

C. Project Description

Reconstruct bridge. This will enable continued passage along the roadway, and will also permit fish passage through this area.

D. Funding Sources:

Source	Amount	Explanation
Budget expense	\$20,000	City matching funds
	\$60,000	Possible grant from the Nature Conservancy
TOTAL	\$80,000	

Capital Improvement Plan FY 17

Department	041 <small>Number</small>	Public Services <small>Name</small>	Estimated Annual Cost	\$28,000
Type of Improvement	Asset	Infrastructure	Funding Source(s)	See "D" below.
		<small>Cross out whichever option is not applicable.</small>		
Description of Improvement	Diesel Straw Blower (Trailer mounted)		Org Code - Object Code:	10081 08022

Estimated Loan Amount	\$28,000	CIP program	Annual Payment	combined w/ other CIP
Estimated Interest	3.50%		Priority	High
Loan Period in Years	5		Useful Life (years)	40
Number of Payments per Year	1		Banking Institution	

Current	2	3	4	5
FY 17	FY 18	FY 19	FY 20	FY 21
\$28,000				

A. Cost Estimate

Brief Explanation of Cost:

The cost of the diesel straw blower will be part of the annual CIP program, which is a five (5) year lease/purchase program.

B. Statement of Need

Currently we have no means of applying hay other than by hand requiring significant amount of time. A hay mulcher would increase efficiency in regards to applying hay to newly seeded areas along roadsides, roadside shoulders, pipe bedding, any disturbed areas, and temporary erosion control in off season construction activity.

C. Project Description

Purchase of a 2015 FINN B-70T Diesel Straw Blower (Trailer Mounted) 33.5 h.p., four cylinder Kubota V-1505, Electric brakes, trailer hitch. Life expectancy of 40 years.

D. Funding Sources:

Source	Amount	Explanation
Budget expense	\$28,000	CIP lease purchase program.
TOTAL	\$28,000	

Capital Improvement Plan FY 17

Department	041 Public Services <small>Number Name</small>	Estimated Annual Cost	\$14,700
Type of Improvement	Asset Infrastructure <small>Cross out whichever option is not applicable.</small>	Funding Source(s)	See "D" below.
Description of Improvement	Doosan Compressor	Org Code - Object Code:	10081 08022

Estimated Loan Amount	\$14,700	CIP program	Annual Payment	combined w/ other CIP
Estimated Interest	N/A		Priority	High
Loan Period in Years	N/A		Useful Life (years)	5
Number of Payments per Year	N/A		Banking Institution	

Current	2	3	4	5
FY 17	FY 18	FY 19	FY 20	FY 21
\$14,700				

A. Cost Estimate

Brief Explanation of Cost:

The cost of the Doosan Compressor will be part of the annual CIP program, which is a five (5) year lease/purchase program.

B. Statement of Need

This is a necessity to run a public services department from pumping out basins to running air powered tools. This is a must.

C. Project Description

Doosan C185WKUBG -T4F GAS (185 CFM @ 100 PSI) compressor.
Or a P135WIR (135 cfm @ 100 psi) with Yanmar diesel engine, gauge package, dual hose reel, with warrantee if still available.

D. Funding Sources:

Source	Amount	Explanation
Budget expense	\$14,700	CIP lease purchase program.
TOTAL	\$14,700	

Capital Improvement Plan FY 17

Department	041 Public Services <small>Number Name</small>	Estimated Annual Cost	\$70,000
Type of Improvement	Asset Infrastructure <small>Cross out whichever option is not applicable.</small>	Funding Source(s)	See "D" below.
Description of Improvement	Wacker Loader w/ Snow Blower	Org Code - Object Code:	10081 08022

Estimated Loan Amount	\$70,000	CIP program	Annual Payment	combined w/ other CIP
Estimated Interest	N/A		Priority	High
Loan Period in Years	N/A		Useful Life (years)	5
Number of Payments per Year	N/A		Banking Institution	

Current	2	3	4	5
FY 17	FY 18	FY 19	FY 20	FY 21
\$70,000				

A. Cost Estimate

Brief Explanation of Cost:

We have been leasing this piece of equipment for two years and it has been instrumental in the removal of snow from the sidewalks and will be a great asset around the shop and working on sidewalks in the summer. We have been renting this piece of equipment for two years (this year for 5 months @ \$2,200/month) 75% of the of the rental spent this year would be deducted from the \$70,000.

B. Statement of Need

This is a mini loader used for winter sidewalk snow removal and will be used all summer long for sidewalk and street projects.

C. Project Description

Wacker WL32H/F Loader with H/F Snow Blower

D. Funding Sources:

Source	Amount	Explanation
Budget expense	\$70,000	CIP lease purchase program.
TOTAL	\$70,000	

Capital Improvement Plan FY 17

Department	061 <small>Number</small>	Harbor/Fish Pier <small>Name</small>	Estimated One-Time Cost	\$1,125,000
Type of Improvement	Asset	Infrastructure	Funding Source(s)	See "D" below.
		<small>Cross out whichever option is not applicable.</small>		
Description of Improvement	Smoke Detection System		Org Code - Object Code:	10061- 07551

Estimated Loan Amount	N/A		Annual Payment	
Estimated Interest	N/A		Priority	High
Loan Period in Years	N/A		Useful Life (years)	20
Number of Payments per Year	N/A		Banking Institution	

Current	2	3	4	5
FY 17	FY 18	FY 19	FY 20	FY 21
\$25,000				

A. Cost Estimate

Brief Explanation of Cost:

Fish Pier resurfacing project; includes pier pile work and dredging around pier.

B. Statement of Need

Working fish pier needs to be updated to meet safety standards. Permit users require a safe area in which to work.

C. Project Description

Remove asphalt and base; replace with riprap, sub-base and cap with concrete. Replace broken pilings chocks and whalers. Dredge around pier and remove 10,000 cubic yards of silt material.

D. Funding Sources:

Source	Amount	Explanation
Budget Expense	\$25,000	Project over-runs
EDA Grant	\$500,000	Grant funds
MDOT	\$500,000	\$400,000 available; may have enough for entire match
Pier Reserve	\$100,000	Municipal funds to complete EDA match
TOTAL	\$1,125,000	

Capital Improvements

Considerations for Capital Improvement Planning

**Assets
Infrastructure**

Capital Improvement Planning

The following pages include recommendations for planned infrastructure improvements while maintaining a relatively level debt amount. Implementation of many of these improvements would require voter approval.

Needed Infrastructure Projects

Annual CIP Purchases not included in this list.

	Projects List <i>Alphabetical</i>	City Bonds	State or Other
City	Road Reconstruction	3,484,000	1,000,000
	Fiber Connection	400,000	
	Harbor Park	725,000	
	Harbor Trail	300,000	
	Landfill Closing	1,000,000	1,400,000
	Library	1,100,000	
	Public Pier	500,000	
	Public Services Garage	1,000,000	
	Sidewalks	118,800	
	Stormwater	2,000,000	
	Stormwater Separation	6,100,000	
	Stormwater Separation	4,000,000	
Wastewater	Treatment Plant Upgrades	4,000,000	2,400,000
TOTAL FUNDING Needed Infrastructure Projects		24,727,800	

Note: The list does NOT include annual road maintenance.

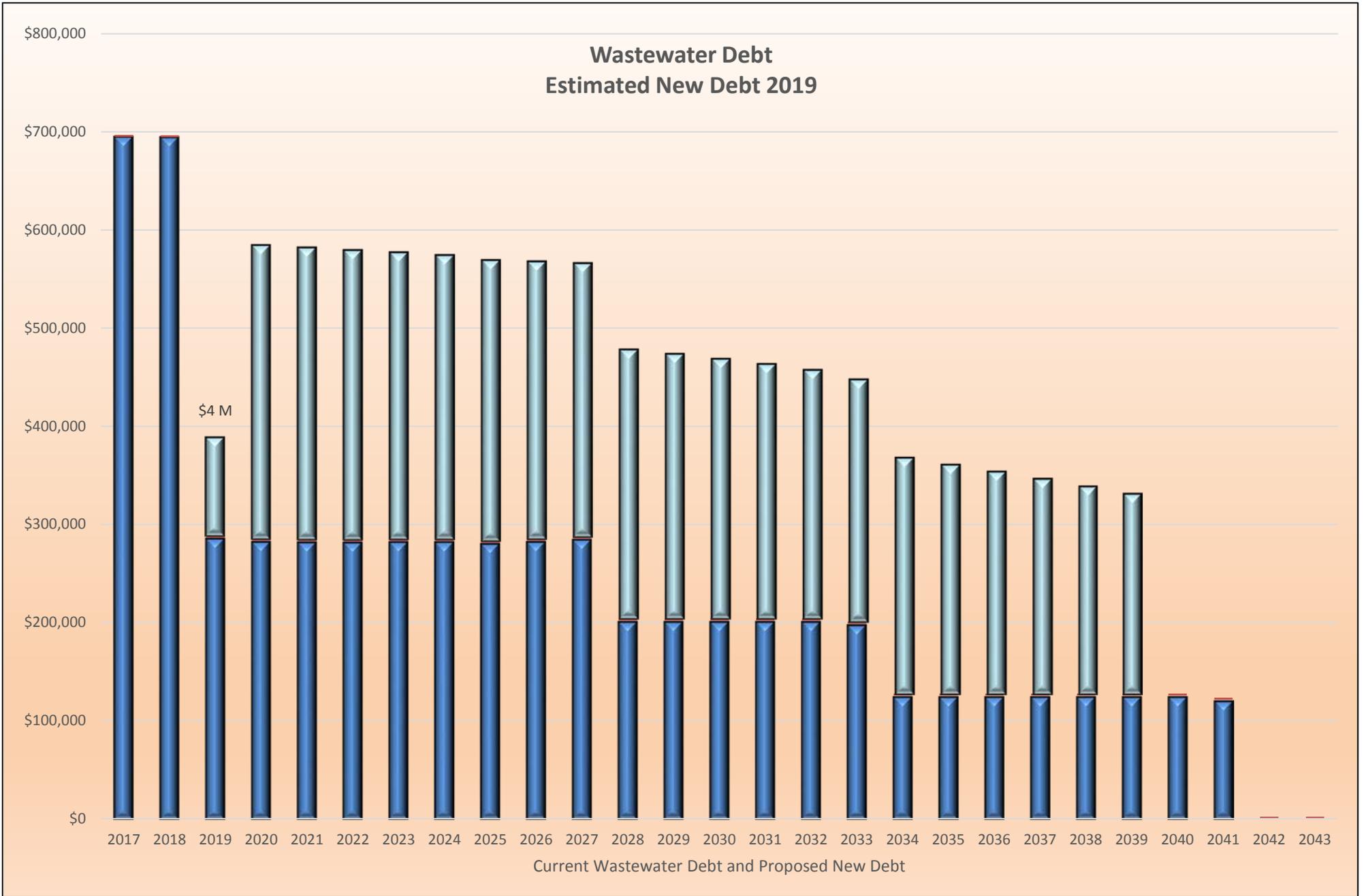
City Council, staff, and the public should review the infrastructure needs of the City, and put together a schedule of projects and capital equipment priorities. Once a plan has been developed the voters of the City can decide on a plan of action. Bonding can be issued over time in such a way as to minimally impact the debt level. The following chart depicts a timeline over the next 20 years in which borrowing for infrastructure improvements can be done with little or no impact on the mil rate.

City of Rockland, Maine
Debt Levels and Estimations for Infrastructure Debt

	\$4 million						\$6 million					
	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043
City-Current Debt	287,495	281,957	216,302	213,462	210,542	207,542	126,022	126,022	126,022	126,022	126,022	-
CIP-Infrastructure est.												
CIP-Equipment est.												
Debt Total Estimated	287,495	281,957	216,302	213,462	210,542	207,542	126,022	126,022	126,022	126,022	126,022	-
Added New Estimated Debt	595,509	683,778	869,953	854,231	837,434	819,703	801,135	932,363	965,480	951,146	935,938	919,945
<i>Debt Limit</i>	883,004	965,735	1,086,255	1,067,693	1,047,976	1,027,245	927,157	1,058,385	1,091,502	1,077,168	1,061,960	919,945
Amount OVER/UNDER	1,070,000	1,070,000	1,070,000	1,070,000	1,070,000	1,070,000	1,070,000	1,070,000	1,070,000	1,070,000	1,070,000	1,070,000
Level Debt Limit	186,996	104,265	(16,255)	2,307	22,024	42,755	142,843	11,615	(21,502)	(7,168)	8,040	150,055
	<i>Under Debt</i>	<i>Under Debt</i>	<i>Over Debt</i>	<i>Under Debt</i>	<i>Under Debt</i>	<i>Under Debt</i>	<i>Under Debt</i>	<i>Under Debt</i>	<i>Over Debt</i>	<i>Over Debt</i>	<i>Under Debt</i>	<i>Under Debt</i>
	<i>Limit</i>											
Wastewater	202,114	198,783	125,483	125,483	125,483	125,483	125,483	125,483	125,483	121,284	-	-
Amount Available to												
Maintain a "Level Debt"	492,886	496,217	569,517	569,517	569,517	569,517	569,517	569,517	569,517	573,716	695,000	695,000
<i>Debt Limit</i>	695,000	695,000	695,000	695,000	695,000	695,000	695,000	695,000	695,000	695,000	695,000	695,000
Added New Estimated Debt	253,452	247,161	240,549	233,631	226,468	219,110	211,577	203,884				
Debt Total Estimated	455,567	445,944	366,032	359,114	351,951	344,593	337,060	329,367	125,483	121,284	-	-
Amount OVER/UNDER	492,886	496,217	569,517	569,517	569,517	569,517	569,517	569,517	569,517	573,716	695,000	695,000
Level Debt Limit	<i>Under Debt</i>											
	<i>Limit</i>											
Combined Debt - Including N												
City	883,004	965,735	1,086,255	1,067,693	1,047,976	1,027,245	927,157	1,058,385	1,091,502	1,077,168	1,061,960	919,945
Wastewater	455,567	445,944	366,032	359,114	351,951	344,593	337,060	329,367	125,483	121,284	-	-
Total Annual Debt Payments	1,338,571	1,411,679	1,452,287	1,426,807	1,399,926	1,371,838	1,264,217	1,387,752	1,216,985	1,198,452	1,061,960	919,945
Estimated												

City of Rockland Infrastructure and Capital Debt Estimated New Debt 2019, 2024, 2033, 2039





**Water
Pollution
Control
Facilities
Enterprise Funds**

Fund 020

012 - Wastewater

015 - Wastewater Debt

020 - Storm Water

City of Rockland, Maine

Water Pollution Control Facilities Budget Summaries

WASTEWATER EXPENDITURES SUMMARY										
Fund, Department	FY 14	FY 15	FY 16		Dept. Hd.	FY 17		Council		
	Actual	Actual	Budget	Y-T-D		Manager				
Fund 020										
010 Sewer Department	2,663,195	2,899,561	3,150,141	1,741,891	3,176,173	0.83%	3,230,740	2.6%	3,230,740	2.6%
012 Debt	184,616	686,375	686,750	578,528	695,010	1.20%	695,010	1.2%	695,010	1.2%
015 Sewer/Storm Water	260,937	285,917	131,175	229,330	372,690	184.1%	321,190	144.9%	321,190	144.9%
Total Expenditures	3,108,748	3,871,853	3,968,066	2,549,748	4,243,873	7.0%	4,246,940	7.0%	4,246,940	2.11%
		763,105	96,213		275,807		278,874		278,874	
		24.55%	2.48%		6.95%		7.03%		7.03%	
WASTEWATER REVENUE SUMMARY										
Fund, Department	FY 14	FY 15	FY 16		Dept. Hd.	FY 17		Council		
	Actual	Actual	Budget	Y-T-D		Manager				
Fund 020										
010 Sewer Department	3,743,195	3,813,301	3,844,657	2,074,053	3,838,390	3.24%	4,065,010	3.2%	3,925,750	2.1%
015 Sewer/Storm Water	83,409	83,409	123,409	-	83,409		321,190		321,190	
Total Revenues	3,826,604	3,896,710	3,968,066	2,074,053	3,921,799	-1.17%	4,386,200	10.5%	4,246,940	
		70,107	71,356		(46,267)		418,134		278,874	
		1.83%	1.83%		-1.17%		10.54%		7.03%	
NET BUDGET	(717,856)	(24,858)	-	475,695	322,074		(139,260)		0	
		692,998	24,858		322,074		(139,260)		0	
		-96.54%	-100.00%							

Funding is obtained from users; does not impact property taxes as this is an "Enterprise Fund".

City of Rockland, Maine

Fund 20, Department 010- Sewer Department

Terry Pinto, Water Pollution Control Director

Article XIX of the City's Code establishes the Water Pollution Control Facility. The Water Pollution Control Director is responsible for the operations and maintenance of the facilities, including pump stations, force mains, and various tanks, equipment, processes, and grounds of the Water Pollution Control Facility. The Director is charged with managing these duties "in such a manner as to protect the public's health, safety, and welfare, and the land, water, and air located in and around the City of Rockland." The Water Pollution Control Department is called an "enterprise fund" because the operational expenses are funded by "user fees, grants, and other available funding sources", not through general property taxation.

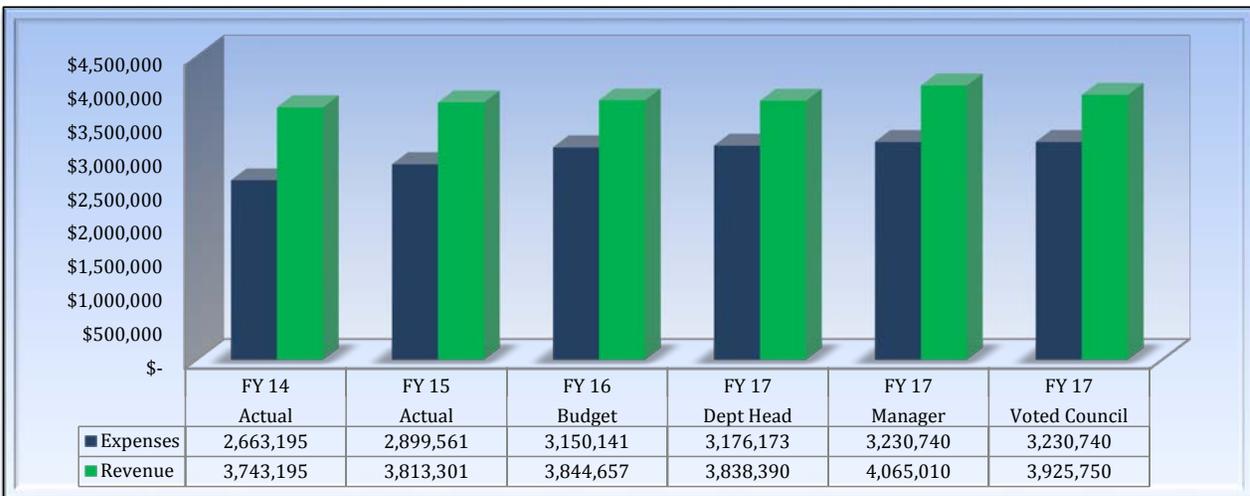
SUMMARY

APPROPRIATIONS

Department 0010 Old Line	New Line	SEWER DEPARTMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED	
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17		
04000 Personnel Services			608,299	712,323	684,471	452,877	706,670 3.2%	706,670 3.2%	706,670	3.2%
04100 Employees' Benefits			269,948	303,306	329,377	227,155	318,755 -3.2%	318,755 -3.2%	318,755	-3.2%
05000 Contractual Services			1,099,817	1,083,713	1,180,336	663,997	1,197,690 1.5%	1,264,375 7.1%	1,264,375	7.1%
06000 Supplies			336,814	342,323	370,957	179,284	358,057 -3.5%	345,940 -6.7%	345,940	-6.7%
07000 Capital Outlay			348,316	457,897	585,000	218,578	595,000 1.7%	595,000 1.7%	595,000	1.7%
TOTAL APPROPRIATIONS			2,663,195	2,899,561	3,150,141	1,741,891	3,176,173 0.8%	3,230,740 2.6%	3,230,740	2.6%
				236,367 8.88%	250,580 8.64%		26,032 0.83%	80,599 2.56%	80,599	2.56%

REVENUE

Department 0010 Old Line	New Line	SEWER DEPARTMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED	
			FY 14	FY 15	FY 16	Proposed FY 17	Proposed FY 17	FY 17		
03000 Revenue			3,743,195	3,813,301	3,844,657	2,074,053	3,838,390 -0.2%	4,065,010 5.7%	3,925,750	2.1%
TOTAL REVENUE			3,743,195	3,813,301	3,844,657	2,074,053	3,838,390 -0.2%	4,065,010 5.7%	3,925,750	2.1%
				70,107 1.87%	31,356 0.82%		(6,267) -0.16%	220,353 5.73%	81,093	2.11%
NET BUDGET			(1,080,000)	(913,740)	(694,516)	(332,162)	(662,217) -4.7%	(834,270) 20.1%	(695,010)	0.1%
				166,260 -15.39%	219,224 -23.99%		32,299 -4.65%	(139,754) 20.12%	(494)	0.07%



City of Rockland, Maine

Fund 20, Department 010- Sewer Department

Terry Pinto, Water Pollution Control Director

APPROPRIATIONS

Department 0010		SEWER DEPARTMENT		Actual	Actual	Budget	02/29/16 YTD	Department Head	City Manager	City Council APPROVED
Old Line	New Line			FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	FY 17
04000 Personnel Services										
20010	04000	Wastewater Director		-	-	-	-	80,290	\$80,290	\$80,290
20010	04013	Environmental Compliance Mgr.		-	-	-	-	68,340	\$68,340	\$68,340
20010	04001	Full-time Wages		487,709	577,968	601,871	362,533	475,440	\$475,440	\$475,440
20010	04003	Overtime Wages		120,590	134,355	82,600	90,344	82,600	\$82,600	\$82,600
				608,299	712,323	684,471	452,877	706,670	706,670	706,670
04100 Employees Benefits										
20010	04105	Cell Phone Stipend		1,440	960	480	640	960	960	960
20010	04109	Dental Insurance		-	-	-	-	2,585	2,585	2,585
20010	05084	FICA		37,307	44,204	43,541	28,098	38,080	38,080	38,080
20010	05085	Health Insurance		150,598	162,280	182,049	134,372	179,280	179,280	179,280
20010	05082	ICMA Retirement		14,797	16,813	17,855	10,975	12,890	12,890	12,890
20010	04030	Longevity		9,920	10,940	13,260	7,950	12,810	12,809	12,809
20010	05083	Maine Public Employees Retirement		22,937	30,305	30,317	25,098	41,530	41,530	41,530
20010	05089	Medicare		8,887	10,342	10,183	6,573	10,460	10,460	10,460
20010	04100	Travel Allowance		1,800	2,550	3,600	1,650	1,800	1,800	1,800
20010	05092	Workers' Compensation		22,261	24,913	28,092	11,798	18,010	18,010	18,010
20010	04146	Vision Insurance		-	-	-	-	350	350	350
				269,948	303,306	329,377	227,155	318,755	318,755	318,755
05000 Contractual Services										
20010	05001	Telephone		8,280	8,991	8,655	5,342	8,655	8,655	8,655
20010	05002	Electricity		234,021	227,477	237,079	167,776	250,000	250,000	250,000
20010	05003	Water		31,176	35,810	31,301	22,151	32,240	32,240	32,240
20010	05006	Travel Reimbursement		1,933	451	2,000	376	2,000	2,000	2,000
20010	05009	Dues & Memberships		700	181	600	655	600	600	600
20010	05008	Equipment Repairs & Maint.		-	-	-	-	-	-	-
20010	05008	Training & Education		2,383	1,037	3,800	2,213	4,180	4,180	4,180
20010	05012	License & Permit Fees		8,780	8,201	7,000	419	7,000	7,000	7,000
20010	05020	Professional Services		56,804	41,408	60,000	61,954	60,000	60,000	60,000
20010	05020	05010 Drug & Physical Screening		-	-	-	-	1,000	1,000	1,000
20010	05025	Outside Services		2,534	3,372	4,500	2,331	4,500	4,500	4,500
20010	05027	Copy Machine Lease		-	-	-	-	2,650	2,650	2,650
20010	05032	Vehicle Repairs & Maintenance		9,444	5,182	7,000	13,909	7,000	7,000	7,000
20010	05034	Office Equipment Maintenance		2,277	3,912	3,000	867	3,000	3,000	3,000
20010	05035	Building Repairs & Maintenance		32,564	41,400	35,000	21,490	35,000	35,000	35,000
20010	05037	Lift Station Repairs & Maint.		50,753	23,755	40,000	45,769	42,000	42,000	42,000
20010	05042	Sewer Line Maintenance		31,870	-	-	-	-	-	-
20010	05042	Storm Water Maintenance		-	-	-	-	-	40,000	40,000
20010	05055	05052 Filing Liens and Discharges		7,055	10,000	12,000	-	10,000	10,000	10,000
20010	05066	Transportation Costs (Sludge)		74,049	82,284	90,000	41,907	90,000	90,000	90,000
20010	05087	Computer Repairs & Maint.		8,049	8,601	12,000	3,364	12,000	12,000	12,000
20010	05088	Computer Services		3,931	5,303	6,000	3,244	6,000	6,000	6,000
20010	05093	05260 Property & Liability Insurance		24,359	30,229	26,834	-	28,980	28,980	28,980
20010	05007	05347 Laboratory Fees		54,706	50,756	50,000	21,146	50,000	50,000	50,000
20010	05358	Postage Meter Lease		-	-	-	-	1,000	1,000	1,000
20010	05065	05418 Sludge Disposal		233,970	271,272	300,000	139,168	300,000	300,000	300,000
20010	05041	05420 Grounds Maintenance		9,914	12,539	10,000	5,104	10,000	10,000	10,000
20010	04004	05345 Administrative Services		153,313	159,949	153,313	76,657	153,315	180,000	180,000
20010	05020	05348 Billing Service Fee		48,319	51,603	53,070	25,858	53,070	53,070	53,070
20010	05033	05406 Generator Service Contract		2,681	-	3,500	2,298	3,500	3,500	3,500
20010	07001	05680 Contingency		5,950	-	23,684	-	20,000	20,000	20,000
				1,099,817	1,083,713	1,180,336	663,997	1,197,690	1,264,375	1,264,375

City of Rockland, Maine

APPROPRIATIONS

Department 0010		SEWER DEPARTMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head		City Manager		City Council APPROVED	
Old Line	New Line		FY 14	FY 15	FY 16		Proposed FY 17		Proposed FY 17		FY 17	
06000 Supplies												
20010	06001	Office Supplies	2,980	3,252	3,500	2,236	3,500	0.00%	3,500	0.00%	3,500	0.00%
20010		06002 Computer Supplies	-	-	-	-	1,000		1,000		1,000	
20010	06003	Heating Fuel	85,672	69,945	37,857	22,752	37,857	0.00%	25,740	-32.01%	25,740	-32.01%
20010	05005	06004 Postage	2,716	7,062	10,000	6,439	10,000		10,000		10,000	
20010	06004	06101 Uniforms/Clothing	10,188	9,209	10,000	7,837	10,000	0.00%	10,000	0.00%	10,000	0.00%
20010	05040	06005 Small Tools & Misc. Equipment	5,965	6,388	7,500	2,883	7,500	0.00%	7,500	0.00%	7,500	0.00%
20010	05038	06016 Spare Parts	4,130	2,149	5,000	706	5,000		5,000		5,000	
20010	06006	Miscellaneous Supplies	82	332	1,500	456	1,500	0.00%	1,500	0.00%	1,500	0.00%
20010	06060	06115 Safety Equipment	6,553	7,107	7,500	1,586	7,500	0.00%	7,500	0.00%	7,500	0.00%
20010	06070	06053 Chemicals	207,624	228,171	275,000	130,341	261,250	-5.00%	261,250	-5.00%	261,250	-5.00%
20010	06041	06080 Diesel Fuel	875	457	3,000	248	3,000	0.00%	3,000	0.00%	3,000	0.00%
20010	06043	06090 Gasoline	6,420	3,919	6,100	1,157	5,550	-9.02%	5,550	-9.02%	5,550	-9.02%
20010	05039	06044 General Maintenance Supplies	3,610	4,331	4,000	2,643	4,400	10.00%	4,400		4,400	
			336,814	342,323	370,957	179,284	358,057	-3.48%	345,940	-6.74%	345,940	-6.74%
07000 Capital Outlay												
20010	07005	Capital Projects	63,746	99,343	275,000	66,282	275,000	0.00%	275,000	0.00%	275,000	0.00%
20010	07006	Renewal & Replacement Reserve	90,000	90,000	100,000	24,467	110,000	10.00%	110,000	10.00%	110,000	
20010	07007	Rate Stabilization Reserve	-	-	10,000	-	10,000	0.00%	10,000	0.00%	10,000	
20010	07010	07355 Equipment Replacement	194,570	268,554	200,000	127,830	200,000	0.00%	200,000	0.00%	200,000	0.00%
			348,316	457,897	585,000	218,578	595,000	1.71%	595,000	1.71%	595,000	1.71%
TOTAL APPROPRIATIONS			2,663,195	2,899,561	3,150,141	1,741,891	3,176,173	0.83%	3,230,740	2.56%	3,230,740	2.56%

236,367 250,580 26,032 80,599 80,599
8.88% 8.64% 0.83% 2.56% 2.56%

REVENUE

Department 0010		SEWER DEPARTMENT	Actual	Actual	Budget	02/29/16 YTD	Department Head		City Manager		City Council APPROVED	
Old Line	New Line		FY 14	FY 15	FY 16		Proposed FY 17		Proposed FY 17		FY 17	
20000	03220	Industrial	2,331,642	2,225,691	2,424,315	1,307,031	2,424,315	0.00%	2,100,000	-13.38%	2,425,750	0.06%
20000	03260	Residential-Qrtly Billing	727,239	944,689	789,847	568,590	789,845	0.00%	700,000	-11.38%	825,000	4.45%
20000	03270	Commercial-Qrtly Billing	514,664	541,182	554,229	138,470	554,230	0.00%	500,000	-9.78%	605,000	9.16%
Debt Payments-All									695,010			
20000	03280	Chemical Surcharge	64,511	60,781	57,766	33,930	50,000	-13.44%	50,000	-13.44%	50,000	-13.44%
20000	03410	Transfer from Reserve	25,000	-	-	-	-		-		-	
20000	03510	Sewer Lien Revenue	5,995	15,304	7,500	9,429	9,000	20.00%	9,000	20.00%	9,000	20.00%
20000	03700	Interest	24	-	-	-	-		-		-	
20000	03725	Change in FMV	16	-	-	-	-		-		-	
20000	03730	WWTF Lab Testing	770	4,028	3,000	2,283	3,000	0.00%	3,000	0.00%	3,000	0.00%
20000	03750	Interest-AR	19,748	12,238	8,000	6,915	8,000	0.00%	8,000	0.00%	8,000	0.00%
20000	03780	Engineering Services	-	-	-	739	-		-		-	
20000	03791	Permits	1,404	4,167	-	504	-		-		-	
20000	03800	Miscellaneous	2,183	5,223	-	6,162	-		-		-	
20000	03820	Sewer Reserve Cap Fee	50,000	-	-	-	-		-		-	
			3,743,195	3,813,301	3,844,657	2,074,053	3,838,390	-0.16%	4,065,010	5.73%	3,925,750	2.11%
TOTAL REVENUE			3,743,195	3,813,301	3,844,657	2,074,053	3,838,390	-0.16%	4,065,010	5.73%	3,925,750	2.11%

70,107 31,356 (6,267) 220,353 81,093
1.87% 0.82% -0.16% 5.73% 2.11%

NET BUDGET			(1,080,000)	(913,740)	(694,516)	(332,162)	(662,217)	-4.65%	(834,270)	20.12%	(695,010)	0.07%
			166,260	219,224	32,299	(139,754)	(494)					
			-15.39%	-23.99%	-4.65%	20.12%	0.07%					

City of Rockland, Maine

Fund 20, Department 012 - Wastewater Debt

Virginia B. Lindsey, Finance Director

MRSA § 5702 (in part)

"No municipality may incur debt which would cause its total debt outstanding at any time, exclusive of debt incurred for school purposes, for storm or sanitary sewer purposes, for energy facility purposes or for municipal airport purposes to exceed 7 1/2% of its last full state valuation, or any lower percentage or amount that a municipality may set. A municipality may incur debt for school purposes to an amount outstanding at any time not exceeding 10% of its last full state valuation, or any lower percentage or amount that a municipality may set, for storm or sanitary sewer purposes to an amount outstanding at any time not exceeding 7 1/2% of its last full state valuation, or any lower percentage or amount that a municipality may set, and for municipal airport and special district purposes to an amount outstanding at any time not exceeding 3% of its last full state valuation, or any lower percentage or amount that a municipality may set; provided, however, that in no event may any municipality incur debt which would cause its total debt outstanding at any time to exceed 15% of its last full state valuation, or any lower percentage or amount that a municipality may set."

"For the purposes of this section, full state valuation shall mean the state valuation most recently certified by the State Tax Assessor pursuant to Title 36, section 381, adjusted to 100%."

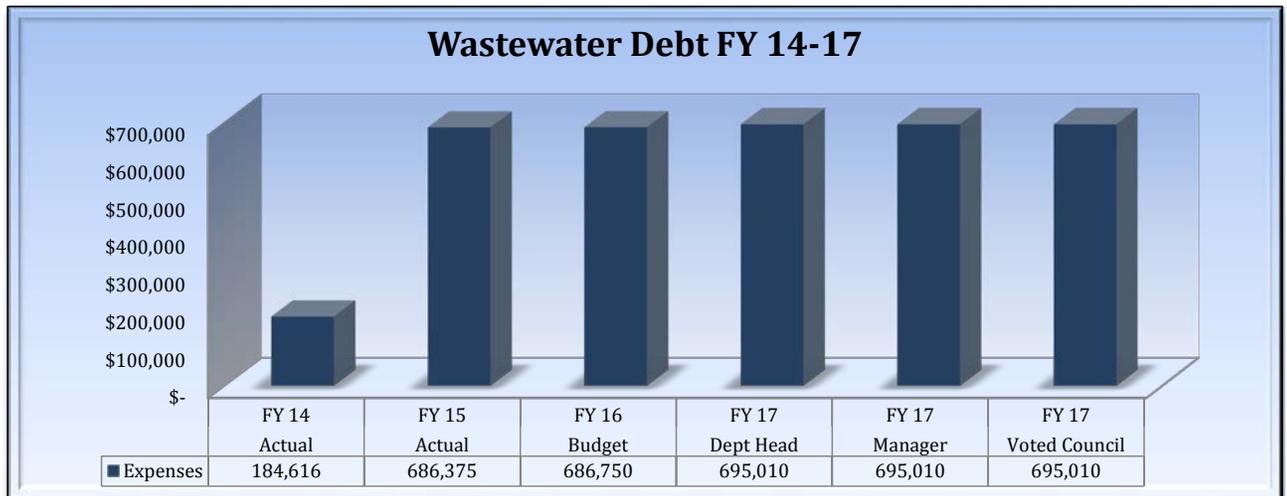
MRSA § 5703 (in part)

Limitations on municipal debt shall not apply to funds received in trust, any loan which has been funded or refunded, notes issued for federal or state aid, or revenue sharing money, tax anticipation loans, notes maturing in the current fiscal year, indebtedness of entities other than the municipality, or any indebtedness to any municipality to the Maine School Building Authority debt issued under chapter 213, obligations paid from revenues in the current municipal year or from revenues previously appropriated or committed, and the state reimbursable portion of any school debt.

SUMMARY

APPROPRIATIONS

Department 0012	New Line	WASTEWATER DEBT	Actual		Budget		02/29/16 YTD		Department Head		City Manager		City Council APPROVED	
			FY 14	FY 15	FY 16		Proposed FY 17	Proposed FY 17	Proposed FY 17	Proposed FY 17	FY 17	FY 17		
			177,409	683,139	679,340	575,349	695,010	2.3%	695,010	2.3%	695,010	2.3%	695,010	2.3%
			7,207	3,235	7,410	3,179	-		-		-		-	
TOTAL APPROPRIATIONS			184,616	686,375	686,750	578,528	695,010	1.2%	695,010	1.2%	695,010	1.2%	695,010	1.2%
				501,759	375		8,260	1.20%	8,260	1.20%	8,260	1.20%	8,260	1.20%
NET BUDGET			184,616	686,375	686,750	578,528	695,010	1%	695,010	1.2%	695,010	1.2%	695,010	1.2%
				501,759	375		8,260	1.20%	8,260	1.20%	8,260	1.20%	8,260	1.20%
				271.79%	0.05%		1.20%		1.20%		1.20%		1.20%	



City of Rockland, Maine

Fund 20, Department 012 - Wastewater Debt

Virginia B. Lindsey, Finance Director

APPROPRIATIONS

Department 0012	New Line	WASTEWATER DEBT	Actual		Budget		02/29/16 YTD	Department Head	City Manager	City Council APPROVED			
			FY 14	FY 15	FY 16	Proposed FY 17					Proposed FY 17	FY 17	
20010 08011		08000 Debt Principal Payments											
		Principal & Interest on Notes	177,409	683,139	679,340	575,349		695,010	2.31%	695,010	2.3%	695,010	
			177,409	683,139	679,340	575,349		695,010	2.31%	695,010	2.3%	695,010	
20010 08021		08020 Capital Lease Payments											
		Equipment Lease Payments	7,207	3,235	7,410	3,179		-	-100.00%	-	-100.00%	-	
			7,207	3,235	7,410	3,179		-	-100.00%	-	-100.00%	-	
TOTAL APPROPRIATIONS			184,616	686,375	686,750	578,528		695,010	1.20%	695,010	1.2%	695,010	1.2%
			501,759	375	Under Budget		8,260	8,260	8,260				
			271.79%	0.05%			1.20%	1.20%	1.20%				

SEWER LONG-TERM DEBT, Fund 20, 10081

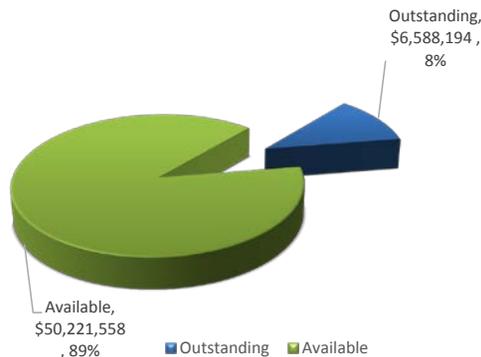
Lending Institution	Purpose	Origination Date	Final Payment Date	Original Amount	Current Payments Due FYE 2017		
					Principal	Interest	Total
US Rural Development 2012	Facility Upgrade	08/11/11	08/10/40	\$ 2,250,000	\$ 54,617	\$ 70,866	\$ 125,483
MMBB 2007*	Shared Costs w/ WWTF	11/01/07	11/01/26	\$ 2,150,000	\$ 54,332	\$ 29,338	\$ 83,670
US Rural Development 2006*	Pump Station, Sewer Upgrades	03/08/06	03/08/32	\$ 2,200,000	\$ 37,892	\$ 38,739	\$ 76,631
MMBB 2003*	Shared Costs w/ WWTF	10/01/03	10/01/18	\$ 7,729,371	\$ 395,473	\$ 13,752	\$ 409,225
				\$14,329,371	\$ 542,315	\$- \$ 152,695	\$- \$ 695,010

*Costs shared between City and WWTF

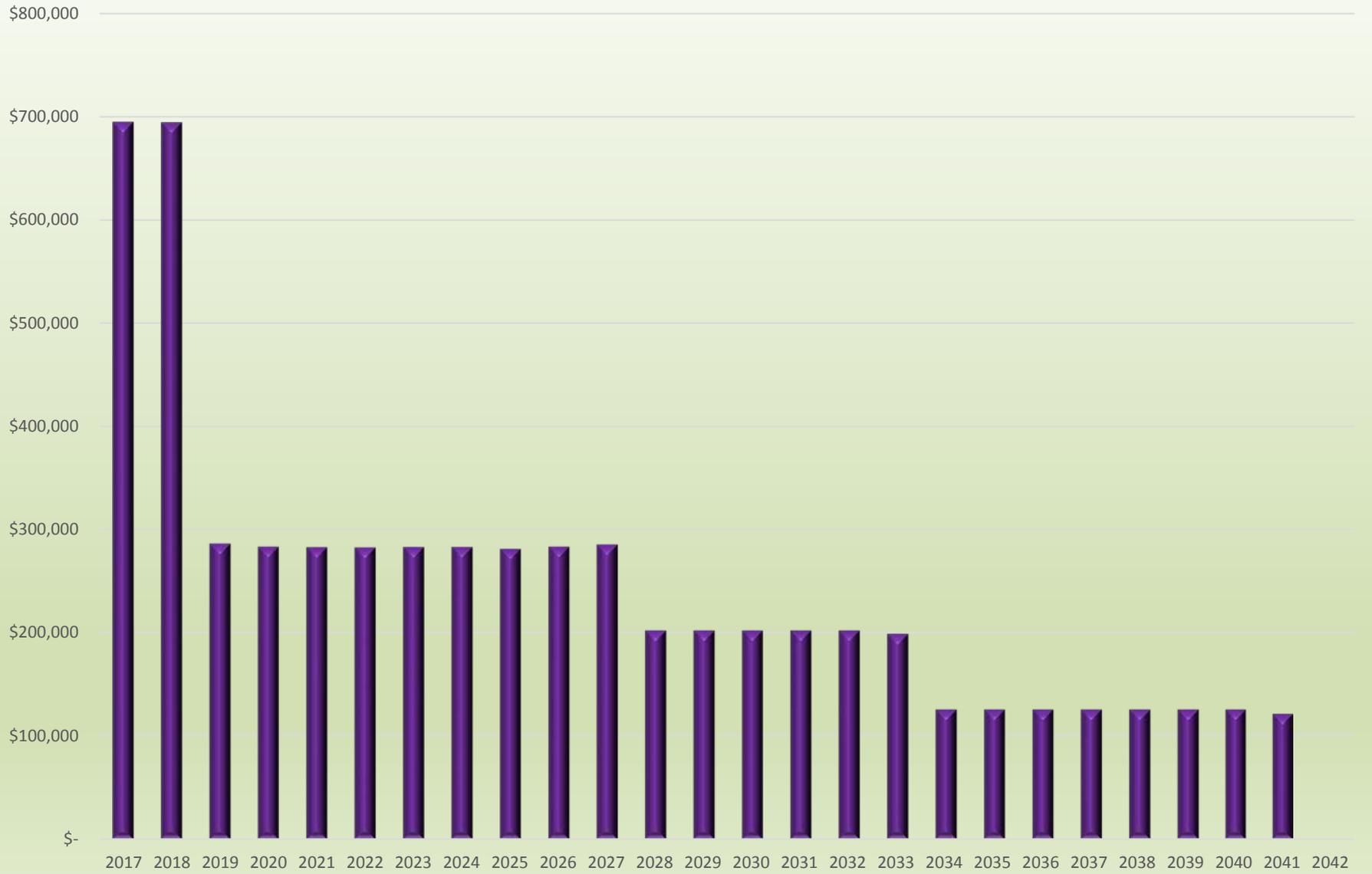
Wastewater Facility
Outstanding Debt as of 3/9/16

\$ 3,007,048 US Rural Development 2012
 \$ 907,217 MMBB 2007*
 \$ 1,299,397 US Rural Development 2006*
 \$ 1,231,030 MMBB 2003*
\$ 6,444,692

\$ 50,221,558 Available Debt WW



City of Rockland, Maine Wastewater Debt FY 17-42 as of 03.14.2016



City of Rockland, Maine

Fund 20, Department 015- Storm Water Maintenance Department

James D. Chaousis, II City Manager
Terry Pinto, Water Pollution Control Director

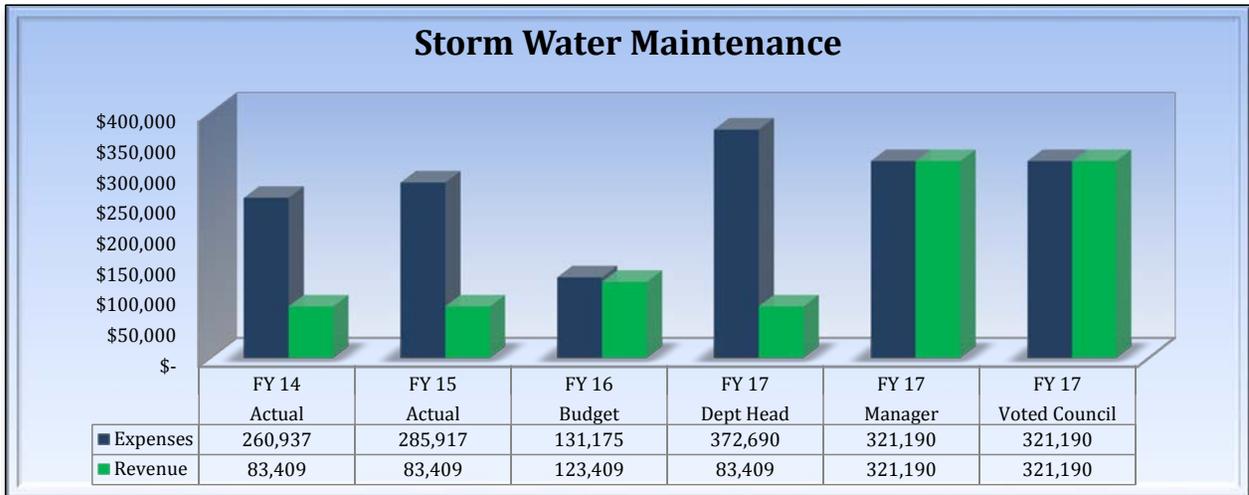
SUMMARY

APPROPRIATIONS

Department 0015	SEWER/STORM WATER	Actual		Budget		Department Head	City Manager	City Council APPROVED			
		FY 14	FY 15	FY 16	02/29/16 YTD				Proposed FY 17	Proposed FY 17	FY 17
04000 Personnel Services		86,986	90,439	85,238	69,429	132,190	55.1%	132,190	55.1%	132,190	55.1%
04100 Employees' Benefits		39,022	44,677	45,937	35,499	56,250	22.5%	58,750	27.9%	58,750	27.9%
05000 Contractual Services		86,107	123,064	-	99,309	110,750		91,250		91,250	
06000 Supplies		48,822	27,738	-	25,092	73,500		39,000		39,000	
07000 Capital Outlay		-	-	-	-	-		-		-	
TOTAL APPROPRIATIONS		260,937	285,917	131,175	229,330	372,690	184.1%	321,190	144.9%	321,190	144.9%
			24,980	(154,742)		241,515	184.12%	190,015	144.86%	190,015	144.86%

REVENUE

Department 0015	SEWER/STORM WATER	Actual		Budget		Department Head	City Manager	City Council APPROVED			
		FY 14	FY 15	FY 16	02/29/16 YTD				Proposed FY 17	Proposed FY 17	FY 17
03000 Revenue		83,409	83,409	123,409	-	83,409	-32.4%	321,190	160.3%	321,190	160.3%
TOTAL REVENUE		83,409	83,409	123,409	-	83,409	-32.4%	321,190	160.3%	321,190	160.3%
			-	40,000		(40,000)	-32.41%	197,781	160.26%	197,781	160.26%
				47.96%				160.26%		160.26%	
NET BUDGET		177,528	202,508	7,766	229,330	289,281	3625.0%	-		-	
			24,980	(194,742)		281,515	3624.97%				



City of Rockland, Maine

Fund 20, Department 015- Storm Water Maintenance Department

James D. Chaousis, II City Manager
Terry Pinto, Water Pollution Control Director

APPROPRIATIONS

Department 0015	SEWER/ STORM WATER	Actual FY 14	Actual FY 15	Budget FY 16	02/29/16	Department Head	City Manager	City Council APPROVED FY 17		
					YTD				Proposed FY 17	Proposed FY 17
04000 Personnel Services										
20015 04001	Full-time Wages	81,897	82,821	85,238	52,535	112,190	112,190	\$112,190		
20015 04003	Overtime Wages	5,088	6,634	-	13,320	20,000	20,000	\$20,000		
20015 04004	Holiday Wages	-	984	-	3,575	-	-	-		
		86,986	90,439	85,238	69,429	132,190	132,190	132,190		
04100 Employees Benefits										
20015 04105	Cell Phone Stipend	-	-	-	560	960	960	960		
20015 04110	Clothing Allowance	650	650	650	-	\$0	\$2,500	\$2,500		
20015 05084	04115 FICA	5,266	5,488	5,333	4,177	5,960	5,960	5,960		
20015 05085	04119 Health Insurance	25,867	29,674	30,978	23,250	32,530	32,530	32,530		
20015 04030	04127 Longevity	-	-	130	60	520	520	520		
20015 05083	04129 Maine Public Employees Retirement	5,970	7,580	7,598	6,475	11,020	11,020	11,020		
20015 05089	04131 Medicare	1,269	1,284	1,248	977	1,930	1,930	1,930		
20015 05092	04145 Workers' Compensation	-	-	-	-	3,330	3,330	3,330		
		39,022	44,677	45,937	35,499	56,250	58,750	58,750		
05000 Contractual Services										
20015 05006	Travel Reimbursement	-	97	-	-	-	-	-		
20015 05008	Equipment Repairs & Maint-Offic	-	4,070	-	-	7,500	3,000	3,000		
20015 05009	Training & Education	-	1,023	-	1,063	1,500	1,500	1,500		
20015 05010	05281 License & Permit Fees	25	-	-	314	750	750	750		
20015 05020	05010 Professional Services	49,635	87,447	-	88,766	60,000	60,000	60,000		
	05020 Drug & Physical Screening	-	-	-	-	-	-	-		
20015 05032	Vehicle Repairs & Maintenance	19,538	12,714	-	1,755	20,000	10,000	10,000		
20015 05045	Storm Water Maintenance	7,728	-	-	-	-	-	-		
20015 05087	Computer Repairs & Maint.	1,259	5,541	-	40	3,000	3,000	3,000		
20015 05088	Computer Services	513	3,494	-	2,349	3,000	3,000	3,000		
20015 07040	05408 Equipment Repairs & Maint.	7,411	8,677	-	5,023	15,000	10,000	10,000		
		86,107	123,064	-	99,309	110,750	91,250	91,250		
06000 Supplies										
20015 06001	Office Supplies	-	1,385	-	271	1,000	500	500		
20015 06002	Computer Supplies	-	-	-	141	5,000	2,000	2,000		
20015 06004	Postage	1,089	216	-	959	1,000	500	500		
20015 05040	06005 Small Tools & Misc. Equipment	3,054	5,163	-	959	4,000	2,000	2,000		
20015 05042	06021 Sewer Line Maintenance	40,319	14,151	-	19,524	50,000	25,000	25,000		
20015 06006	Miscellaneous Supplies	311	743	-	245	1,500	1,500	1,500		
20015 06041	06080 Diesel Fuel	32	-	-	-	-	-	-		
20015 06043	06090 Gasoline	1,629	3,064	-	1,245	3,000	2,000	2,000		
20015 06020	06095 Oil, Grease & Filters	-	-	-	-	-	-	-		
20015 06004	06101 Uniforms/Clothing	235	1,141	-	1,982	3,000	3,000	3,000		
20015 06060	06115 Safety Equipment	2,152	1,874	-	725	5,000	2,500	2,500		
		48,822	27,738	-	25,092	73,500	39,000	39,000		
07000 Capital Outlay										
		-	-	-	-	-	-	-		
TOTAL APPROPRIATIONS		260,937	285,917	131,175	229,330	372,690	321,190	321,190		
			24,980	(154,742)		241,515	190,015	190,015		
			9.57%	-59.30%		184.12%	144.86%	144.86%		

REVENUE

Department 0015	SEWER/ STORM WATER	Actual FY 14	Actual FY 15	Budget FY 16	02/29/16	Department Head	City Manager	City Council APPROVED FY 17		
					YTD				Proposed FY 17	Proposed FY 17
20015 03245	Storm Water Line Maintenance	83,409	83,409	83,409	-	83,409	100,000	100,000		
03248	Use of General Fund UFB	-	-	-	-	-	181,190	181,190		
20015 03250	Wastewater Storm Water Maintenance Share	-	-	40,000	-	-	40,000	40,000		
		83,409	83,409	123,409	-	83,409	321,190	321,190		
TOTAL REVENUE		83,409	83,409	123,409	-	83,409	321,190	321,190		
			-	40,000	(40,000)		197,781	197,781		
			0.00%	47.96%	-32.41%		160.26%	160.26%		
NET BUDGET		177,528	202,508	7,766	229,330	289,281	-	-		
			24,980	(194,742)	Over Budget	281,515				
			14.07%	-96.17%		3624.97%				

**CITY
OF
ROCKLAND**

Glossary

City of Rockland, Maine

GLOSSARY

ACCOUNTING SYSTEM:

The total set of records and procedures that are used to record, classify, and report information on the financial status and operations of City government.

ACCRUAL BASIS:

The basis of accounting under which transactions are recognized when they occur, regardless of timing of related cash receipts and disbursements.

ADOPTED BUDGET:

Refers to the budget amounts as originally approved by the City Council applicable to a single fiscal year.

APPROPRIATION:

An authorization granted by the City Council that permits officials to incur obligations against and to make expenditures of city's resources.

ASSESSED VALUATION:

The total value of all real and personal property in the City that is used as a basis for levying taxes. Tax exempt property is excluded from the assessable base.

BOND:

A written promise to pay a specified sum of money (called principal or face value) at a specified future date, plus periodic interest paid at a specified percentage of the principal (interest rate). In the budget document these payments are identified as "Long-Term Debt".

BUDGET:

The financial plan for the operations of the City for the year.

CAPITAL BUDGET:

The capital budget includes projects that exceed \$5,000 and may be budgeted, leased, mortgaged, or bonded for a period of three to five years or more. The capital budget is separate from the annual City budget, but may be included in the annual budget for informational purposes and as a means of tracking the long term project expenses.

CAPITAL ITEMS:

A category of account codes that identify major expenditures of public funds beyond maintenance and operating costs. Items may include vehicles, computer equipment, office furniture, and the acquisition or construction of a needed physical facility or improvements to land and/or buildings. Capital items have a value of \$500 or more and have a useful economic lifetime of more than one year.

CARRY-OVER FUNDS:

Carry-over funds are the result of unspent appropriations in the prior year, new growth, the expansion of the tax base beyond what was projected, and increased revenues over estimated amounts. These funds are brought forward from the preceding fiscal year reserves. Appropriated carry-over funds are known as unreserved fund balance.

CASH MANAGEMENT:

Management of cash flows in such a way that interest and penalties paid are minimized and interest earned is maximized. Checks received are deposited in a timely manner, and bills are paid no later than the due date unless discounts can be obtained by paying early. Future cash needs are determined, and cash on hand invested in interest-bearing secure accounts.

City of Rockland, Maine

GLOSSARY

COMPARATIVE DATA:

Information from prior fiscal periods provided to enhance the analysis of financial data of the current fiscal period.

CONTRACTUAL SERVICES:

A category of account codes which reflects services provided by contract (either written or verbal); subcontracts, advertising, printing, mileage reimbursement, training costs, and other services.

COST:

The amount of money or value exchanged for property or services.

COUNTY TAX:

The annual county tax assessment levied annually by Knox County. The State of Maine Revenue Service provides annual municipal assessments from which the County calculates its tax rate. Taxes are payable on September 1 of each year; interest on unpaid taxes commences sixty days after the due date at a rate established annually by the state of Maine Revenue Service.

DEBT:

An obligation resulting from the borrowing of money.

DEBT SERVICE:

The accounting for payments of principal and interest on long-term debt.

DEFICIT:

- (1) The excess of the liabilities of a fund over its assets.
- (2) The excess of expenditures over revenues during an accounting period.

DEPARTMENTAL BUDGET:

The financial plan for the operations of an individual department within the municipal government for the year.

DESIGNATED FUND BALANCE:

Funds approved and set aside for a specific defined purpose by the Select Board, from the City's overall fund balance.

EFFECTIVENESS:

Term used in connection with the evaluation of internal controls and performance measurement. The degree to which an entity, program, or procedure is successful at achieving its goals and objectives.

EMPLOYEE BENEFITS:

Employee benefit expenditures in the budget are the City's share of employees' fringe benefits, including FICA, health insurance, life insurance, retirement, deferred compensation, unemployment, disability insurance, and workers' compensation.

ENCUMBRANCE:

A reservation of funds for an anticipated expenditure prior to actual payment for an item. Funds usually are reserved or encumbered once a contracted obligation has been signed for an item, but prior to the cash payment actually being dispersed. Funds are often encumbered from one year to another, based on an anticipated expense.

EXPENDITURE:

Payment in cash for current operating expenses, debt, and capital outlays.

EXPENSES:

Charges incurred, whether paid or unpaid, for operations, maintenance, interest and other charges which are presumed to benefit the current fiscal year.

FAIR LABOR STANDARDS ACT (FLSA):

City of Rockland, Maine

GLOSSARY

The FLSA sets minimum wage, overtime pay, recordkeeping, and youth employment standards for employment subject to its provisions. Non-exempt, covered employees must be paid at least the minimum wage and not less than one and one-half times their regular rates of pay for overtime hours worked.

Section 7(k)

FLSA Section 7(k) states that employees engaged in fire protection or law enforcement may be paid overtime on a "work period" basis. The "work period" may be from 7 consecutive days to 28 consecutive days in length. For work periods of at least 7 but less than 28 days, overtime pay is required when the number of hours worked exceeds the number of hours that bears the same relationship to 212 (fire) or 171 (police) as the number of days in the work period bears to 28. As an example, fire protection personnel are due overtime under such a plan after 106 hours worked during a 14-day work period, while law enforcement personnel must receive overtime after 86 hours worked during a 14-day work period.

FEES:

A general term used for any charges levied by the City associated with providing a service permitting an activity, or imposing a fine or penalty. Major types of fees include business and non-business licenses, fines, and user charges.

FISCAL POLICY:

The City government's policies with respect to taxes, spending and debt management as these relate to government services, programs, and capital investment. Fiscal policy provides an agreed upon set of principles for the planning and programming of government budgets and their funding.

FISCAL YEAR:

In the City of Rockland, the twelve months beginning July 1 and ending the following June 30.

FUND:

A fiscal and accounting entity with a self-balancing set of accounts which is segregated for the purpose of carrying on specific activities or attaining certain objectives in accordance with special regulations, restrictions or limitations.

FUND BALANCE:

The excess of a fund's assets over its liabilities and reserves. The excess balance is often referred to as "surplus". A negative fund balance is sometimes called a "deficit".

FUND BALANCE, THE - See "The New Fund Balance"

GENERAL FUND:

Accounts for the general operations of the City. This fund accounts for all financial transactions and resources not required to be accounted for in an enterprise fund.

GENERALLY ACCEPTED ACCOUNTING PRINCIPLES (GAAP):

Government accounting and financial reporting is guided by what are referred to as "generally accepted accounting principles" (GAAP) applicable to governmental units. These standards were formulated through the voluntary action of several professional groups and are evaluated and updated by the Government Accounting Standards Board (GASB).

GOAL:

A long-range desirable development attained by time-phased objectives designed to implement a strategy.

City of Rockland, Maine

GLOSSARY

GOVERNMENT FINANCE OFFICERS ASSOCIATION (GFOA):

An association of public finance professionals founded in 1906 as the Municipal Finance Officers Association. The GFOA has played a major role in the development and promotion of GAAP for state and local government since its inception and has sponsored the Certificate of Achievement for Excellence in Financial Reporting Program since 1946.

GOVERNMENTAL ACCOUNTING STANDARDS BOARD (GASB):

The ultimate authoritative accounting and financial reporting standard-setting body for state and local governments.

GOVERNMENTAL FUNDS:

Funds generally used to account for tax-supported activities. There are five types of governmental funds: the general fund, special revenue funds, debt service funds, capital project funds, and permanent funds.

GRANT:

A contribution of assets (usually cash) by one governmental unit or other organization to another. Typically, these contributions are made to local governments from the state and federal governments.

INTERGOVERNMENTAL REVENUES:

Revenue from other governments primarily Federal and State grants, but also payments from other local governments.

INVESTMENT:

Securities and real estate purchased and held for the production of income in the form of interest, dividends, rentals or base payments.

LIABILITY:

Debt or other legal obligations arising out of transactions in the past which must be liquidated, renewed or refunded at some future date, not including encumbrances.

LINE ITEM:

A specific expenditure category within a departmental budget, i.e. rent, travel, telephone, postage, printing, or office supplies. Defined by an appropriation number.

MPERS: Maine Public Employees Retirement System

OTHER

A category of account codes which includes items such as alarm/security monitoring, street lights, public agency funding, government and school assessments, and miscellaneous grants.

OVERLAY:

That amount of expenditure budgeted which has the affect of increasing the property tax levy, not to exceed 5% of the actual property tax levy, in order to cover potential property valuation adjustments necessary, which would have the affect of reducing property tax collections.

PERSONNEL SERVICES: A category of account codes which identifies various classification of wages, salaries, and overtime expenses.

City of Rockland, Maine

GLOSSARY

POSITION:

Placement of position within City government, describing duties and responsibilities to be performed by a person on a full-time, part-time, seasonal, temporary, or stipend basis. For the purpose of the City's budget, the following definitions are used solely in describing the status and funding of positions:

Full-time Employee: Appointment of a budgeted position to work a standard work week of no less than 40 hours on a continuing and indefinite basis. All benefits apply in conformity with the established personnel policy. (Rockland considers a full-time hourly clerical employee to work 37.5 hours per week.)

Part-time Employee: Appointment of a budgeted position to work less than a standard work week on a continuing basis. Part-time employees are paid only for hours actually worked, and are not eligible for any other benefits except those mandated by federal and state laws.

Temporary Employee: Appointment to work a standard work week, or less, on a regular basis but for a definite limited period of time, usually not to exceed six (6) months. Temporary employees are paid for hours worked and overtime is paid where applicable, but they receive no other benefits except those mandated by federal and state laws.

Seasonal Employee: Appointment to a temporary position on a seasonal basis. The employee is terminated at the end of the applicable season, and such employees are paid only for hours actually worked, and are not eligible for any other benefits except those mandated by federal and state laws.

On-Call Employee: Appointment to work on an intermittent and as-needed basis. Employees who are on call do not have a regular schedule but work as available and as needed. Such employees are paid only for hours worked or by annual stipend, and are not eligible for any other benefits except those mandated by federal and state laws.

Contracted Individual: Retained under a contract between the City and the individual. Such individual receives payments as negotiated by contract, and has no claim to benefits, except as specifically negotiated.

Student Employee: Appointment of school student during their enrollment in school, including summer employment. Students will be paid as determined by the City Manager. Student employees are not eligible for benefits other than those mandated by federal and state laws.

PROPOSED BUDGET:

The recommended City budget submitted by the City Manager to the City Council.

RESERVE:

An account that has been established either through appropriation, donation, excess surplus, or other for a specified purpose.

REVENUE:

Funds which the government receives as income. It includes such items as fees from specific services, property taxes, grants and interest income.

City of Rockland, Maine

GLOSSARY

SALARIES AND WAGES:

FLSA Exempt Employees are paid a salary based on the work week; FLSA Non-Exempt Employees are paid hourly wages, subject to wages and overtime provisions of FLSA.

SUPPLIES:

Supplies includes supplies of all types, which are consumed or deteriorated through use or which lose their identity through fabrication or incorporation into different or more complex units or substances.

TAX ANTICIPATION NOTES (TAN):

Notes issued in anticipation of taxes which are retired usually from taxes and other revenues collected.

TAX INCREMENTAL FINANCING DISTRICT (TIF):

Financing mechanism used to finance certain public improvements throughout a certain area defined within the City. Expenditures from these projects are recouped in future years via an incremental tax levied upon the districts "captured assessed value" at the inception of the TIF agreement.

TAXES:

Levied by the City based on assessed values of real estate and personal property for the purpose of financing public services performed for the common benefit of its citizenry.

THE NEW FUND BALANCE:

GASB 54 is effective for financial statements for periods beginning after June 15, 2010. Fund accounts will be reported in the following classifications:

- *Restricted* - amounts constrained by external parties, constitutional provision, or enabling legislation
- *Committed* - amounts constrained by a government using its highest level of decision-making authority
- *Assigned* - amounts a government intends to use for a particular purpose
- *Unassigned* - amounts that are not constrained at all will be reported in the general fund.

The new standards clarify the definitions of individual governmental fund types. It interprets certain terms within the definition of special revenue fund types, while further clarifying the debt service and capital projects fund type definitions.

UNENCUMBERED BALANCE:

The year-end cash balance of a fund less outstanding encumbrances. The unencumbered balance at year-end in a fund together with the estimated revenues for the upcoming year determine the maximum amount available for appropriation in the next year's budget.

UNAPPROPRIATED FUND BALANCE:

Fund balance at the close of a fiscal year that is not included in the annual budget.

UNASSIGNED FUND BALANCE (UFB) formerly Undesignated Fund Balance:

Funds not earmarked for any specific purpose and used at the discretion of the City Council, equal to at least 8% (by City policy) of the total annual budget comprising the proposed operating budget to be voted on at Public Meeting, the County assessment, the school assessment, the annual TIF payment, and the estimated Overlay.